

ROCK COUNTY, WISCONSIN



NOTE: This is a Teleconference

Board of Supervisors
51 S. Main Street
Janesville, WI 53545
Phone: 608/757-5510
FAX: 608/757-5511
www.co.rock.wi.us

ROCK COUNTY BOARD OF SUPERVISORS' MEETING
THURSDAY, FEBRUARY 25, 2021 – 6:00 P.M.

WATCH VIA YOUTUBE:

<https://www.youtube.com/channel/UCcGhCAgg7M8721fnSaYU29Q/>

If you are interested in providing public comments on items on this agenda, you must submit your comments by noon on Thursday, February 25, 2021. To submit public comment use the following link: <https://www.co.rock.wi.us/public-comment-request>. At this meeting, the County Board will allow live public comment up to a total of 40 minutes.

Agenda

1. CALL TO ORDER
2. INVOCATION & PLEDGE OF ALLEGIANCE
3. ROLL CALL
4. CONSENT AGENDA
 - A. ADOPTION OF AGENDA
 - B. APPROVAL OF MINUTES – February 11, 2021
 - C. NOMINATIONS, APPOINTMENTS AND CONFIRMATION
 - 1) Appointment to the Criminal Justice Coordinating Council
 - 2) Appointment as Alternate to the Criminal Justice Coordinating Council
 - 3) Appointment as Alternate to the 911 Communications Commission
 - 4) Appointment to the Behavioral Health Redesign Steering Committee
 - 5) Appointment to the Local Emergency Planning Committee
 - D. RECOGNITION OF COUNTY EMPLOYEES OR OTHERS
 - 1) To Recognize Cheri Salava
 - E. OTHER
 - 1) Authorizing Purchase of (1) Plow, Safety Steps and Strobe Lighting for Facilities Management Motor Pool Vehicle
 - 2) Awarding Contract for New Gate and Opener at Southern Wisconsin Regional Airport and Amending the Southern Wisconsin Regional Airport Board Budget
 - 3) Accepting NG9-1-1 Reimbursement Grant, Approving Purchase of Eventide NEXLOG-740DX Logging Recorder Systems and Amending the 2021 Budget
 - 4) Amending the 2021 HSD Budget and Creating a 1.0 FTE HS Supervisor I, 1.0 FTE HS Professional Lead Worker, 3.0 FTE HS Professional and 1.0 Administrative Assistant Positions to Meet Waitlist Elimination Requirements in the Children's Long Term Support Program

ROCK COUNTY BOARD OF SUPERVISORS

FEBRUARY 25, 2021

Page 2

5. PUBLIC HEARING
6. CITIZEN PARTICIPATION, COMMUNICATIONS AND ANNOUNCEMENTS
7. NOMINATIONS, APPOINTMENTS AND CONFIRMATION
8. RECOGNITION OF COUNTY EMPLOYEES OR OTHERS
9. INTRODUCTION OF NEW RESOLUTIONS OR ORDINANCES BY SUPERVISORS FOR REFERRAL TO APPROPRIATE COMMITTEE
10. REPORTS
11. NEW BUSINESS
 - A. SUPPLEMENTARY APPROPRIATIONS AND BUDGET CHANGES - ROLL CALL
 - B. CONTRACTS – ROLL CALL
 - C. Providing Additional Compensation and Incentives to Rock Haven Staff, Improving Recruitment Activities, Investigating the Work Environment and Amending the 2021 Budget
 - D. Resolution Awarding the Sale of \$22,610,000 General Obligation Human Services Building Bonds; Providing the Form of Bonds; and Levying a Tax in Connection Therewith
 - E. Resolution Authorizing the Issuance and Awarding the Sale of \$17,255,000** Taxable General Obligation Refunding Bonds; Providing the Form of the Bonds; and Levying a Tax in Connection Therewith
 - F. Resolution Authorizing the Issuance and Awarding the Sale of \$6,485,000 General Obligation Promissory Notes; Providing the Form of the Notes; and Levying a Tax in Connection Therewith
 - G. Retaining Venture Architects for Architectural and Engineering Services for Phase I of the Sheriff’s Office, Law Enforcement Services Renovation
 - H. In Support of Legislation to Allow for Greater Broadband Expansion
12. ADJOURNMENT

The County of Rock will provide reasonable accommodations to people with disabilities. Please contact us at 608-757-5510 or e-mail countyadmin@co.rock.wi.us at least 48 hours prior to a public meeting to discuss any accommodations that may be necessary.

COUNTY, WISCONSIN
Office of the Rock County Clerk
51 South Main Street
Janesville, WI 53545



Office: (608) 757-5660
Fax: (608) 757-5662
www.co.rock.wi.us

Lisa Tollefson, Rock County Clerk

PROCEEDINGS OF THE
ROCK COUNTY BOARD OF SUPERVISORS

Janesville, Wisconsin
February 11, 2021

The Rock County Board of Supervisors met, pursuant to adjournment on January 28, 2021, at 6:00 p.m. in the Courthouse at Janesville, Wisconsin.

Chair Rich Bostwick called the teleconference meeting to order. Supervisor Potter gave the invocation.

3. Roll Call.

At roll call, Supervisors Aegerter, Beaver, Bomkamp, Pam Bostwick, Brien, Davis, Fox, Gustina, Homan, Knudson, Leavy, Lokrantz, Mawhinney, Mulligan, Peer, Podzilni, Potter, Rashkin, Richard, Rynes, Schulz, Sweeney, Taylor, Wilde, Williams, Wilson, Yeomans and Rich Bostwick were present. PRESENT – 28. ABSENT – 0. VACANT – 1.

QUORUM PRESENT

4. CONSENT AGENDA

A. ADOPTION OF AGENDA

1. CALL TO ORDER
2. INVOCATION & PLEDGE OF ALLEGIANCE
3. ROLL CALL
4. CONSENT AGENDA
 - A. ADOPTION OF AGENDA
 - B. APPROVAL OF MINUTES – January 28, 2021
 - C. NOMINATIONS, APPOINTMENTS AND CONFIRMATION
 - 1) Appointments to the Arrowhead Library System Board
Position: Member of the Arrowhead Library System Board
New Appointments: Annette Smith
Stephanie Aegerter

Effective: February 11, 2021

D. RECOGNITION OF COUNTY EMPLOYEES OR OTHERS

- 1) Recognizing Kenneth McMillan

E. OTHER

- 1) Awarding Contract for the Purchase of Monitors to be Installed in Conference and Meeting Rooms at the "Dr. Daniel Hale Williams Resource Center" Located at 1717 Center Ave Resolution No. 21-2A-181

NOW, THEREFORE, BE IT RESOLVED by the Rock County Board of Supervisors duly assembled this 11th day of February, 2021, and awards a contract for the purchase of 47 monitors and wall mount hardware in the amount of \$57,163.00 to AVI Systems Inc of Madison, WI.

- 2) Accepting the 2021 EPCRA Planning Grant and Approving the 2021 Local Emergency Planning Committee Budget Resolution No. 21-2A-182

NOW, THEREFORE, BE IT RESOLVED that the Rock County Board of Supervisors duly assembled this 11th day of February 2021, accepts the 2021 EPCRA Planning

Proceedings of the Rock County Board of Supervisors
February 11, 2021

Grant award and approves the 2021 Local Emergency Planning Committee Budget as follows:

<u>Account/Description</u>	<u>Budget</u> <u>01/01/2021</u>	<u>Increase</u> <u>(Decrease)</u>	<u>Budget</u>
<u>Source of Funds</u>			
21-2560-2021-42200	\$0	\$36,516	\$36,516
State Aid			
<u>Use of Funds</u>			
21-2560-2021-62119	\$0	\$21,000	\$21,000
Contracted Services			
21-2560-2021-62210	\$0	\$2,525	\$2,525
Telephone			
21-2560-2021-63100	\$0	\$1,600	\$1,600
Office Supplies			
21-2560-2021-63101	\$0	\$100	\$100
Postage			
21-2560-2021-63104	\$0	\$150	\$150
Printing and Duplicating			
21-2560-2021-63300	\$0	\$3,400	\$3,400
Travel			
21-2560-2021-63904	\$0	\$1,053	\$1,053
Policing and First Aid			
21-2560-2021-64200	\$0	\$2,000	\$2,000
Training Expenses			
21-2560-2021-64203	\$0	\$2,000	\$2,000
Educational Materials			
21-2560-2021-68000	\$0	\$2,688	\$2,688
Cost Allocations			

3) Creating a 1.0 FTE Nutrition Program Assistant for the Council on Aging Nutrition Program and Amending the 2021 Council on Aging Budget

Resolution No. 21-2A-183

NOW, THEREFORE, BE IT RESOLVED that the Rock County Board of Supervisors duly assembled this 11th day of February, 2021 does hereby authorize creation of a 1.0 FTE Nutrition Program Assistant within the Council on Aging.

BE IF FURTHER RESOLVED that the Council on Aging 2021 budget be amended as follows:

<u>Account/</u> <u>Description</u>	<u>Budget at</u> <u>01/01/21</u>	<u>Increase</u> <u>(Decrease)</u>	<u>Amended</u> <u>Budget</u>
<u>Source of Funds</u>			
30-3904-0000-46400	76,716	59,793	136,509
Nutrition Program Funding			
<u>Use of Funds</u>			
30-3904-0000-61100	82,216	37,460	119,586
Wages			
30-3904-0000-61400	6,290	2,866	9,156
FICA			
30-3904-0000-61510	5,550	2,528	8,078
Retirement			
30-3904-0000-61610	54,667	16,466	71,133
Health Insurance			
30-3904-0000-61620	-0-	473	473
Dental Insurance			

4) Accepting 2021 Wisconsin Land Information Program Strategic Initiative Grant Funds and Amending the 2021 Land Records Budget

Resolution No. 21-2A-184

NOW, THEREFORE, BE IT RESOLVED, that the Rock County Board of Supervisors duly assembled this 11th day of February, 2021 to authorize the acceptance of \$50,000 of WLIP grant funds; and,

BE IT FURTHER RESOLVED, that the 2021 Land Records Budget be amended as follows:

<u>Account No.</u> <u>Description</u>	<u>Budget</u> <u>1/19/2021</u>	<u>Increase</u> <u>(Decrease)</u>	<u>Amended</u> <u>Budget</u>
<u>Source of Funds:</u>			
10-1726-2021-42200			
State Aid	0	50,000	50,000
<u>Use of Funds:</u>			
10-1726-2021-62119			
Other Contracted Services	0	50,000	50,000

5) Authorizing Purchase from AVI-Midwest Resolution No. 21-2A-185

NOW, THEREFORE, BE IT RESOLVED that the Rock County Board of Supervisors duly assembled this 11th day of February, 2021 to authorize the purchase of Audio / Visual Equipment from AVI-Midwest for an amount not to exceed \$70,000.

Consent agenda moved by Supervisors Davis and Knudson. Chair Rich Bostwick asked for objections and questions on the items on the consent agenda. With no objections, the items on the consent agenda were approved.

6. CITIZEN PARTICIPATION, COMMUNICATIONS AND ANNOUNCEMENTS

The following citizens spoke against the COVID-19 vaccine mandate at Rock Haven: Daleena Johnson, Sally J. Horton, Sheryl Hengeveld. The following citizens submitted written public comment: Aaron Dabson - Against mask mandate. Geralyn Kettermann, Anne Franczek, Miriam Williams and Thomas Lang - Against COVID-19 Vaccine mandate.

7. NOMINATIONS, APPOINTMENTS AND CONFIRMATION

A. Confirmation of Appointment of Nursing Home Administrator Resolution No. 21-2A-186
NOW, THEREFORE, BE IT RESOLVED, that the Rock County Board of Supervisors assembled this 11th day of February, 2021, confirms the appointment of Natalie Rolling-Edlebeck, as Nursing Home Administrator in accordance with the attached conditions of employment. Supervisors Beaver and Bomkamp moved the above resolution. ADOPTED by acclamation.

B. Confirmation of Appointment of Health Officer Resolution No. 21-2A-189
NOW, THEREFORE, BE IT RESOLVED, that the Rock County Board of Supervisors assembled this 11th day of February, 2021, confirms the appointment of Katrina Harwood, as Health Officer in accordance with the attached conditions of employment. Supervisors Peer and Yeomans moved the above resolution. ADOPTED by acclamation.

8. RECOGNITION OF COUNTY EMPLOYEES OR OTHERS

A. Recognizing Rock County Assistant District Attorney Scott Dirks
NOW, THEREFORE, BE IT RESOLVED that the Rock County Board of Supervisors duly assembled this 11th day of February, 2021, does hereby recognize Scott Dirks for his years of faithful service and recommends that a sincere expression of appreciation be given to him along with best wishes for the future.
BE IT FURTHER RESOLVED, that the County Clerk be authorized and directed to furnish a copy of this resolution to Rock County Assistant District Attorney Scott Dirks.
Supervisors Beaver and Knudson moved the above resolution. ADOPTED by acclamation.

Proceedings of the Rock County Board of Supervisors
February 11, 2021

9. INTRODUCTION OF NEW RESOLUTIONS OR ORDINANCES BY SUPERVISORS FOR REFERRAL TO APPROPRIATE COMMITTEE
Supervisor Homan introduced resolution - *In Support of Legislation to Allow for Greater Broadband Expansion*.
Supervisors Wilde and Knudson introduced resolution - *Providing Additional Compensation and Incentives to Rock Haven Staff, Improving Recruitment Activities, and Investigating the Work Environment*.
- 11.C. Asking Governor Tony Evers to Include an Inflationary Increase to Utility Aid Payments in the 2021-2023 Budget Proposal Resolution No. 21-2A-188
NOW, THEREFORE, BE IT RESOLVED that the Rock County Board of Supervisors duly assembled this 11th day of February, 2021 hereby asks that the Governor Tony Evers include in his 2021-23 proposed budget a more fair and equitable return of utility tax collections to counties and municipalities as utility aid payments, including the addition of an inflationary increase built into the utility aid formula.
- 11.D. EXECUTIVE SESSION: Per section 19.85(1)(g) – To Confer with Legal Counsel Concerning Strategy to be Adopted by the Board Regarding Litigation in which it is, or is Likely to Become Involved.
Supervisor Podzilni and Davis moved to go into Executive Session. APPROVED on the following Roll Call vote. Supervisors Aegerter, Beaver, Bomkamp, Pam Bostwick, Brien, Davis, Fox, Gustina, Homan, Knudson, Leavy, Lokrantz, Mawhinney, Mulligan, Peer, Podzilni, Potter, Rashkin, Richard, Rynes, Schulz, Sweeney, Taylor, Wilde, Williams, Wilson, Yeomans and Rich Bostwick voted in favor. AYES – 28. NOES – 0. Vacant – 1.
- Executive Session began at 6:45 p.m.
12. Adjournment
Supervisors Beaver and Gustina moved to end Executive Session and adjourn at 6:51 p.m. to Thursday, February 25, 2021 at 6:00 p.m. ADOPTED by acclamation.



Prepared by Lisa Tollefson, County Clerk
NOT OFFICIAL UNTIL APPROVED BY THE COUNTY BOARD.

**APPOINTMENT TO THE CRIMINAL JUSTICE COORDINATING COUNCIL
(CJCC)**

POSITION: Member of the Criminal Justice Coordinating
Council (CJCC)

AUTHORITY: County Board Resolution 06-5B-014

TERM: Indefinite

PER DIEM: For County Board Supervisors Only
(Per Board Rule IV.J.)

PRESENT MEMBER: Judge Daniel Dillon

CONFIRMATION: Yes, by County Board of Supervisors

NEW APPOINTMENT: Judge Barbara McCrory

EFFECTIVE DATE: February 25, 2021

**APPOINTMENT TO THE CRIMINAL JUSTICE COORDINATING COUNCIL
(CJCC)**

POSITION: Alternate Member of the Criminal Justice Coordinating Council (CJCC)

AUTHORITY: County Board Resolution 06-5B-014

TERM: Indefinite

PER DIEM: For County Board Supervisors Only
(Per Board Rule IV.J.)

PRESENT MEMBER: Judge Barbara McCrory
(Alternate to Judge Daniel Dillon)

CONFIRMATION: Yes, by County Board of Supervisors

NEW APPOINTMENT: Judge Karl Hanson
(Alternate to Judge Barbara McCrory)

EFFECTIVE DATE: February 25, 2021

APPOINTMENT TO THE 911 COMMUNICATIONS COMMISSION

POSITION: Alternate Member of the 911 Communications Commission

AUTHORITY: County Board Resolution #91-7A-053

TERM: Indefinite

PER DIEM: Yes, Per Board Rule IV.J.
For County Board Supervisors Only

PRESENT MEMBER: Deputy Chief John Olsen
(Alternate for Chief David Moore)

CONFIRMATION: Yes, by County Board of Supervisors

NEW APPOINTMENT: Deputy Chief Todd Kleisner
(Alternate for Chief David Moore)
Janesville Police Department

EFFECTIVE DATE: February 25, 2021

**APPOINTMENT TO THE
BEHAVIORAL HEALTH REDESIGN STEERING COMMITTEE**

POSITION: Member of the Behavioral Health Redesign
Steering Committee

AUTHORITY: County Board Resolution 12-3A-608

TERM: Remaining term expiring on 4/30/22

PER DIEM: For County Board Supervisors Only
(Per Board Rule IV.J.)

PRESENT MEMBER: Deputy Chief John Olsen

CONFIRMATION: Yes, by County Board of Supervisors

APPOINTMENT: Deputy Chief Todd Kleisner
Janesville Police Department

EFFECTIVE DATE: February 25, 2021

APPOINTMENT TO LOCAL EMERGENCY PLANNING COMMITTEE

POSITION: Member of the Local Emergency Planning Committee

AUTHORITY: Wis. Stats. 59.54(8)
County Board Resolution #95-7A-042

TERM: Term Ending July 31, 2023

PER DIEM: For County Board Supervisors Only
Yes, Per Board Rule IV.J.

PRESENT MEMBER: Vacant

CONFIRMATION: Yes, by County Board of Supervisors

NEW APPOINTMENT: Sharon Rateike
SSM Health
Janesville, WI 53546

EFFECTIVE DATE: February 25, 2021

**RESOLUTION
ROCK COUNTY BOARD OF SUPERVISORS**

Katherine Luster
INITIATED BY

Tera O'Connor
DRAFTED BY

Human Service Board
SUBMITTED BY

February, 10, 2021
DATE DRAFTED



TO RECOGNIZE CHERI SALAVA

1 **WHEREAS**, Cheri Salava has served the citizens of Rock County for twenty-eight (28) years
2 as a dedicated and valued employee of Rock County; and,
3

4 **WHEREAS**, Ms. Salava began her career on June 1st, 1992 with Rock County Human
5 Services as an After Hours Supervisor for Crisis, Child Protective Services (CPS), and Youth
6 Justice. She started working second shift and performed in this role for approximately one
7 year; and,
8

9 **WHEREAS**, Ms. Salava moved into a CPS Supervisory position in 1993. She has been in
10 this role for the last 27 years taking on a variety of roles, tasks, and programs; and,
11

12 **WHEREAS**, Ms. Salava worked diligently and consistently over those years to provide
13 oversight to parenting supportive services, volunteer transportation, coordination of the after
14 hour program, substitute and kinship care, and foster care fundraising; and,
15

16 **WHEREAS**, Ms. Salava has participated in many state level committees including the out of
17 home care committee, rate regulation, and rate setting; and,
18

19 **WHEREAS**, Ms. Salava built many positive relationships with her CPS team members, HSD
20 partners, and community stakeholders; and,
21

22 **WHEREAS**, Ms. Salava has been a dedicated, consistent, caring and committed employee.
23 She was often found working early and has been a point person for the details of specific tasks
24 and policies as well as has carried much historic knowledge. She has been a go to for “who to
25 contact” when you need an answer or have a problem to solve; and,
26

27 **WHEREAS**, Ms. Salava has decided to retire from Rock County after 28 years of dedicated
28 service on February 28th, 2021 and we will miss her.
29

30 **NOW, THEREFORE, BE IT RESOLVED** that the Rock County Board of Supervisors,
31 duly assembled this twenty-fourth day of 2021 does hereby recognize Cheri Salava for her 28
32 years of service and extend best wishes to her in her future endeavors.

Resolution to Recognize Cheri Salava
Page 2

Rock County Human Services Board

Brian Knudson, Chair

Sally Jean Weaver-Landers, Vice Chair

Pam Bostwick

Ashley Kleven

Kaelyb Lokrantz

J. Russell Podzilni

Angelina Reyes

Kathy Schulz

Shirley Williams

COUNTY BOARD STAFF COMMITTEE

Richard Bostwick, Chair

Wes Davis, Vice Chair

Tom Brien

Kevin Leavy

Louis Peer

J. Russell Podzilni

Bob Yeomans

Alan Sweeney

Mary Beaver

RESOLUTION

ROCK COUNTY BOARD OF SUPERVISORS

Public Works Committee
INITIATED BY

Public Works Committee
SUBMITTED BY



Duane Jorgenson, Director of Public Works
DRAFTED BY

January 27, 2021
DATE DRAFTED

AUTHORIZING PURCHASE OF (1) PLOW, SAFETY STEPS AND STROBE LIGHTING FOR FACILITIES MANAGEMENT MOTOR POOL VEHICLE

1 **WHEREAS**, the Department of Public Works maintains a pool of vehicles used by various Rock County
2 departments; and,

3
4 **WHEREAS**, one (1) 2021 Silverado 3500 pickup truck has been ordered by State Contract from Ewald
5 Automotive Group for use by Rock County Facilities Management.

6
7 **WHEREAS** Purchasing and Public Works did review the bid specifications for compliance and
8 recommends purchasing one (1) 2021 Western MVP3 snowplow, one (1) standard back rack, one (1)
9 North American Signal strobe light and one (1) set of Luverne grip steps from Northland Equipment Co.,
10 Janesville, WI.

11
12 NOW, THEREFORE, BE IT RESOLVED by the Rock County Board duly assembled this _____
13 day of _____, 2021 that a Purchase Order be issued to Northland Equipment Co.,
14 Janesville, WI, for \$7856.00, for the purchase of one (1) 2021 Western MVP3 snowplow, one (1)
15 standard back rack, one (1) North American Signal strobe light and one (1) set of Luverne grip steps.

Respectfully submitted,

PUBLIC WORKS COMMITTEE

Rick Richard, Chair

Mary Mawhinney, Vice-Chair

Mike Mulligan

Yuri Rashkin

Bob Yeomans

LEGAL NOTE:

The County Board is authorized to take this action pursuant to secs. 59.01 and 59.51, Wis. Stats.

s/Richard Greenlee

Richard Greenlee
Corporation Counsel

FISCAL NOTE:

Sufficient funds were included in the Motor Pool Vehicle Account for these items. This account is funded by mileage charge backs to user departments.

/s/Sherry Oja

Sherry Oja
Finance Director

ADMINISTRATIVE NOTE:

Recommended.

/s/Josh Smith

Josh Smith
County Administrator

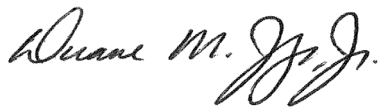
-EXECUTIVE SUMMARY-

AUTHORIZING PURCHASE OF MOTOR POOL VEHICLE UPFITTING
FOR THE ROCK COUNTY DEPARTMENT OF PUBLIC WORKS

The purpose of this resolution is to authorize the purchase of one (1) 2021 Western MVP3 snowplow, one (1) standard back rack, one (1) North American Signal strobe light and one (1) set of Luverne grip steps to be utilized by the Rock County Facilities Management Department for plowing parking lots at various Rock County facilities. This truck will also be used for various maintenance activities throughout the year, such as hauling parts, equipment, branches and yard waste. It will also be used to travel to outlying rental buildings for repairs (Eclipse Center, Franklin Street and Court Street). This vehicle was recommended and approved in the 2021 budget.

Therefore, it is recommended that one (1) 2021 Western MVP3 snowplow, one (1) standard back rack, one (1) North American Signal strobe light and one (1) set of Luverne grip steps be acquired from Northland Equipment Co., Janesville, Wisconsin.

Respectfully submitted,

A handwritten signature in cursive script that reads "Duane M. Jorgenson Jr.".

Duane M. Jorgenson Jr., P.E.
Director of Public Works

RESOLUTION

ROCK COUNTY BOARD OF SUPERVISORS

Southern Wisconsin Regional Airport Board
INITIATED BY



Gregory A. Cullen, C.M. Airport Director
DRAFTED BY

Sothern Wisconsin Regional Airport Board
SUBMITTED BY

February 9, 2021
DATE DRAFTED

AWARDING CONTRACT FOR NEW GATE AND OPENER AT SOUTHERN WISCONSIN REGIONAL AIRPORT AND AMENDING THE SOUTHERN WISCONSIN REGIONAL AIRPORT BOARD BUDGET

1 **WHEREAS**, Rock County owns an airport known as the Southern Wisconsin Regional
2 Airport; and,
3
4 **WHEREAS**, the Airport Department budgeted \$20,000 to replace one gate and its associated
5 electric gate opener on the airport; and,
6
7 **WHEREAS**, specifications for the gates were prepared and the County Purchasing Division
8 solicited bids for the construction; and,
9
10 **WHEREAS**, two bids were received and the lowest bid was from The Tschudy Corporation
11 DBA as American Fence Co. in Plover, Wisconsin in the amount of \$27,750.
12
13 **NOW THEREFORE, BE IT RESOLVED**, that the Rock County Board of Supervisors
14 duly assembled this _____ day of _____, 2021, does hereby award a
15 contract of \$27,750 for the replacement of one gate and its associated electric opener at the
16 Southern Wisconsin Regional Airport to The Tschudy Corporation DBA as American
17 Fence Co. in Plover, Wisconsin.
18
19 **BE IT FURTHER RESOLVED** that the Airport’s 2021 budget be amended as follows:

ACCOUNT/DESCRIPTION	BUDGET 2/15/21	INCREASE/ (DECREASE)	AMENDED BUDGET
<u>Source of Funds:</u>			
43-4453-4453-46400	\$0	\$7,750	\$7,750
Fund Balance			
<u>Use of Funds:</u>			
43-4453-4453-67120	\$67,300	\$7,750	\$75,050
Capital Assets \$2,000-\$25,000			

Respectfully Submitted:

SOUTHERN WISCONSIN REGIONAL
AIRPORT BOARD

/s/Brent Fox
Brent Fox, Chair

FINANCE COMMITTEE ENDORSEMENT
Reviewed and approved on a vote of _____

/s/Rick Richard
Rick Richard, Vice Chair

Mary Mawhinney, Chair

/s/Dave Homan
Dave Homan

/s/Eric Baker
Eric Baker

/s/Dick Cope
Dick Cope

/s/Greg Johnson
Greg Johnson

/s/Joe Quint
Joe Quint

/s/Katie Reese
Katie Reese

Vacant

LEGAL NOTE:

The County Board is authorized to take this action pursuant to secs. 5901 and 59.51, Wis. Stats. In addition, sec. 59.52(29), Wis. Stats., requires the project to be let to the lowest responsible bidder. As an amendment to the adopted 2021 County Budget, this Resolution requires a 2/3 vote of the entire membership of the County Board pursuant to sec. 65.90(5)(a), Wis. Stats.

s/Richard Greenlee

Richard Greenlee
Corporation Counsel

FISCAL NOTE:

This project is being funded with \$20,000 in sales tax revenue and \$7,750 in Airport Fund Balance. Fund balance is available due to savings from prior projects.

/s/Sherry Oja

Sherry Oja
Finance Director

ADMINISTRATIVE NOTE:

Recommended.

/s/Josh Smith

Josh Smith
County Administrator

EXECUTIVE SUMMARY

AWARDING CONTRACT FOR NEW GATE AND OPENER AT SOUTHERN WISCONSIN REGIONAL AIRPORT AND AMENDING BUDGET

The airport installed a perimeter fence in the fall of 2001. This was installed as a measure to increase security and detract wildlife from entering the airport operations area. During the original installation, there were several gates installed with electronic opening systems. Over the years, more gates were added at various locations with different manufactured operating systems. At one point, the airport had three different electronic operating systems. This was troublesome when repairs were needed. In the past three years, there has been a strategic effort to replace worn out systems with a single manufactured electronic system.

Gate #1 is one of the original gates and operating systems. This is the primary gate for the entire airport located on South Oakhill Ave. adjacent to the maintenance shop. The maintenance equipment and Aircraft Rescue and Fire Fighting vehicle must use this gate on a daily basis. The Janesville Fire Department uses this gate as their primary response location to access the airfield during an aircraft emergency. Additionally, the tenant farming airport land uses this gate to access a large part of the tillable acres with his equipment. This gate and operating system have been repaired numerous times. Gate #1 needs to be replaced and the operating system changed to maintain the security and efficiency of the airport.

The approved budgeted amount for this project was \$20,000. The project was placed out for competitive bid. The lowest, most responsible bidder was The Tschudy Corporation DBA American Fence Co at \$27,750. Therefore, we request a budget amendment of an additional \$7,750 and to approve The Tschudy Corporation DBA American Fence Co as the contractor of this project.




BID SUMMARY FORM


BID NUMBER 2021-10
BID NAME GATE OPENERS
BID DUE DATE FEBRUARY 3, 2021 – 1:30 P.M.
DEPARTMENT SOUTHERN WISCONSIN REGIONAL AIRPORT

	THE TSCHUDY CORP D/B/A AMERICAN FENCE PLOVER WI	CENTURY FENCE PEWAUKEE WI
BASE BID	\$ 27,750.00	\$ 37,170.00
ADDENDUM RECEIVED	YES	YES
START DATE	4/5/2021	AFTER FROST
COMPLETION	4/9/2021	1 WEEK AFTER START

Invitation to Bid was advertised in the Beloit Daily News and on the Internet. Five additional vendors were solicited that did not respond.

PREPARED BY: JODI MILLIS, PURCHASING MANAGER

DEPARTMENT HEAD RECOMMENDATION: The Tschudy Corp. American Fence

 SIGNATURE _____ DATE 15 Feb 21

GOVERNING COMMITTEE APPROVAL:

 CHAIR _____ VOTE 8/0 + 1 vacancy DATE 2-15-21

**RESOLUTION
ROCK COUNTY BOARD OF SUPERVISORS**

Public Safety and Justice
INITIATED BY



Kathren Sucus, Director
DRAFTED BY

Public Safety and Justice
SUBMITTED BY

February 8, 2021
DATE DRAFTED

Accepting NG9-1-1 Reimbursement Grant, Approving Purchase of Eventide NEXLOG-740DX Logging Recorder Systems and Amending the 2021 Budget

1 **WHEREAS**, the Rock County Communications Center is the records custodian for all public safety
2 telephone and radio audio recordings in Rock County; and,
3

4 **WHEREAS**, the State of Wisconsin has awarded the Rock County Communications Center federal
5 funding through the Wisconsin NG9-1-1 Reimbursement Grant in the amount of \$81,530.40 to purchase
6 a Next Generation 9-1-1 capable logging recorder system and backup; and,
7

8 **WHEREAS**, the Communications Center received a quote for \$81,500.00 for both systems from our
9 current vendor and authorized Eventide re-seller, General Communications, Inc., based off the General
10 Services Administration (GSA) Contract Number #GS-35F-0415V; and,
11

12 **WHEREAS**, grant conditions require Rock County to cover the total cost of the project prior to receiving
13 60% reimbursement in the amount of \$48,900.00 after completion of the project, and;
14

15 **WHEREAS**, Rock County will ultimately be responsible to provide the 40% match in the amount of
16 \$32,600.00; and,
17

18 **WHEREAS**, the Communications Center’s budget requires an amendment to cover this purchase, and;
19

20 **NOW, THEREFORE, BE IT RESOLVED** by the Rock County Board of Supervisors duly assembled
21 this _____ day of _____, 2021 authorizes the purchase in the amount of \$81,500.00 to be
22 awarded to General Communications, Inc., of Madison, Wisconsin and approves the budget amendments
23 as follows:
24

<u>Account/ Description</u>	<u>Budget 02/01/2021</u>	<u>Increase (Decrease)</u>	<u>Amended Budget</u>
<u>Source of Funds</u>			
23-2400-0000-42200 State Aid	-0-	\$48,900	\$48,900
23-2400-0000-47010 General Fund Application	-0-	\$32,600	\$32,600
<u>Use of Funds</u>			
23-2400-0000-67125 Capital Assets	\$230,000	\$81,500	\$311,500

EXECUTIVE SUMMARY

The Rock County Communications Center was awarded federal funding through the Wisconsin NG9-1-1 Reimbursement Grant Program to assist in the upgrade to Next Generation 9-1-1 equipment.

The Communications Center submitted a project plan for \$81,530.40 to replace our end of life Eventide Logging Recorder System. General Communications was the original vendor for our current Eventide system and is also the Communications Center's current maintenance provider. They submitted a quote for \$81,500.00 based off of GSA Contract #GS-35F-0415V for the purchase and installation of the new recording systems.

Because this is a reimbursement grant the total costs must be paid for up front by Rock County. Once the project is complete the county will be reimbursed 60% (\$48,900.00) and be responsible for the remaining 40% (\$32,600.00).

This project was not included in the Communications Center's 2021 budget therefore the purchase will require a budget amendment as noted in the resolution.



February 05, 2021

Rock County Communications
 3636 N County Highway F
 Janesville, WI 53545

RE: NextGen 9-1-1 Logging Recorder Upgrade

CONTRACT NUMBER: GS-35F-0415V

CONTRACT PERIOD: May 5, 2009 through May 4, 2024

The following is our quote to upgrade the Eventide Recorders to the new DX series with NG9-1-1 i3 capabilities. The 2019-22 Federal NextGen 9-1-1 Grant will reimburse the County for 60% of the total price.

Replace #74000594				
Qty	Description	Model #	Price	Ext Price
1	NexLog 740 DX-Series base system: 3U rack-mountable, Core i5 CPU, 16GB DDR4 RAM, 2 x1TB fixed-Mount HDDs (RAID 1), 1 Blu-ray Multi-Drive, 2 Network Ports (100/1000), Embedded Linux, NexLog DX-Series software, web- based configuration manager, audio controls & amplified speaker on front panel, dual hot- swap 120-240VAC 50/60Hz power supplies and first year hardware warranty. Requires ongoing Eventide DX Software Update Subscription (DXSUS) for access to critical DX-Series Software & Security Updates.	NexLog740DX	\$6,475.95	\$6,475.95
1	Non-display Front Panel - NexLog 740 DX-Series (Standard)	DX702	N/C	N/C
1	Upgrade 740 DX-Series (at time of order) to 4x2TB Hot Swap RAID5=6TB storage	DX711	\$3,879.90	\$3,879.90
1	Dual Port 100MB/1000 PCIe (PCI Express) Network Card	DX754	\$534.60	\$534.60
Analog Record Channels = 72				
3	24-Channel Analog PCIe (PCI Express) Card, 24 Ch. Licenses (For use in DX-Series recorders only)	DXANA24	\$4,860.00	\$14,580.00
IP Record Channels = 48				
1	Internal IP Recorder with First 8 G.711 8 channels	271052	\$3,118.50	\$3,118.50
5	Additional Internal IP G.711 8-Channel license pack	271035	\$1,417.50	\$7,087.50
PC Screen Recording = 15				
1	Windows Screen Recording (First 5 PCs on recorder)	271070	\$2,025.00	\$2,025.00
2	Windows Screen Recording 5 additional PC's on recorder	271076	\$405.00	\$810.00
Licenses				
1	911 NENA ANI/ ALI CAD Spill Integration	209029	\$2,830.95	\$2,830.95

1	Eventide Interface license (audio) for West VIPER 911 IP/SPAN Recording	271139	\$2,020.95	\$2,020.95
1	TXT to 911 Recording Enabler for IP channels for West VIPER, Emergitech	271171	\$1,615.95	\$1,615.95
1	Metadata Integration for Dispatch, RoIP, and Other Systems	209157	\$2,830.95	\$2,830.95
	PC Remote Access License			
1	8 pack MediaWorks DX web concurrent license (About MediaWorks DX: Advanced browser based HTML5 interface for Search, Replay, Incident Reconstruction, Incident Export, Live Monitoring, Instant Recall and more)	271083	\$805.95	\$805.95
1	Geo Search/View (Requires Lat/Lon, MW PLUS, Google Maps)	271098	\$805.95	\$805.95
1	NexLog Reporting Enhanced Reports Engine	115021	\$1,210.95	\$1,210.95
1	Programming, Set-up & Installation	Labor	\$2,500.00	\$2,500.00
		Sub-Total:	\$-	\$53,133.10
	Replace #74000595 - Backup System			
	NexLog Base System			
1	NexLog 740 DX-Series base system: 3U rack-mountable, Core i5 CPU, 16GB DDR4 RAM, 2 x1TB fixed-Mount HDDs (RAID 1), 1 Blu-ray Multi-Drive, 2 Network Ports (100/1000), Embedded Linux, NexLog DX-Series software, web- based configuration manager, audio controls & amplified speaker on front panel, dual hot- swap 120-240VAC 50/60Hz power supplies and first year hardware warranty. Requires ongoing Eventide DX Software Update Subscription (DXSUS) for access to critical DX-Series Software & Security Updates.	NexLog740DX	\$6,475.95	\$6,475.95
1	Non-display Front Panel - NexLog 740 DX-Series (Standard)	DX702	N/C	N/C
1	Upgrade 740 DX-Series (at time of order) to 2x2TB Hot Swap RAID1=2TB storage	DX705	\$3,879.90	\$3,879.90
1	Dual Port 100MB/1000 PCIe (PCI Express) Network Card	DX754	\$534.60	\$534.60
	Analog Channels = 72			
3	24-Channel Analog PCIe (PCI Express) Card, 24 Ch. Licenses (For use in DX-Series recorders only)	DXANA24	\$4,860.00	\$14,580.00
	IP Channels = 48		\$-	\$-
1	Internal IP Recorder with First 8 G.711 Channels	271052	\$3,118.50	\$3,118.50
5	Additional Internal IP G.711 8-Channel license pack	271035	\$1,417.50	\$7,087.50
	Licenses			
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	PC Remote Access License			
1	8 pack MediaWorks DX web concurrent license (About MediaWorks DX: Advanced browser based HTML5 interface for Search, Replay, Incident Reconstruction, Incident Export, Live Monitoring, Instant Recall and more)	271083	\$805.95	\$805.95
1	Programming, Set-up & Installation	Labor	\$2,000.00	\$2,000.00
		Sub-Total:		\$46,003.25
		Total:		\$99,136.35
		Trade-in Discount:		\$17,636.35
		Grand Total:		<u>\$81,500.00</u>

Attached are the brochures for the Eventide Nexlog 740 DX recorder.

If you have any questions, please email rwestgard@gencomm.com or call 608-310-7127.

Sincerely,

Rich Westgard

Rich Westgard
Service Manager

RESOLUTION
ROCK COUNTY BOARD OF SUPERVISORS

Kate Luster
INITIATED BY



Marci Taets
DRAFTED BY

Human Services Board
SUBMITTED BY

February 10, 2021
DATE DRAFTED

**AMENDING THE 2021 HSD BUDGET AND CREATING 1.0 FTE HS SUPERVISOR I,
1.0 FTE HS PROFESSIONAL LEAD WORKER, 3.0 FTE HS PROFESSIONAL AND
1.0 ADMINISTRATIVE ASSISTANT POSITIONS TO MEET WAITLIST ELIMINATION
REQUIREMENTS IN THE CHILDREN’S LONG TERM SUPPORT PROGRAM**

1 **WHEREAS**, the Human Services Department’s Children’s Long Term Support (CLTS) program makes
2 Medicaid funding available to serve children who have substantial limitations due to developmental,
3 emotional and/or physical disabilities; and,
4

5 **WHEREAS**, the Wisconsin Department of Health Services (DHS) began an initiative to eliminate the
6 waitlist for CLTS services in 2017; and,
7

8 **WHEREAS**, since November 2017, Rock County CLTS has opened and served an additional 424
9 children; and,
10

11 **WHEREAS**, DHS has now moved to a continuous statewide enrollment model and a statewide budget
12 for CLTS services to comply with federal regulations; and,
13

14 **WHEREAS**, Rock County CLTS is now required to serve children as they are determined eligible for
15 services; and,
16

17 **WHEREAS**, in order to serve additional children, it is necessary to increase the capacity of the CLTS
18 program by one supervisor, one human services professional who will serve as a lead worker, three
19 human services professionals who will serve as case managers and an administrative assistant; and,
20

21 **WHEREAS**, the new positions will be funded through an increase in the case management rate, overall
22 case management billing, additional administrative dollars awarded in the 2021 DHS State and County
23 contract, and a portion of the County’s existing Maintenance of Effort (MOE) match amount funded
24 through the CCOP program; and,
25

26 **WHEREAS**, this additional revenue in the CLTS program will also cover the costs of related technology
27 such as computers, software, cell phones, and mobile hotspots as well as other expenses incurred or
28 anticipated this year; and,
29

30 **WHEREAS**, it is necessary to make several changes to the CLTS budget to account for the additional
31 revenue and an internal transfer to fund the MOE; and,
32

33 **WHEREAS**, no additional county levy is required in 2021 to fund this expansion.
34

35 **NOW, THEREFORE, BE IT RESOLVED** that the Rock County Board of Supervisors duly assembled
36 this _____ day of _____, 2021 does hereby approve the creation of 1.0 Human Services
37 Supervisor I, 1.0 FTE Human Services Professional Lead Worker and 2.0 FTE Human Services
38 Professionals effective April 1, 2021; 1.0 FTE Human Services Professional effective August 1, 2021;
39 and 1.0 FTE Administrative Assistant position effective October 1, 2021, as well as the purchase of
40 computers, software, cell phones, and mobile hotspots for the new positions; and,
41

42 **BE IT FURTHER RESOLVED**, that the 2021 Budget be amended as follows:
43
44

Account	Description	Budget 2/1/21	Increase	Amended Budget
Source of Funds				
36-3691-0000-42100	FEDERAL AID	3,175,000	209,110	3,384,110
Use of Funds				
36-3691-0000-61100	REGULAR WAGES	1,726,838	182,131	1,908,969
36-3691-0000-61400	FICA	132,485	13,932	146,417
36-3691-0000-61510	RETIREMENT-EMPLOYERS	116,899	12,293	129,192
36-3691-0000-61610	HEALTH INSURANCE	543,378	60,378	603,756
36-3691-0000-61620	DENTAL INSURANCE	15,609	1,735	17,344
36-3691-0000-61630	LIFE INSURANCE	251	28	279
36-3691-0000-62210	TELEPHONE	14,000	2,684	16,684
36-3691-0000-62491	SOFTWARE MAINT	19,252	4,000	23,252
36-3691-0000-67130	TERMINALS & PC'S	0	7,800	7,800
36-3691-0000-68324	COP ALLOCATION	-7,777	-75,871	-83,648
36-3668-0000-64604	PROGRAM EXPENSE	257,041	-75,871	181,170
36-3668-0000-68230	ALLOCATED CLTS	7,777	75,871	83,648

Respectfully submitted,

HUMAN SERVICES BOARD

COUNTY BOARD STAFF COMMITTEE

Brian Knudson, Chair

Richard Bostwick, Chair

Sally Jean Weaver-Landers, Vice Chair

Wes Davis, Vice Chair

Pam Bostwick

Tom Brien

Ashley Kleven

Kevin Leavy

Kaelyb Lokrantz

Louis Peer

J. Russell Podzilni

J. Russell Podzilni

Angelina Reyes

Bob Yeomans

Kathy Schultz

Alan Sweeney

Shirley Williams

Mary Beaver

FINANCE COMMITTEE ENDORSEMENT

Reviewed and approved on a vote of _____.

Mary Mawhinney, Chair

Date

AMENDING THE 2021 HSD BUDGET AND CREATING 1.0 FTE HS SUPERVISOR I,
1.0 FTE HS PROFESSIONAL LEAD WORKER, 3.0 FTE HS PROFESSIONAL AND
1.0 ADMINISTRATIVE ASSISTANT POSITIONS TO MEET WAITLIST ELIMINATION
REQUIREMENTS IN THE CHILDREN'S LONG TERM SUPPORT PROGRAM

Page 3

LEGAL NOTE:

The County Board is authorized to take this action pursuant to §59.22(2), Wis. Stats. As an amendment to the adopted 2021 County Budget, this Resolution requires a 2/3 vote of the entire membership of the County Board pursuant to sec. 65.90(5)(a), Wis. Stats.

s/Richard Greenlee

Richard Greenlee
Corporation Counsel

FISCAL NOTE:

The costs associated with adding these positions will be funded by federal aid. No additional County funds are required.

/s/Sherry Oja

Sherry Oja
Finance Director

ADMINISTRATIVE NOTE:

Recommended.

/s/Josh Smith

Josh Smith
County Administrator

EXECUTIVE SUMMARY**AMENDING THE 2021 HSD BUDGET AND CREATING 1.0 FTE HS SUPERVISOR I, 1.0 FTE HS PROFESSIONAL LEAD WORKER, 3.0 FTE HS PROFESSIONAL AND 1.0 ADMINISTRATIVE ASSISTANT POSITIONS TO MEET WAITLIST ELIMINATION REQUIREMENTS IN THE CHILDREN'S LONG TERM SUPPORT PROGRAM**

This resolution amends the Human Services Department budget to reflect changes in the State's administration of the Children's Long Term Support (CLTS) program and authorizes HSD to create 6.0 FTE positions to support the program as follows: 1.0 Human Services Supervisor I, 1.0 FTE Human Services Professional Lead Worker and 2.0 FTE Human Services Professionals effective April 1, 2021; 1.0 FTE Human Services Professional effective August 1, 2021; and 1.0 FTE Administrative Assistant position effective October 1, 2021. In addition, the resolution authorizes the purchase of computers, software, cell phones, and mobile hotspots for the new positions.

The State of Wisconsin began an initiative to eliminate the waitlist for CLTS services in 2017. From November 2017 through November 2020, Rock County CLTS has opened and served an additional 345 children. In November 2020, the State moved to continuous statewide enrollment which requires Rock County CLTS to serve children as they are determined eligible for services. Since this change was made, Rock County CLTS has opened 79 additional children, resulting in high caseloads that cannot be sustained. Total enrollment in the program as of January 31st is 617 children.

The Human Services Professional positions (the lead worker and case managers) are necessary to ensure that staff have manageable caseloads of 25 to meet the needs of all of the children in the CLTS program. These positions are responsible for determining eligibility for Children's programs and coordinating services for program participants. As the number of Human Services professionals has grown, it is also necessary to hire an additional Supervisor so that the program will have four units with each Supervisor providing the necessary support and oversight for 7-8 CLTS case managers. It is anticipated that the supervisor, the lead worker, and two case managers will be hired in April to increase program capacity as soon as possible. A third case manager position will be added in August if needed.

The Administrative Services division has also identified a need for another Administrative Assistant to support the CLTS program as it grows. This position would be hired in the fourth quarter of 2021 to assist with paperwork and recordkeeping as new program participants become fully matched with supports and services.

The resolution authorizes the creation of these positions along with the equipment necessary for each staff. The new positions will be funded through an increase in the case management rate, overall case management billing, additional administrative dollars awarded in the 2021 DHS State and County contract, and a portion of the County's existing Maintenance of Effort (MOE) match amount funded through the CCOP program.

RESOLUTION

ROCK COUNTY BOARD OF SUPERVISORS

Supervisors Doug Wilde and
Brian Knudson
INITIATED BY



Supervisor Doug Wilde
and County Administrator
Josh Smith
DRAFTED BY

Health Services Committee
SUBMITTED BY

February 8, 2021
DATE DRAFTED

Providing Additional Compensation and Incentives to Rock Haven Staff, Improving Recruitment Activities, Investigating the Work Environment and Amending the 2021 Budget

1 **WHEREAS**, Rock Haven is in need of addressing staffing shortages; and,

2

3 **WHEREAS**, Rock Haven employees are some of the County’s lowest compensated staff; and,

4

5 **WHEREAS**, a package of increases to base compensation, recruitment and retention incentives for
6 new hires, referral incentives for current staff, and overtime and shift differential adjustments will help
7 address staffing issues; and

8

9 **WHEREAS**, improved partnerships with nursing programs will also improve the pipeline for new
10 staff recruitment; and

11

12 **WHEREAS**, additional and objective information is needed to better understand interactions between
13 management and staff at Rock Haven in order to improve the work environment, which in the long
14 term will lead to improved staff hiring and retention.

15

16 **NOW, THEREFORE, BE IT RESOLVED**, that the Rock County Board of Supervisors duly
17 assembled this _____ day of _____, 2021 does hereby reallocate Certified Nursing Assistants
18 and Activity Therapy Assistants from Range 25 to Range 21 of the 1258 pay grid; provide a base wage
19 increase for Licensed Practical Nurses in the same amount as Certified Nursing Assistants and Activity
20 Therapy Assistants by adjusting amounts in Range 2A of the 1258 pay grid; and increasing the base
21 wages of Registered Nurses by \$1.00 by adjusting the amounts in Range IV of the AMHS RH pay
22 grid.

23

24 **BE IT FURTHER RESOLVED**, newly hired Certified Nursing Assistants, Licensed Practical
25 Nurses, and Registered Nurses be provided with recruitment and retention incentives as follows: \$500
26 upon starting, \$500 at 6 months, and \$1,000 at 12 months. This program will be in effect for new hires
27 who begin their employment with Rock Haven no later December 31, 2021, at which time the
28 program will be re-evaluated to determine whether it will be extended.

29

30 **BE IT FURTHER RESOLVED**, currently employed staff in the positions of Certified Nursing
31 Assistant, Licensed Practical Nurse, Registered Nurse, Activity Therapy Assistant, Environmental
32 Service Worker, Food Service Worker, and Cook who have been employed less than 6 months or less
33 than 12 months will receive retention incentives of \$500 when they have been employed for 6 months
34 and \$1,000 when they have been employed for 12 months.

35

36 **BE IT FURTHER RESOLVED**, if any Rock Haven staff refers an individual to apply for a Certified
37 Nursing Assistant, Licensed Practical Nurse, or Registered Nurse position at Rock Haven and that
38 individual accepts employment, the referring staff member will receive a referral incentive of \$500
39 when the referred individual begins their employment, \$500 when the referred individual reaches
40 6 months of employment, and \$1,000 when the referred individual reaches 12 months of employment.
41 This program will be in effect for new hires who begin their employment with Rock Haven no later
42 December 31, 2021, at which time the program will be re-evaluated to determine whether it will be
43 extended.

Providing Additional Compensation and Incentives to Rock Haven Staff, Improving Recruitment Activities, Investigating the Work Environment and Amending the 2021 Budget

Page 2

44 **BE IT FURTHER RESOLVED**, Policy 5.31 in the Administrative Policy and Procedure Manual is
 45 amended under the AFSCME 1258 header by inserting the following language: “Licensed Practical
 46 Nurses, Certified Nursing Assistants, Activity Therapy Assistants, Environmental Service Workers,
 47 Food Service Workers, and Cooks volunteering to pick up open shifts and/or volunteer to work on
 48 short notice as a result of call-offs, shall receive one and one-half times the regular rate of pay for such
 49 duty.”

50
 51 **BE IT FURTHER RESOLVED**, Policy 5.37 in the Administrative Policy and Procedure Manual is
 52 amended under the AFSCME 1258 header as follows: “Shift Differential for all personnel (regular or
 53 pool) will be paid based on the shift worked. Any employee, who works the pm or night shift will
 54 receive a shift premium of ~~\$1.00~~ \$2.00 for all hours worked during the pm or night shift.”

55
 56 **BE IT FURTHER RESOLVED**, Rock Haven will participate in a program in partnership with
 57 Blackhawk Technical College to pay costs, including tuition, testing, and certification, of individuals
 58 interested in becoming Certified Nursing Assistants and who agree to 1) accept employment at Rock
 59 Haven for a period of not less than one year following completion of their studies and 2) repay all
 60 costs funded by Rock Haven on a pro-rata basis if they fail to remain employed for one year.

61
 62 **BE IT FURTHER RESOLVED**, Rock Haven is directed to establish a formal process for engaging
 63 with staff to improve communications and discuss policy changes, and to regularly report to the Health
 64 Services Committee regarding this process and its outcomes.

65
 66 **BE IT FURTHER RESOLVED**, the Ad Hoc Committee on the Future of Rock Haven is directed to
 67 engage a third-party to investigate the work environment, climate, and culture at Rock Haven,
 68 including compliance with and potential violations of the Personnel Ordinance and the Human
 69 Resources section of the Administrative Policy and Procedure Manual related to interactions between
 70 management and staff. The third-party will report its findings to both the Ad Hoc Committee on the
 71 Future of Rock Haven and the Blue Ribbon Commission on Organizational Excellence.

72
 73 **BE IT FURTHER RESOLVED**, the 2021 budget be amended as follows:

<u>Account/ Description</u>	<u>Budget 2/1/21</u>	<u>Increase (Decrease)</u>	<u>Amended Budget</u>
<u>Source of Funds</u>			
32-7160-7100-46400 Rock Haven Fund Balance	-0-	\$297,539	\$297,539
<u>Use of Funds</u>			
32-7160-7100-61100 Wages	\$8,029,539	\$246,975	\$8,276,514
32-9000-9940-61400 FICA	\$658,680	\$18,894	\$677,574
32-9000-9940-61510 Retirement	\$581,186	\$16,670	\$597,856
32-8000-6500-64205 Staff Education	-0-	\$15,000	\$15,000

Executive Summary

Providing Additional Compensation and Incentives to Rock Haven Staff, Improving Recruitment Activities, Investigating the Work Environment and Amending the 2021 Budget

This resolution makes a number of changes to the compensation for Rock Haven staff in order to address both long-standing and acute recruitment and retention issues. For example, as of February 11, 2021, there were 40 Certified Nursing Assistant (CNA) positions vacant, an increase from the 28 positions that were vacant as of January 1, 2021 (out of a total of 92 authorized positions). Similarly, there were 6 Registered Nurse (RN) vacancies (out of 26 authorized) and 4 Licensed Practical Nurse (LPN) vacancies (out of 16 authorized).

Over the last 5 years (2016-2020), an average of 30 CNAs per year have left employment at Rock Haven. Of these, 19 CNAs per year have left employment within the first year, which includes an average of 4 CNAs per year who were hired but left before starting in their position. During this same time period, an average of 6 LPNs and 7 RNs have left employment per year; of these, an average of 3 LPNs and 3 RNs per year have left Rock Haven during their first year of employment.

Base Wage Increase

The resolution provides base wage increases to CNAs, Activity Therapy Assistants (ATAs), Licensed Practical Nurses (LPNs), and Registered Nurses (RNs). Human Resources staff regularly hear that base wages are the most important factor for new hires. Based on a comparison of wages at local skilled nursing facilities conducted by the Human Resources Department, CNAs would be reallocated from pay range 25 to pay range 21 in the 1258 pay grid. Range 21 and the increase in each step of the range are shown below. The estimated annual cost for these CNA and ATA wage and benefit increases totals \$97,711. The cost for the remainder of 2021 is estimated to total \$81,426.

Range 21

Start	6	18	30	60	120	180	240
\$15.32	\$16.39	\$16.81	\$17.17	\$17.75	\$18.26	\$19.02	\$19.40

Hourly Wage Rate Increase (as compared to Range 25)

Start	6	18	30	60	120	180	240
\$1.09	\$1.14	\$1.08	\$0.90	\$0.94	\$0.98	\$1.04	\$1.00

The hourly wage rates for LPNs in Range 2A of the 1258 pay grid would be adjusted by the same amount as that provided to CNAs. The new Range 2A is shown below. The estimated annual cost for these LPN wage and benefit increases totals \$24,082. The cost for the remainder of 2021 is estimated to total \$20,068.

Adjusted Range 2A

Start	6	18	30	60	120	180	240
\$23.84	\$24.33	\$24.72	\$25.03	\$25.55	\$26.09	\$26.90	\$27.37

Hourly Wage Rate Increase (as compared to old Range 2A)

Start	6	18	30	60	120	180	240
\$1.09	\$1.14	\$1.08	\$0.90	\$0.94	\$0.98	\$1.04	\$1.00

The hourly wage rates for RNs in Range IV of the AMHS-Rock Haven pay grid would be adjusted by \$1.00/hour in each step of the range. The estimated annual cost for these RN wage and benefit increases totals \$44,094. The cost for the remainder of 2021 is estimated to total \$36,745.

Adjusted Range IV

Start	6 mos.	2 yr.	3	4	5	6	7	8	9	15	20
\$30.06	\$31.01	\$32.00	\$32.91	\$33.87	\$34.80	\$35.74	\$36.68	\$37.61	\$38.58	\$39.68	\$40.47

The wage rate for pool CNAs, LPNs, and RNs would increase by \$1.00/hour.

The total cost for these base wage increases equals \$165,887 annually, or \$138,239 for 2021.

Recruitment, Retention, and Referral Incentives

Several skilled nursing facilities in the area offer incentive payments to recruit and retain staff in amounts averaging \$2,000. This resolution would create a \$2,000 incentive program for CNAs, LPNs, and RNs who accept employment with Rock Haven upon approval of the resolution and through December 31, 2021. New hires would receive \$500 upon starting, \$500 at six months, and

Providing Additional Compensation and Incentives to Rock Haven Staff, Improving Recruitment Activities, and Investigating the Work EnvironmentPage 2

\$1,000 at 12 months. Current staff who have been employed less than six months and/or 12 months will receive the same amount of retention incentives when they reach those milestones. In addition, any Rock Haven staff who refer a CNA, LPN, or RN who accepts employment will receive a referral incentive of \$500 when the referred staff starts, and \$500 at six months and \$1,000 at 12 months as long as the referred employee remains employed at those milestones. The cost of these changes is dependent on how successful the program is in recruiting and retaining staff.

Based on the average number of new hires over the past five years and accounting for reductions in turnover, the estimated cost for new hire incentives totals \$58,000, of which approximately \$29,000 could be paid in 2021. It is difficult to estimate costs for referrals, but if we assume half of all new hires would be referred by a current staff member, the annual costs would total \$29,000, with \$14,500 paid in 2021. Using these estimated figures, the annual costs of these programs for new hires and referrals could total \$87,000 annually, and \$43,500 in 2021. The program to provide retention incentive payments to current staff would incur most if not all of its costs in 2021 and not be an ongoing cost. Adding the estimated \$35,000 cost for incentives for retention of current staff in 2021 brings the total estimated 2021 cost for recruitment, retention, and referral incentives to \$78,500.

Overtime Policy Change

Currently, RNs receive time-and-a-half overtime when they voluntarily pick up shifts, including on short notice. This resolution would amend the Policy and Procedure Manual to create the same policy for CNAs, LPNs, ATA's, Environmental Service Workers, Food Service Workers, and Cooks. The cost of this policy change is indeterminate based on available data.

Shift Differential Policy Change

Currently, RNs receive a \$2.00 shift differential when they work the pm or night shift. This resolution would amend the Policy and Procedure Manual to increase the shift differential payment for CNAs and LPNs from \$1.00 to \$2.00 to be consistent with the amounts paid to the RNs. The cost of this policy change is estimated to be \$79,000 annually and \$65,800 for the remainder of 2021.

Partnership with Blackhawk Technical College

In the past, Rock Haven has partnered with Blackhawk Technical College to develop a pipeline of CNAs who would work at Rock Haven upon completion of their CNA program. This resolution directs Rock Haven to re-establish a similar partnership that would include Rock Haven covering the costs of completing the CNA program for CNAs who agree to become employed at Rock Haven. This could include both reimbursing CNAs for the costs of school, or employing individuals before they begin the CNA program and paying their educational costs up front. Individuals would agree to remain employed at Rock Haven for one year. No data exists on the number of CNA staff who are hired directly from the BTC program. However, if we assume half of the average number of new CNA hires over the last five years are hired through this new partnership and the CNA program at BTC, which costs around \$1,000, the cost of the program could total \$15,000 annually.

Establishment of a Formalized Communication Process

To address long-standing communication issues between management and staff, Rock Haven is directed to establish formal processes for communicating with staff, including regarding policy changes and other issues affecting working conditions. The Nursing Home Administrator would be responsible for updating the Health Services Committee regularly on these communications and their outcomes.

Investigation into Work Environment, Climate, and Culture

This resolution directs the Ad Hoc Committee on the Future of Rock Haven to contract with the third-party to investigate work environment, climate, and culture at Rock Haven, including potential violations of the Personnel Ordinance and the Human Resources section of the Administrative Policy and Procedure Manual related to interactions between management and staff. The third-party will report its findings to both the Ad Hoc Committee on the Future of Rock Haven and the Blue Ribbon Commission on Organizational Excellence. The 2020 Budget included \$35,000 for the Ad Hoc Committee to contract with an external consultant. These funds remain available for this purpose.

Overall, it is estimated that this resolution would cost \$297,539 in 2021. Costs in 2022 would depend on whether certain programs were continued next year, but base wage adjustments, shift differential, and the 12-month payment on retention incentives are estimated to cost \$303,387 in 2022.

RESOLUTION AWARDING THE SALE OF \$22,610,000
GENERAL OBLIGATION HUMAN SERVICES BUILDING BONDS;
PROVIDING THE FORM OF THE BONDS; AND
LEVYING A TAX IN CONNECTION THEREWITH

WHEREAS, on August 13, 2020, the County Board of Rock County, Wisconsin (the "County") adopted a resolution entitled: "Initial Resolution Authorizing General Obligation Bonds and/or Notes in an Amount Not to Exceed \$22,610,000" (the "Authorizing Resolution") which authorized the issuance and sale of general obligation bonds and/or notes for the purpose of paying the cost of renovating a county-owned building to house the Human Services Resource Center (the "Project");

WHEREAS, pursuant to the Authorizing Resolution, the Finance Director (in consultation with the County's financial advisor) caused an Official Notice of Sale to be distributed, offering the aforesaid general obligation bonds for public sale on February 25, 2021; and

WHEREAS, sealed bid proposals were received as summarized on Exhibit C attached hereto; and

WHEREAS, it has been determined that the bid proposal (the "Proposal") submitted by _____, _____, _____, fully complies with the bid requirements set forth in the Official Notice of Sale and is deemed to be the most advantageous to the County. A copy of said bid is attached hereto as Exhibit A and incorporated herein by this reference.

NOW, THEREFORE, BE IT RESOLVED by the County Board of the County that:

Section 1. Award of the Bonds. The bid proposal of _____, _____, _____ (the "Purchaser") is hereby accepted, said proposal offering to purchase the TWENTY-TWO MILLION SIX HUNDRED TEN THOUSAND DOLLARS (\$22,610,000) General Obligation Human Services Building Bonds (the "Bonds") for the sum of _____ DOLLARS (\$ _____), plus accrued interest to the date of delivery resulting in a net interest cost of _____ DOLLARS (\$ _____) and a true interest rate of _____%.

Section 2. Terms of the Bonds. The Bonds shall be designated "General Obligation Human Services Building Bonds"; shall be dated March 23, 2021; shall be in the denomination of \$5,000 or any integral multiple thereof; shall bear interest at the rates per annum and mature on September 1 of each year, in the years and principal amounts as set forth in the Pricing Summary attached hereto as Exhibit D and incorporated herein by this reference. Interest is payable semi-annually on September 1 and March 1 of each year commencing March 1, 2022. The schedule of principal and interest payments due on the Bonds is set forth on the Debt Service Schedule attached hereto as Exhibit E and incorporated herein by this reference (the "Schedule").

Section 3. Designation of Purchaser as Agent. The County hereby designates the Purchaser as its agent for purposes of distributing the Final Official Statement relating to the Bonds to any participating underwriter in compliance with Rule 15c2-12 of the Securities and Exchange Commission.

Section 4. Redemption Provisions. At the option of the County, the Bonds maturing on September 1, 2032 and thereafter shall be subject to redemption prior to maturity on September 1, 2031 or on any date thereafter. Said Bonds shall be redeemable as a whole or in part, from maturities selected by the County and within each maturity by lot, at the principal amount thereof, plus accrued interest to the date of redemption.

Section 5. Form of the Bonds. The Bonds shall be issued in registered form and shall be executed and delivered in substantially the form attached hereto as Exhibit B and incorporated herein by this reference.

Section 6. Direct Annual Irrepealable Tax Levy. For the purpose of paying the principal of and interest on the Bonds as the same becomes due, the full faith, credit and resources of the County are hereby irrevocably pledged and a direct annual irrepealable tax is hereby levied upon all taxable property of the County. Said direct annual irrepealable tax shall be levied in the years 2021 through 2039 for payments due in 2022 through 2040 in the amounts as set forth on the Schedule.

The aforesaid direct annual irrepealable tax hereby levied shall be collected in addition to all other taxes and in the same manner and at the same time as other taxes of the County levied in said years are collected. So long as any part of the principal of or interest on the Bonds remains unpaid, the tax herein above levied shall be and continues irrepealable except that the amount of tax carried onto the tax roll may be reduced in any year by the amount of any surplus in the Debt Service Fund Account created herein, including any capitalized interest funded with proceeds of the Bonds.

Section 7. Debt Service Fund Account. There is hereby established in the County treasury a fund account separate and distinct from every other County fund or account designated "Debt Service Fund Account for \$22,610,000 Rock County General Obligation Human Services Building Bonds dated March 23, 2021." There shall be deposited in said fund account any premium plus accrued interest paid on the Bonds at the time of delivery to the Purchaser, all money raised by taxation pursuant to Section 6 hereof and all other sums as may be necessary to pay interest on the Bonds when the same shall become due and to retire the Bonds at their respective maturity dates. Said fund account shall be used for the sole purpose of paying the principal of and interest on the Bonds and shall be maintained for such purpose until such indebtedness is fully paid or otherwise extinguished.

Section 8. Segregated Borrowed Money Fund. The proceeds of the Bonds (the "Bond Proceeds") (other than any premium and accrued interest which must be paid at the time of the delivery of the Bonds into the Debt Service Fund Account created above) shall be deposited into an account separate and distinct from all other funds and be disbursed solely for the purposes for which borrowed or for the payment for the principal of and the interest on the Bonds.

Section 9. Arbitrage Covenant. The County shall not take any action with respect to the Bond Proceeds which, if such action had been reasonably expected to have been taken, or had been deliberately and intentionally taken on the date of the delivery of and payment for the Bonds (the “Closing”), would cause the Bonds to be “arbitrage bonds” within the meaning of Section 148 of the Internal Revenue Code of 1986, as amended (the “Code”) and any income tax regulations promulgated thereunder (the “Regulations”).

The Bond Proceeds may be temporarily invested in legal investments until needed, provided however, that the County hereby covenants and agrees that so long as the Bonds remain outstanding, moneys on deposit in any fund or account created or maintained in connection with the Bonds, whether such moneys were derived from the Bond Proceeds or from any other source, will not be used or invested in a manner which would cause the Bonds to be “arbitrage bonds” within the meaning of the Code or Regulations.

The County Clerk, or other officer of the County charged with responsibility for issuing the Bonds, shall provide an appropriate certificate of the County, for inclusion in the transcript of proceedings, setting forth the reasonable expectations of the County regarding the amount and use of the Bond Proceeds and the facts and estimates on which such expectations are based, all as of the Closing.

Section 10. Additional Tax Covenants; Exemption from Rebate. The County hereby further covenants and agrees that it will take all necessary steps and perform all obligations required by the Code and Regulations (whether prior to or subsequent to the issuance of the Bonds) to assure that the Bonds are obligations described in Section 103(a) of the Code, the interest on which is excluded from gross income for federal income tax purposes, throughout their term. The County Clerk or other officer of the County charged with the responsibility of issuing the Bonds, shall provide an appropriate certificate of the County as of the Closing, for inclusion in the transcript of proceedings, certifying that it can and covenanting that it will comply with the provisions of the Code and Regulations.

Further, it is the intent of the County to take all reasonable and lawful actions to comply with any new tax laws enacted so that the Bonds will continue to be obligations described in Section 103(a) of the Code, the interest on which is excluded from gross income for federal income tax purposes.

The County anticipates that the Bonds will qualify for the two year expenditure exemption from the rebate requirements of the Code. The County Clerk or other officer of the County charged with the responsibility of issuing the Bonds, shall provide an appropriate certificate of the County as of the Closing, for inclusion in the transcript of proceedings, with respect to said exemption from the rebate requirements, and said County Clerk or other officer is hereby authorized to make any election on behalf of the County in order to comply with the rebate requirements of the Code. If, for any reason, the County did not qualify for any exemption from the rebate requirements of the Code, the County covenants that it would take all necessary steps to comply with such requirements.

Section 11. Persons Treated as Owners; Transfer of Bonds. The County Clerk shall keep books for the registration and for the transfer of the Bonds. The person in whose name any Bond shall be registered shall be deemed and regarded as the absolute owner thereof for all purposes and payment of either principal or interest on any Bond shall be made only to the registered owner thereof. All such payments shall be valid and effectual to satisfy and discharge the liability upon such Bond to the extent of the sum or sums so paid.

Any Bond may be transferred by the registered owner thereof by surrender of the Bond at the office of the County Clerk, duly endorsed for the transfer or accompanied by an assignment duly executed by the registered owner or his attorney duly authorized in writing. Upon such transfer, the County Clerk shall deliver in the name of the transferee or transferees a new Bond or Bonds of a like aggregate principal amount, series and maturity and the County Clerk shall record the name of each transferee in the registration book. No registration shall be made to bearer. The County Clerk shall cancel any Bond surrendered for transfer.

The County shall cooperate in any such transfer, and the County Board Chairperson and County Clerk are authorized to execute any new Bond or Bonds necessary to effect any such transfer.

The fifteenth day of each calendar month next preceding each interest payment date shall be the record date for the Bonds. Payment of interest on the Bonds on any interest payment date shall be made to the registered owners of the Bonds as they appear on the registration book of the County maintained by the County Clerk at the close of business on the corresponding record date.

Section 12. Utilization of The Depository Trust Company Book-Entry-Only-System. In order to make the Bonds eligible for the services provided by The Depository Trust Company, New York, New York ("DTC"), the County has heretofore agreed to the applicable provisions set forth in the DTC Blanket Issuer Letter of Representation and an official of the County has executed such Letter of Representation and delivered it to the DTC on behalf of the County.

Section 13. Official Statement. The County Board hereby approves the Preliminary Official Statement with respect to the Bonds and deems the Preliminary Official Statement as "final" as of its date for purposes of SEC Rule 15c2-12 promulgated by the Securities and Exchange Commission pursuant to the Securities and Exchange Act of 1934 (the "Rule"). All actions taken by officers of the County in connection with the preparation of such Preliminary Official Statement and any addenda to it or Final Official Statement are hereby ratified and approved. In connection with Closing, the appropriate County official shall certify the Preliminary Official Statement and any addenda or Final Official Statement. The appropriate County official shall cause copies of the Preliminary Official Statement and any addenda or Final Official Statement to be distributed to the Purchaser.

Section 14. Execution of the Bonds. The Bonds shall be issued in typewritten form, one Bond for each maturity, executed on behalf of the County by the manual or facsimile signatures of the County Board Chairperson and County Clerk (except that one of the foregoing signatures shall be manual), sealed with its official or corporate seal, if any, and delivered to the Purchaser upon payment to the County of the purchase price thereof, plus accrued interest to the date of delivery. In the event that either of the officers whose signatures appear on the Bonds shall cease

to be such officers before the delivery of the Bonds, such signatures shall, nevertheless, be valid and sufficient for all purposes to the same extent as if they had remained in office until such delivery. The aforesaid officers are hereby authorized to do all acts and execute and deliver all documents as may be necessary and convenient to effectuate the Closing.

Section 15. Payment of the Bonds. The principal of and interest on the Bonds shall be paid by the County Treasurer or his or her agent in lawful money of the United States.

Section 16. Continuing Disclosure. The County hereby covenants and agrees that it will comply with and carry out all of the provisions of its Continuing Disclosure Certificate, which the County will execute and deliver on the Closing Date. Any Bondholder may take such actions as may be necessary and appropriate, including seeking mandate or specific performance by court order, to cause the County to comply with its obligations under this Section.

Section 17. Conflicting Resolutions; Severability; Effective Date. All prior resolutions, rules or other actions of the County or any parts thereof in conflict with the provisions hereof shall be, and the same are, hereby rescinded insofar as the same may so conflict. In the event that any one or more provisions hereof shall for any reason be held to be illegal or invalid, such illegality or invalidity shall not affect any other provisions hereof. The foregoing shall take effect immediately upon adoption and approval in the manner provided by law.

Adopted this 25th day of February, 2021.

Richard Bostwick
County Board Chairperson

ATTEST:

Lisa Tollefson
County Clerk

(SEAL)

EXHIBIT B

(Form of Bond)

REGISTERED
 NO. R-__

UNITED STATES OF AMERICA
 STATE OF WISCONSIN
 ROCK COUNTY
 GENERAL OBLIGATION HUMAN SERVICES BUILDING BOND

MATURITY DATE:	ORIGINAL DATE OF ISSUE:	INTEREST RATE:	CUSIP:
MARCH 1, 20__	MARCH 23, 2021	__%	772028__

DEPOSITORY OR ITS NOMINEE NAME: CEDE & CO.

PRINCIPAL AMOUNT: _____ DOLLARS
 (\$_____)

KNOW ALL MEN BY THESE PRESENTS, that Rock County, Wisconsin (the "County"), hereby acknowledges itself to owe and for value received promises to pay to the Depository or its Nominee Name (the "Depository") identified above (or to registered assigns), on the maturity date identified above, the principal amount identified above, and to pay interest thereon at the rate of interest per annum identified above all subject to the provisions set forth herein regarding redemption prior to maturity. Interest is payable semi-annually on March 1 and September 1 of each year commencing March 1, 2022 until the aforesaid principal amount is paid in full. Both the principal of and interest on this Bond are payable in lawful money of the United States. The principal of this Bond shall be payable only upon presentation and surrender of the Bond at the office of the County Clerk or Treasurer. Interest payable on any interest payment date shall be paid by wire transfer to the Depository in whose name this Bond is registered on the Bond Register maintained by the County Clerk or Treasurer at the close of business on the 15th day of the calendar month next preceding the semi-annual interest payment date (the "Record Date").

For the prompt payment of this Bond together with interest hereon as aforesaid and for the levy of taxes sufficient for that purpose, the full faith, credit and resources of the County are hereby irrevocably pledged.

This Bond is one of an issue of Bonds aggregating the principal amount of \$22,610,000, all of which are of like tenor, except as to denomination, interest rate, maturity date and redemption provision, issued by the County pursuant to the provisions of Chapter 67, Wisconsin Statutes, for the purpose of paying the cost of renovating a county-owned building to house the Human Services Resource Center, all as authorized by resolutions of the County Board duly adopted by said governing body at meetings held on August 13, 2020 and February 25, 2021. Said resolutions are recorded in the official minutes of the County Board for said dates.

At the option of the County, the Bonds maturing on March 1, 2032 and thereafter are subject to redemption prior to maturity on March 1, 2031 or on any date thereafter. Said Bonds are redeemable as a whole or in part, from maturities selected by the County and within each maturity by lot (as selected by the Depository), at the principal amount thereof, plus accrued interest to the date of redemption.

In the event the Bonds are redeemed prior to maturity, as long as the Bonds are in book-entry-only form, official notice of the redemption will be given by mailing a notice by registered or certified mail, or overnight express delivery, to the Depository not less than thirty (30) days nor more than sixty (60) days prior to the redemption date. If less than all the Bonds of a maturity are to be called for redemption, the Bonds of such maturity to be redeemed will be selected by lot. Such notice will include but not be limited to the following: the designation, date and maturities of the Bonds called for redemption, CUSIP numbers, and the date of redemption. Any notice mailed as provided herein shall be conclusively presumed to have been duly given, whether or not the Depository receives the notice. The Bonds shall cease to bear interest on the specified redemption date, provided that federal or other immediately available funds sufficient for such redemption are on deposit at the office of the Depository at that time. Upon such deposit of funds for redemption the Bonds shall no longer be deemed to be outstanding.

It is hereby certified and recited that all conditions, things and acts required by law to exist or to be done prior to and in connection with the issuance of this Bond have been done, have existed and have been performed in due form and time; that the aggregate indebtedness of the County, including this Bond and others issued simultaneously herewith, does not exceed any limitation imposed by law or the Constitution of the State of Wisconsin; and that a direct annual irrevocable tax has been levied sufficient to pay this Bond, together with the interest thereon, when and as payable.

This Bond is transferable only upon the books of the County kept for that purpose at the office of the County Clerk or Treasurer. In the event that the Depository does not continue to act as depository for the Bonds, and the County Board appoints another depository, new fully registered Bonds in the same aggregate principal amount shall be issued to the new depository upon surrender of the Bonds to the County Clerk or Treasurer, in exchange therefor and upon the payment of a charge sufficient to reimburse the County for any tax, fee or other governmental charge required to be paid with respect to such registration. The County Clerk or Treasurer shall not be obliged to make any transfer of the Bonds (i) after the Record Date, (ii) during the fifteen (15) calendar days preceding the date of any publication of notice of any proposed redemption of the Bonds, or (iii) with respect to any particular Bond, after such Bond has been called for redemption. The County may treat and consider the Depository in whose name this Bond is registered as the absolute owner hereof for the purpose of receiving payment of, or on account of, the principal or redemption price hereof and interest due hereon and for all other purposes whatsoever.

IN WITNESS WHEREOF, Rock County, Wisconsin, by its governing body, has caused this Bond to be executed for it and in its name by the signatures of its duly qualified County Board Chairperson and County Clerk; and to be sealed with its official or corporate seal, if any, all as of the 23rd day of March, 2020.

ROCK COUNTY, WISCONSIN

By: _____
Richard Bostwick,
County Board Chairperson

(SEAL)

By: _____
Lisa Tollefson,
County Clerk

ASSIGNMENT

FOR VALUE RECEIVED, the undersigned sells, assigns and transfers unto

(Name and Address of Assignee)

(Social Security or other Identifying Number of Assignee)

the within Bond and all rights thereunder and hereby irrevocably constitutes and appoints _____, Legal Representative, to transfer said Bond on the books kept for registration thereof, with full power of substitution in the premises.

Dated: _____

Signature Guaranteed:

(e.g. Bank, Trust Company
or Securities Firm)

(Depository or its Nominee
Name)

(Authorized Officer)

NOTICE: The above-named
Depository or its Nominee Name must
correspond with the name as it appears upon
the face of the within Bond in every
particular, without alteration or enlargement
or any change whatever.

RESOLUTION AWARDING THE SALE OF \$22,610,000 GENERAL OBLIGATION HUMAN SERVICES BUILDING BONDS; PROVIDING THE FORM OF THE NOTES; AND LEVYING A TAX IN CONNECTION THEREWITH.

Finance Committee

Mary Mawhinney, Chair

Richard Bostwick

Wes Davis, Vice Chair

Brent Fox

Stephanie Aegerter

FISCAL NOTE:

This resolution authorizes the borrowing of \$22,610,000 in General Obligation Promissory Bonds to be redeemed over a 19.5 year period commencing March 1, 2022 (interest only) with the last principal payment scheduled for September 1, 2040. The proceeds are to be used for renovation of the building at 1717 Center Ave, Janesville, WI.

/s/Sherry Oja

Sherry Oja
Finance Director

LEGAL NOTE:

Chapter 67, Wis Stats., provides the authorization for the action proposed in this resolution which has been determined to be proper by counsel retained for this purpose.

/s/Richard Greenlee

Richard Greenlee
Corporation Counsel

ADMINISTRATIVE NOTE:

Recommended.

/s/Josh Smith

Josh Smith
County Administrator

RESOLUTION AUTHORIZING THE ISSUANCE AND
AWARDING THE SALE OF \$17,255,000** TAXABLE GENERAL OBLIGATION
REFUNDING BONDS; PROVIDING THE FORM OF THE BONDS;
AND LEVYING A TAX IN CONNECTION THEREWITH

WHEREAS, it is necessary that funds be raised by Rock County, Wisconsin (the “Issuer”) for the purpose of paying the cost of refinancing certain of its outstanding obligations, to wit: the callable portions of the Issuer’s \$22,000,000 General Obligation Bonds (Rock Haven Project), Series 2011B, dated November 16, 2011 (the “2011 Bonds”) and \$6,040,000 General Obligation Promissory Notes, dated September 26, 2013 (the “2013 Notes” and together with the 2011 Bonds hereinafter collectively referred to as the “Prior Issues”) (hereinafter the refinancing of the Issuer’s outstanding obligations shall be referred to as the “Refunding”), and there are insufficient funds on hand to pay said costs;

WHEREAS, the Issuer’s governing body hereby deems it to be necessary, desirable and in the best interest of the Issuer to refund the Prior Issues for the purpose of interest cost savings;

WHEREAS, the Issuer is authorized by the provisions of Chapter 67 of the Wisconsin Statutes to refinance its outstanding obligations;

WHEREAS, the Issuer’s financial advisor, Wisconsin Public Finance Professionals, LLC, caused a Notice of Sale to be prepared and published in The Bond Buyer on February __, 2021 and prepared and distributed an Official Notice of Sale and an Official Statement offering the Issuer’s \$17,255,000 Taxable General Obligation Refunding Bonds (the “Bonds”), for public sale on February 25, 2021;

WHEREAS, sealed bid proposals were received as summarized on Exhibit C attached hereto; and

WHEREAS, it has been determined that the bid proposal (the “Proposal”) submitted by _____, _____, _____, fully complies with the bid requirements set forth in the Official Notice of Sale and is deemed to be the most advantageous to the Issuer. A copy of said bid is attached hereto as Exhibit A and incorporated herein by this reference.

NOW, THEREFORE, BE IT RESOLVED by the governing body of the Issuer that:

Section 1. Authorization of the Bonds. For the purpose of paying the cost of the Refunding, there shall be borrowed pursuant to Chapter 67 of the Wisconsin Statutes, the principal sum of SEVENTEEN MILLION TWO HUNDRED FIFTY-FIVE THOUSAND DOLLARS (\$17,255,000) from _____, _____, _____ (the “Purchaser”). To evidence such indebtedness, the Chairperson and County Clerk are hereby authorized, empowered and directed to make, execute, issue and sell the Bonds to the Purchaser for, on behalf of and in the name of the Issuer.

Section 2. Award of the Bonds. The bid proposal of the Purchaser is hereby accepted, said proposal offering to purchase the Bonds for the sum of _____ DOLLARS (\$ _____), resulting in a net interest cost of _____ DOLLARS (\$ _____) and a true interest rate of _____%.

Section 3. Terms of the Bonds. The Bonds shall be designated “Taxable General Obligation Refunding Bonds”; shall be dated March 23, 2021; shall be in the denomination of \$5,000 or any integral multiple thereof; shall bear interest at the rates per annum and mature on September 1 of each year, in the years and principal amounts as set forth in the Pricing Summary attached hereto as Exhibit D and incorporated herein by this reference. Interest is payable semi-annually on March 1 and September 1 of each year commencing March 1, 2022. The schedule of principal and interest payments due on the Bonds is set forth on the Debt Service Schedule attached hereto as Exhibit E and incorporated herein by this reference (the “Schedule”).

Section 4. Designation of Purchaser as Agent. The Issuer hereby designates the Purchaser as its agent for purposes of distributing the Final Official Statement relating to the Bonds to any participating underwriter in compliance with Rule 15c2-12 of the Securities and Exchange Commission.

Section 5. Redemption Provisions. The Bonds shall not be subject to redemption prior to maturity.

Section 6. Direct Annual Irrepealable Tax Levy. For the purpose of paying the principal of and interest on the Bonds as the same becomes due, the full faith, credit and resources of the Issuer are hereby irrevocably pledged and a direct annual irrepealable tax sufficient for that purpose is hereby levied upon all taxable property of the Issuer. Said direct annual irrepealable tax shall be levied in the years 2021 through 2025 for payments due in 2022 through 2026 in the amounts as set forth on the Schedule.

The direct annual irrepealable tax hereby levied shall be collected in addition to all other taxes and in the same manner and at the same time as other taxes of the Issuer levied in said years are collected. So long as any part of the principal of or interest on the Bonds remains unpaid, the tax hereinabove levied shall be and continues irrepealable except that the amount of tax carried onto the tax roll may be reduced in any year by the amount of any surplus in the Debt Service Fund Account created by Section 8 hereof.

Section 7. Form of the Bonds. The Bonds shall be issued in registered form and shall be executed and delivered in substantially the form attached hereto as Exhibit B and incorporated herein by this reference.

Section 8. Debt Service Fund Account. There is hereby established a fund account separate and distinct from every other fund or account of the Issuer to be designated “Debt Service Fund Account for \$17,255,000 Taxable General Obligation Refunding Bonds, dated March 23, 2021”. There shall be deposited in said fund account any premium plus accrued interest paid on the Bonds at the time of delivery to the Purchaser, all money raised by taxation pursuant to Section 6 hereof and all other sums as may be necessary to pay interest on the Bonds when the same shall become due and to retire the Bonds at their respective maturity dates. Said

fund account shall be used for the sole purpose of paying the principal of and interest on the Bonds and shall be maintained for such purpose until such indebtedness is fully paid or otherwise extinguished.

Section 9. Refunding Fund. The whole proceeds of the Bonds (the “Bond Proceeds”) herein provided for (other than any premium and accrued interest which must be paid at the time of delivery of the Bonds into the Debt Service Fund Account created in Section 8 hereof) shall be segregated in a special fund upon receipt and shall be used solely for the purposes for which borrowed or for the payment of the principal of and interest on the Bonds.

Section 10. Persons Treated as Owners; Transfer of Bonds. The County Clerk shall keep books for the registration and for the transfer of the Bonds. The person in whose name any Bond shall be registered shall be deemed and regarded as the absolute owner thereof for all purposes and payment of either principal or interest on any Bond shall be made only to the registered owner thereof. All such payments shall be valid and effectual to satisfy and discharge the liability upon such Bond to the extent of the sum or sums so paid.

Any Bond may be transferred by the registered owner thereof by surrender of the Bond at the office of the County Clerk, duly endorsed for the transfer or accompanied by an assignment duly executed by the registered owner or his attorney duly authorized in writing. Upon such transfer, the County Clerk shall deliver in the name of the transferee or transferees a new Bond or Bonds of a like aggregate principal amount, series and maturity and the County Clerk shall record the name of each transferee in the registration book. No registration shall be made to bearer. The County Clerk shall cancel any Bond surrendered for transfer.

The Issuer shall cooperate in any such transfer, and the Chairperson and County Clerk are authorized to execute any new Bond or Bonds necessary to effect any such transfer.

The 15th day of each calendar month next preceding each interest payment date shall be the record date for the Bonds. Payment of interest on the Bonds on any interest payment date shall be made to the registered owners of the Bonds as they appear on the registration book of the Issuer maintained by the County Clerk at the close of business on the corresponding record date.

Section 11. Utilization of The Depository Trust Company Book-Entry-Only-System. In order to make the Bonds eligible for the services provided by The Depository Trust Company, New York, New York (“DTC”), the Issuer has heretofore agreed to the applicable provisions set forth in the DTC Blanket Issuer Letter of Representation and an authorized representative of the Issuer has executed such Letter of Representation and delivered it to the DTC on behalf of the Issuer.

Section 12. Official Statement. The Issuer’s governing body hereby approves the Preliminary Official Statement with respect to the Bonds and deems the Preliminary Official Statement as “final” as of its date for purposes of SEC Rule 15c2-12 promulgated by the Securities and Exchange Commission pursuant to the Securities and Exchange Act of 1934 (the “Rule”). All actions taken by officers of the Issuer in connection with the preparation of such Preliminary Official Statement and any addenda to it or Final Official Statement are hereby ratified and approved. In connection with Closing, the appropriate Issuer official shall certify the

Preliminary Official Statement and any addenda or Final Official Statement. The appropriate Issuer official shall cause copies of the Preliminary Official Statement and any addenda or Final Official Statement to be distributed to the Purchaser.

Section 13. Execution of the Bonds. The Bonds shall be issued in typewritten form, one Bond for each maturity, executed on behalf of the Issuer by the manual or facsimile signatures of the Chairperson and County Clerk (except that one of the foregoing signatures shall be manual), sealed with its official or corporate seal, if any, and delivered to the Purchaser upon payment to the Issuer of the purchase price thereof, plus accrued interest to the date of delivery. In the event that either of the officers whose signatures appear on the Bonds shall cease to be such officers before the delivery of the Bonds, such signatures shall, nevertheless, be valid and sufficient for all purposes to the same extent as if they had remained in office until such delivery. The aforesaid officers are hereby authorized to do all acts and execute and deliver all documents as may be necessary and convenient to effectuate the Closing.

Section 14. Payment of the Bonds. The principal of and interest on the Bonds shall be paid by the County Treasurer or his or her agent in lawful money of the United States.

Section 15. Continuing Disclosure. The Issuer hereby covenants and agrees that it will comply with and carry out all of the provisions of its Continuing Disclosure Certificate, which the County Clerk will execute and deliver on the Closing Date. Any Bondholder may take such actions as may be necessary and appropriate, including seeking mandate or specific performance by court order, to cause the Issuer to comply with its obligations under this Section, if applicable.

Section 16. Redemption of the Prior Issues. The Issuer hereby calls the 2011 Bonds maturing on and after September 1, 2022 for redemption on September 1, 2021. The Issuer hereby calls the 2013 Notes maturing on and after September 1, 2022 for redemption on September 1, 2021. The Issuer hereby directs the Escrow Agent appointed below to cause a notice of redemption for the refunded portions of the Prior Issues to be given as provided in the Escrow Agreement.

Section 17. Escrow Agent; Escrow Agreement; Escrow Account. Associated Trust Company, National Association, Green Bay, Wisconsin is hereby appointed Escrow Agent for the Issuer, for the purpose of ensuring the payment of the principal of and interest on the refunded portions of the Prior Issues.

The Chairperson and County Clerk are hereby authorized and directed to execute an escrow agreement substantially in the form attached hereto as Exhibit F (the “Escrow Agreement”) (such form may be modified by said officers prior to execution, the execution of such agreement by said officers to constitute full approval of any such modifications), with the Escrow Agent, for the purpose of effecting the provisions of this Resolution.

The Bond Proceeds allocable to refunding the refunded portions of the Prior Issues shall be deposited in a refunding escrow account which is hereby created with the Escrow Agent, pursuant to the Escrow Agreement, for the purpose of retaining the required amount of cash, if any, and acquiring the United States obligations provided for in the Escrow Agreement.

Upon transfer of the Bond Proceeds and any other necessary funds allocable to refunding the refunded portions of the Prior Issues to the Escrow Account, the taxes heretofore levied to pay debt service on the refunded portions of the Prior Issues shall be abated to the extent such transfer together with investment earnings thereon is sufficient to pay the principal of and interest on the refunded portions of the Prior Issues, but such abatement shall not affect the Issuer's pledge of its full faith, credit and resources to make such payments. The refunding escrow account created by the Escrow Agreement shall hereinafter serve as the debt service (or sinking) fund for the refunded portions of the Prior Issues. The Escrow Agent shall serve as custodian of said debt service (or sinking) funds.

Section 18. Conflicting Resolutions; Severability; Effective Date. All prior resolutions, rules or other actions of the Issuer or any parts thereof in conflict with the provisions hereof shall be and the same are hereby rescinded insofar as they may so conflict. In the event that any one or more provisions hereof shall for any reason be held to be illegal or invalid, such illegality or invalidity shall not affect any other provisions hereof. The foregoing shall take effect immediately upon adoption and approval in the manner provided by law.

Adopted this 25th day of February, 2021.

Richard Bostwick,
County Board Chairperson

ATTEST:

Lisa Tollefson,
County Clerk

(SEAL)

EXHIBIT B

(Form of Bond)

REGISTERED
NO. R-__

UNITED STATES OF AMERICA
STATE OF WISCONSIN
ROCK COUNTY
TAXABLE GENERAL OBLIGATION REFUNDING BOND

MATURITY DATE:	ORIGINAL DATE OF ISSUE:	INTEREST RATE:	CUSIP:
SEPTEMBER 1, 20__	MARCH 23, 2021	__%	772028__

DEPOSITORY OR ITS NOMINEE NAME: CEDE & CO.

PRINCIPAL AMOUNT: _____ DOLLARS
(\$_____)

KNOW ALL MEN BY THESE PRESENTS, that Rock County, Wisconsin (the “County”), hereby acknowledges itself to owe and for value received promises to pay to the Depository or its Nominee Name (the “Depository”) identified above (or to registered assigns), on the maturity date identified above, the principal amount identified above, and to pay interest thereon at the rate of interest per annum identified above all subject to the provisions set forth herein regarding redemption prior to maturity. Interest is payable semi-annually on March 1 and September 1 of each year commencing March 1, 2022 until the aforesaid principal amount is paid in full. Both the principal of and interest on this Note are payable in lawful money of the United States. The principal of this Bond shall be payable only upon presentation and surrender of the Bond at the office of the County Clerk or Treasurer. Interest payable on any interest payment date shall be paid by wire transfer to the Depository in whose name this Bond is registered on the Bond Register maintained by the County Clerk or Treasurer at the close of business on the 15th day of the calendar month next preceding the semi-annual interest payment date (the “Record Date”).

For the prompt payment of this Bond together with interest hereon as aforesaid and for the levy of taxes sufficient for that purpose, the full faith, credit and resources of the County are hereby irrevocably pledged.

This Bond is one of an issue of Bonds aggregating the principal amount of \$17,255,000, all of which are of like tenor, except as to denomination, interest rate and maturity date, issued by the County pursuant to the provisions of Chapter 67, Wisconsin Statutes, for the purpose of paying the cost of refinancing certain of its outstanding obligations, to wit: the callable portions of the Issuer’s \$22,000,000 General Obligation Bonds (Rock Haven Project), Series 2011B, dated November 16, 2011, and \$6,040,000 General Obligation Promissory Notes, dated September 26, 2013, all as authorized by a resolution of the County Board duly adopted by said governing body at a meeting held on February 25, 2021. Said resolution is recorded in the official minutes of the County Board for said date.

The Bonds shall not be subject to optional redemption prior to maturity.

It is hereby certified and recited that all conditions, things and acts required by law to exist or to be done prior to and in connection with the issuance of this Bond have been done, have existed and have been performed in due form and time; that the aggregate indebtedness of the County, including this Bond and others issued simultaneously herewith, does not exceed any limitation imposed by law or the Constitution of the State of Wisconsin; and that a direct annual irrevocable tax has been levied sufficient to pay this Bond, together with the interest thereon, when and as payable.

This Bond is transferable only upon the books of the County kept for that purpose at the office of the County Clerk or Treasurer. In the event that the Depository does not continue to act as depository for the Bonds, and the County Board appoints another depository, new fully registered Bonds in the same aggregate principal amount shall be issued to the new depository upon surrender of the Bonds to the County Clerk or Treasurer, in exchange therefor and upon the payment of a charge sufficient to reimburse the County for any tax, fee or other governmental charge required to be paid with respect to such registration. The County Clerk or Treasurer shall not be obliged to make any transfer of the Bonds (i) after the Record Date, (ii) during the fifteen (15) calendar days preceding the date of any publication of notice of any proposed redemption of the Bonds, or (iii) with respect to any particular Bond, after such Bond has been called for redemption. The County may treat and consider the Depository in whose name this Bond is registered as the absolute owner hereof for the purpose of receiving payment of, or on account of, the principal or redemption price hereof and interest due hereon and for all other purposes whatsoever.

IN WITNESS WHEREOF, Rock County, Wisconsin, by its governing body, has caused this Bond to be executed for it and in its name by the signatures of its duly qualified County Board Chairperson and County Clerk; and to be sealed with its official or corporate seal, if any, all as of the 23rd day of March, 2021.

ROCK COUNTY, WISCONSIN

By: _____
Richard Bostwick,
County Board Chairperson

(SEAL)

By: _____
Lisa Tollefson,
County Clerk

ASSIGNMENT

FOR VALUE RECEIVED, the undersigned sells, assigns and transfers unto

(Name and Address of Assignee)

(Social Security or other Identifying Number of Assignee)

the within Bond and all rights thereunder and hereby irrevocably constitutes and appoints _____, Legal Representative, to transfer said Bond on the books kept for registration thereof, with full power of substitution in the premises.

Dated: _____

Signature Guaranteed:

(e.g. Bank, Trust Company
or Securities Firm)

(Depository or its Nominee
Name)

(Authorized Officer)

NOTICE: The above-named
Depository or its Nominee Name must
correspond with the name as it appears upon
the face of the within Bond in every
particular, without alteration or enlargement
or any change whatever.

EXHIBIT F
ESCROW AGREEMENT

THIS ESCROW AGREEMENT, is made and entered into the ___ day of March, 2021, by and between Rock County, Wisconsin (the “Issuer”), and Associated Trust Company, National Association, Green Bay, Wisconsin (the “Agent”).

WITNESSETH:

WHEREAS, the Issuer has duly issued (i) \$22,000,000 General Obligation Bonds (Rock Haven Project), Series 2011B dated November 16, 2011 (the “2011 Bonds”) of which the 2022 through 2026 maturities aggregating the principal amount of \$15,500,000 are outstanding and callable on September 1, 2021; and (ii) \$6,040,000 General Obligation Promissory Notes dated September 26, 2013 (the “2013 Notes” and, together with the 2011 Bonds hereinafter collectively referred to as the “Prior Issues”) of which the 2022 through 2023 maturities aggregating the principal amount of \$1,600,000 are outstanding and callable on September 1, 2021;

WHEREAS, the Issuer has authorized the issuance of \$17,255,000 principal amount of its Taxable General Obligation Refunding Bonds (the “Bonds”). The Bond proceeds along with debt service funds on hand will be sufficient, together with investment income therefrom, to pay interest on and the principal of (i) the 2022 through 2026 maturities of the 2011 Bonds, and (ii) the 2022 through 2023 maturities of the 2013 Notes (hereinafter collectively referred to as the “Refunded Portions of the Prior Issues”) (the “Refunding”) assuming the Refunded Portions of the Prior Issues are called for redemption on September 1, 2021 and the Issuer desires that the Agent hold funds for such payments and make such payments in a timely manner;

WHEREAS, in order to accomplish the Refunding prior to maturity, it is necessary to deposit in trust an amount sufficient, together with investment income therefrom, to pay interest on and the principal of the Refunded Portions of the Prior Issues (assuming the Refunded Portions of the Prior Issues are called for redemption on September 1, 2021) as set forth in Exhibits A-1 and A-2;

WHEREAS, the Agent has been appointed depository of certain proceeds of the Bonds and other funds of the Issuer; and,

WHEREAS, the execution of this Agreement has been duly authorized by a resolution of the Issuer’s governing body entitled: “Resolution Authorizing the Issuance and Awarding the Sale of \$17,255,000 Taxable General Obligation Refunding Bonds; Providing the Form of the Bonds; and Levying a Tax in Connection Therewith” (the “Award Resolution”) adopted on February 25, 2021.

NOW, THEREFORE, the parties hereto recite, and in consideration of the mutual covenants contained herein, covenant and agree as follows for the equal and proportionate benefit and security of the holders of the Refunded Portions of the Prior Issues:

1. Escrow Deposit. Concurrently with the execution of this Agreement, the Issuer has deposited with the Agent, \$ _____, being the proceeds of the Bonds allocable to the Refunding in the amount of \$ _____, proceeds of the Bonds allocable to pay costs of issuance in the amount of \$ _____, and \$ _____ of debt service funds on hand.

The foregoing shall be held and disposed of by Agent only in accordance with this Agreement. The Agent has not and is under no obligation to determine whether the amounts deposited hereunder are or will be sufficient to make all of the payments directed to be made hereunder.

There is hereby created by the Issuer and ordered established with the Agent an account hereby designated, "Rock County Escrow Account" (the "Escrow Account"). The Agent shall deposit the amount described above in the Escrow Account to be used as follows:

a) \$ _____ to be used to purchase the \$ _____ principal amount of United States Treasury Certificates of Indebtedness and Notes - State and Local Government Series (the "Securities"), described in the attached Exhibit B, pay for the Securities from moneys in the Escrow Account and hold them in the Escrow Account for the payment of the interest on and the principal of the Refunded Portions of the Prior Issues as set forth in Exhibits A-1 and A-2;

b) \$ _____ to be used to establish a beginning cash balance in the Escrow Account; and,

c) \$ _____ to be used to pay Bond issuance expenses as described in the attached Exhibit C (which expenses the Issuer hereby authorizes the Agent to pay).

Except as set forth in Section 5 hereof, the Escrow Account (other than the cash held pursuant to subsection (b) above) shall remain invested in the Securities. Except as set forth in Section 5 hereof, no reinvestment of amounts on deposit in the Escrow Account shall be permitted.

The Agent shall apply the monies in the Escrow Account to the timely payment of the interest on and the principal of the Refunded Portions of the Prior Issues at the times and in the amounts set forth in the attached Exhibits A-1 and A-2.

Ritz & Associates PA, has delivered to the Issuer, the Agent, and Griggs Law Office LLC, for their purposes, a report stating that firm has reviewed the mathematical and arithmetical accuracy of certain computations based on assumptions relating to the sufficiency of forecasted net cash flow from the federal securities (paragraph (a) above) and any initial cash deposit (paragraph (b) above) to pay interest on and the principal of the Refunded Portions of the Prior Issues. Based upon the summarized data presented in their report and the assumption that the principal and interest payments on the federal securities are deposited in the Escrow Account when due, in their opinion, the proceeds from the federal securities plus any initial cash deposit will be sufficient for the timely payment of interest, when due, on and the principal of the Refunded Portions of the Prior Issues.

2. Additional Escrow Deposits; Issuer Warranty. The Issuer represents and warrants that this trust deposit, when held, invested and paid in accordance herewith will be sufficient to make all payments required hereby, and agrees that if at any time it shall appear to the Agent that the money in the Escrow Account will not be sufficient to make any payment required hereby, it will, upon notice by the Agent, transmit forthwith to the Agent for deposit in the Escrow Account from legally available funds such additional monies as may be required to make fully any such payment required hereby.

3. Acceptance of Escrow. The Agent acknowledges receipt of the trust deposit hereunder and accepts the trust herein imposed.

4. Application of Escrow Deposit. The Agent agrees to apply the funds received from the Issuer in the manner and for the purposes set forth in Section 1 hereof. The Agent acknowledges purchase and receipt of the cash and Securities described in Section 1 hereof and agrees that it will hold such cash and Securities in the Escrow Account in the name of the Issuer and will collect and receive on behalf of the Issuer all payments of principal and interest on such securities and will remit from said Escrow Account to the Depository Trust Company, New York, New York, the securities depository for the Prior Issues, the monies required to pay interest, when due, on and the principal of the Refunded Portions of the Prior Issues as shown on the attached Exhibits A-1 and A-2.

5. Arbitrage. The Issuer and the Agent covenant and agree, to and for the benefit of the holders of the Prior Issues that no investment of the monies on deposit in the Escrow Account will be made in a manner that would cause the Prior Issues to be “arbitrage bonds” within the meaning of Section 148 of the Internal Revenue Code of 1986, as amended (“Code”) or the applicable income tax regulations thereunder (the “Regulations”).

In order to ensure continuing compliance with Section 148 of the Code and the Regulations, the Agent agrees that it will not invest the cash balance nor reinvest any such cash received in payment of the principal of and interest on the federal securities held in the Escrow Account nor redeem such federal securities. Said prohibition on reinvestment shall continue unless and until the Issuer requests that such reinvestment be made. Prior to any such request for reinvestment of the proceeds from the federal securities held in the Escrow Account, the Issuer shall provide to the Agent: (i) an opinion by an independent public accountant that after such reinvestment of the principal amount of the substituted securities, together with the earnings thereon and other available monies, will be sufficient to pay the interest on and the principal of the Refunded Portions of the Prior Issues, and (ii) an unqualified opinion of nationally recognized bond counsel to the effect that (a) such reinvestment will not cause the Prior Issues to be “arbitrage bonds” within the meaning of Section 148 of the Internal Revenue Code of 1986, as amended, and the income tax regulations in effect thereunder on the date of such reinvestment, and (b) such reinvestment complies with the Constitution and laws of the State of Wisconsin and the provisions of all relevant documents relating to the issuance of the Prior Issues and the Bonds.

6. Redemption Notices for the Prior Issues.

(a) 2011 Bonds. The Issuer has heretofore called the 2011 Bonds due on and after September 1, 2022 for prior redemption on September 1, 2021 (the “2011 Bonds Redemption Date”). The Agent is hereby directed to provide a notice of such redemption, in substantially the form attached hereto as Exhibit D-1, to the Depository Trust Company, New York, New York, the securities depository for the 2011 Bonds at least 30 days but not more than 60 days prior to the 2011 Bonds Redemption Date.

(b) 2013 Notes. The Issuer has heretofore called the 2013 Notes due on and after September 1, 2022 for prior redemption on September 1, 2021 (the “2013 Notes Redemption Date”). The Agent is hereby directed to provide a notice of such redemption, in substantially the form attached hereto as Exhibit D-2, to the Depository Trust Company, the securities depository for the 2013 Notes, at least 30 days but not more than 60 days prior to the 2013 Notes Redemption Date.

7. The Agent.

a) Liability. The Agent shall be under no obligation to inquire into or be in any way responsible for the performance or nonperformance by the Issuer of any of its obligations or to protect any of the Issuer’s rights under any bond proceeding or any of the Issuer’s other contracts with or franchises or privileges from any state, county, municipality or other governmental agency or with any person. The Agent shall not be liable for any act done or step taken or omitted by it, or for any mistake of fact or law, or for anything which it may do or refrain from doing in good faith and in the exercise of reasonable care and believed by it to be within the discretion or power conferred upon it by this Agreement, except for its negligence or its default in the performance of any obligation imposed upon it hereunder. The Agent shall not be responsible in any manner whatsoever for the recitals or statements contained herein, including without limitation those as to the sufficiency of the trust deposit to accomplish the purposes hereof or as to the validity of any proceedings taken in connection therewith, but they are made solely by the Issuer. The Agent shall be entitled to consult with counsel with respect to any matter that arises hereunder and shall not be liable for any action taken or omitted to be taken by it in good faith in accordance with the advice of such counsel. The Issuer agrees to indemnify the Agent and hold it harmless against any and all liabilities incurred by the Agent hereunder except for liability incurred by the Agent hereunder as a result of its own negligence or willful misconduct.

b) Resignations; Successor Agent. Upon merger or consolidation of the Agent, if the resulting corporation is a bank or trust company authorized by law to conduct such business, such successor corporation shall be authorized to act as Agent.

The Agent may at any time resign by giving not less than sixty (60) days written notice to the Issuer. Upon receiving such notice of resignation, the Issuer shall promptly appoint a successor agent by an instrument in writing executed by order of its governing body. If no successor agent shall have been so appointed and have accepted appointment within sixty (60) days after such notice of resignation, the resigning Agent may petition any court of competent

jurisdiction for the appointment of a successor agent. Such court may thereupon, after such notice, if any, as it may deem proper and prescribes, appoint a successor agent.

Any successor agent shall be a state or national bank, have full banking and trust powers, be a qualified depository of the Issuer, have its principal office in Wisconsin and have comparable qualifications to the resigning Agent.

Any successor agent shall execute, acknowledge and deliver to the Issuer and to its predecessor agent an instrument accepting such appointment hereunder, and thereupon the resignation of the predecessor agent, without any further act, deed or conveyance, shall be effective and the successor agent shall become vested with all the rights, powers, trusts, duties and obligations of its predecessor in the trusts hereunder, with like effect as if originally named as agent herein; but nevertheless, on written request of the Issuer, or on the request of the successor agent, the Agent ceasing to act shall execute and deliver an instrument transferring to such successor agent, upon the trusts herein expressed, all the rights, powers, and trusts of the Agent so ceasing to act. Upon the request of any such successor agent, the Issuer shall execute any and all instruments in writing for more fully and certainly vesting in and confirming to such successor agent all such rights, powers and duties. Any predecessor agent shall pay over to its successor agent a proportional part of the Agent's fee hereunder.

c) Separate Funds; Accountability. The Agent shall keep all monies, securities and other properties deposited hereunder, all investments and all interest thereon and profits therefrom, at all times in a special fund and separate trust account, wholly segregated from all other funds and securities on deposit with it; shall never commingle such deposits, investments and proceeds with other funds or securities of Agent; and shall never at any time use, loan or borrow the same in any way. The fund established hereunder shall be held separately and distinctly and not commingled with any other such fund. Nothing herein contained shall be construed as requiring the Agent to keep the identical monies, or any part thereof, received from or for the Escrow Account, on hand, but monies of an equal amount shall always be maintained on hand as funds held by Agent, belonging to the Issuer, and a special account thereof, evidencing such fact, shall at all times be maintained on the books of Agent. All uninvested money held at any time in the Escrow Account shall be continuously secured by the deposit in a Federal Reserve Bank or direct obligations of the United States of America in a principal amount always not less than the total amount of uninvested money in the Escrow Account. It is understood and agreed that the responsibility of the Agent under this Agreement is limited to the safekeeping and segregation of the monies and securities deposited with it for the Escrow Account, and the collection of and accounting for the principal and interest payable with respect thereto.

In the event the Agent is unable or fails to account for any property held hereunder, such property shall be and remain the property of the Issuer, and if, for any reason such property cannot be identified, all other assets of the Agent shall be impressed with a trust for the amount thereof and the Issuer shall be entitled to the preferred claim upon such assets enjoyed by any trust beneficiary. Property held by the Agent hereunder shall not be deemed to be a banking deposit of the Issuer to the extent that Agent shall have no right or title with respect thereto (including any right of set-off) and the Issuer shall have no right of withdrawal thereof.

d) Compensation. The Agent acknowledges receipt from the Issuer of the sum of _____ DOLLARS (\$) _____) as and for full compensation for all services to be performed by it as Agent under this Agreement, and the Agent expressly waives any lien upon or claim against the monies and investments in the Escrow Account.

8. Miscellaneous.

a) Third Party Beneficiaries. This Agreement has been entered into by the Issuer and the Agent for the benefit of the holders of the Refunded Portions of the Prior Issues and is not revocable by the Issuer or the Agent, and the investments and other funds deposited in the Escrow Account and all income therefrom have been irrevocably appropriated for the payment of the interest on and redemption of the Refunded Portions of the Prior Issues in accordance with this Agreement. This Agreement shall be binding upon and shall inure to the benefit of the Issuer and the Agent and their respective successors and assigns. In addition, this Agreement shall constitute a third party beneficiary contract for the benefit of the holders of the Refunded Portions of the Prior Issues. Said third party beneficiaries shall be entitled to enforce performance and observance by the Issuer and the Agent of the respective agreements and covenants herein contained as fully and completely as if said third party beneficiaries were parties hereto.

b) Severability. If any section, paragraph, clause or provision of this Agreement shall be invalid or ineffective for any reason, the remainder of this Agreement shall remain in full force and effect, it being expressly hereby agreed that the remainder of this Agreement would have been entered into by the parties hereto notwithstanding any such invalidity.

c) Termination. This Agreement shall terminate on September 1, 2021. Any funds remaining upon termination hereof shall be returned to the Issuer to be deposited in the Debt Service Fund Account and used solely to pay the principal of and interest on the Bonds. Termination of this Agreement shall not, of itself, have any effect on the Issuer's obligation to pay the Prior Issues in full in accordance with the terms thereof.

d) Execution. This Agreement shall be executed on behalf of the Issuer and the Agent by their duly authorized officers. This Agreement may be executed in several counterparts, each of which shall be an original and all of which shall constitute but one and the same agreement.

IN WITNESS WHEREOF, the parties hereto have caused this Agreement to be duly executed by their duly authorized officers as of the date first above written.

SIGNATURE PAGE TO THE ESCROW AGREEMENT

ROCK COUNTY, WISCONSIN

By: _____
Richard Bostwick,
County Board Chairperson

And: _____
Lisa Tollefson,
County Clerk

(SEAL)

SIGNATURE PAGE TO THE ESCROW AGREEMENT

ASSOCIATED TRUST COMPANY,
NATIONAL ASSOCIATION

By: _____

And: _____

(SEAL)

Exhibit A-1

\$22,000,000*
 ROCK COUNTY, WISCONSIN
 GENERAL OBLIGATION BONDS (ROCK HAVEN PROJECT), SERIES 2011B
 DATED NOVEMBER 16, 2011

<u>DATE</u>	<u>PRINCIPAL AMOUNT</u>	<u>INTEREST RATE</u>	<u>INTEREST AMOUNT</u>
09/01/2021			\$294,500.00
09/01/2022	\$3,100,000**	3.000%	
09/01/2023	3,100,000**	4.000	
09/01/2024	3,100,000**	4.000	
09/01/2025	3,100,000**	4.000	
09/01/2026	3,100,000**	4.000	

*Outstanding principal amount after refunding: \$1,700,000

**To be called for prior redemption on September 1, 2021.

Securities Depository: The Depository Trust Company
 New York, New York

Exhibit A-2

\$6,040,000*
 ROCK COUNTY, WISCONSIN
 GENERAL OBLIGATION PROMISSORY NOTES
 DATED SEPTEMBER 26, 2013

<u>DATE</u>	<u>PRINCIPAL AMOUNT</u>	<u>INTEREST RATE</u>	<u>INTEREST AMOUNT</u>
09/01/2021			\$23,800.00
09/01/2022	\$800,000**	2.900%	
09/01/2023	800,000**	3.050	

*Outstanding principal amount after refunding: \$835,000

**To be called for prior redemption on September 1, 2021.

Securities Depository: The Depository Trust Company
 New York, New York

Exhibit B

Investment of Escrow Account
(See attached subscription forms)

<u>Obligation</u>	<u>Principal Amount</u>	<u>Maturity Date</u>	<u>Interest Rate</u>
Cert.	\$	09/01/21	%

Exhibit CBond Issuance Expenses

	<u>Amount</u>
Financial Advisor	
Wisconsin Public Finance Professionals, LLC	\$ _____
Bond Counsel	
Griggs Law Office LLC	_____
Verification Report	
Ritz & Associates PA	_____
Escrow Agent	
Associated Trust Company, National Association	=
Total:	\$ <u> </u>

Exhibit D-1NOTICE OF REDEMPTION*

\$22,000,000

ROCK COUNTY, WISCONSIN
 GENERAL OBLIGATION BONDS (ROCK HAVEN PROJECT), SERIES 2011B
 DATED NOVEMBER 16, 2011

NOTICE IS HEREBY GIVEN that the following maturities of the above-referenced issue have been called for prior payment on September 1, 2021 (the "Redemption Date"):

<u>Maturity Date</u>	<u>Principal Amount</u>	<u>Interest Rate</u>	<u>Cusip Number</u>
09/01/22	\$3,100,000	3.000%	772028NA0
09/01/23	3,100,000	4.000	772028NB8
09/01/24	3,100,000	4.000	772028NC6
09/01/25	3,100,000	4.000	772028ND4
09/01/26	3,100,000	4.000	772028NE2

The Depository Trust Company, New York, New York, is the securities depository for said Bonds. Upon presentation and surrender of said Bonds the holders of said Bonds will be paid the principal amount of the Bonds plus accrued interest to the Redemption Date.

Said Bonds will cease to bear interest on September 1, 2021.

By Order of Rock County
 Lisa Tollefson
 County Clerk

Dated _____, 2021

* To be sent to The Depository Trust Company, Call Notification Department, Muni Reorganization Manager, 711 Stewart Avenue, Garden City, New York 11530, the securities depository for the Bonds, not less than thirty (30) days nor more than sixty (60) days prior to the Redemption Date by registered or certified mail, or overnight express delivery.

Exhibit D-2NOTICE OF REDEMPTION*

\$6,040,000
 ROCK COUNTY, WISCONSIN
 GENERAL OBLIGATION PROMISSORY NOTES
 DATED SEPTEMBER 26, 2013

NOTICE IS HEREBY GIVEN that the following maturities of the above-referenced issue have been called for prior payment on September 1, 2021 (the "Redemption Date"):

<u>Maturity Date</u>	<u>Principal Amount</u>	<u>Interest Rate</u>	<u>Cusip Number</u>
09/01/22	\$800,000	2.900%	772028NX0
09/01/23	800,000	3.050	772028NY8

The Depository Trust Company, New York, New York, is the securities depository for said Notes. Upon presentation and surrender of said Notes the holders of said Notes will be paid the principal amount of the Notes plus accrued interest to the Redemption Date.

Said Notes will cease to bear interest on September 1, 2021.

By Order of Rock County
 Lisa Tollefson
 County Clerk

Dated _____, 2021

* To be sent to The Depository Trust Company, Call Notification Department, Muni Reorganization Manager, 711 Stewart Avenue, Garden City, New York 11530, the securities depository for the Notes, not less than thirty (30) days nor more than sixty (60) days prior to the Redemption Date by registered or certified mail, or overnight express delivery.

RESOLUTION AUTHORIZING THE ISSUANCE AND AWARDING THE SALE OF \$17,255,000 TAXABLE GENERAL OBLIGATION REFUNDING BONDS; PROVIDING THE FORM OF THE BONDS; AND LEVYING A TAX IN CONNECTION THEREWITH.

Finance Committee

Mary Mawhinney, Chair

Richard Bostwick

Wes Davis, Vice Chair

Brent Fox

Stephanie Aegerter

FISCAL NOTE:

This resolution authorizes the sale of \$17,255,000 in Taxable General Obligation Refunding Bonds with payments commencing March 1, 2022 (interest only) with the last principal payment scheduled for September 1, 2026. The proceeds are to be used to refinance the remaining 2011 Bonds and 2013 Notes, thereby saving the County significant interest costs due to the current favorable market..

/s/Sherry Oja

Sherry Oja
Finance Director

LEGAL NOTE:

Chapter 67, Wis Stats., provides the authorization for the action proposed in this resolution which has been determined to be proper by counsel retained for this purpose.

/s/Richard Greenlee

Richard Greenlee
Corporation Counsel

ADMINISTRATIVE NOTE:

Recommended.

/s/Josh Smith

Josh Smith
County Administrator

RESOLUTION AUTHORIZING THE ISSUANCE AND
AWARDING THE SALE OF \$6,485,000
GENERAL OBLIGATION PROMISSORY NOTES; PROVIDING
THE FORM OF THE NOTES; AND LEVYING A TAX
IN CONNECTION THEREWITH

WHEREAS, on January 23, 2020 and January 28, 2021, the County Board of Rock County, Wisconsin (the "County") adopted Initial Resolutions authorizing the issuance of general obligation bonds or notes in an amount not to exceed \$3,230,000 and \$3,255,000 respectively for the purpose of paying the costs of highway construction and improvement projects (the "Project");

WHEREAS, the County deems the Project to be within its powers to undertake and therefore to be a public purpose as defined in Section 67.04(2) of the Wisconsin Statutes;

WHEREAS, the County Board hereby finds and determines that it is necessary, desirable and in the best interests of the County to raise funds for the purpose of paying the costs of the Project;

WHEREAS, counties are authorized by the provisions of Section 67.12(12) of the Wisconsin Statutes to borrow money and to issue general obligation promissory notes for such public purposes;

WHEREAS, the County (in consultation with the County's financial advisor) caused an Official Notice of Sale to be distributed, offering its general obligation promissory notes for public sale on February 25, 2021;

WHEREAS, sealed bid proposals were received as summarized on Exhibit C attached hereto; and

WHEREAS, it has been determined that the bid proposal (the "Proposal") submitted by _____, _____, _____, fully complies with the bid requirements set forth in the Official Notice of Sale and is deemed to be the most advantageous to the County. A copy of said bid is attached hereto as Exhibit A and incorporated herein by this reference.

NOW, THEREFORE, BE IT RESOLVED by the County Board of the County that:

Section 1. Authorization of the Notes. For the purpose of paying the cost of the Project there shall be borrowed pursuant to Section 67.12(12) of the Wisconsin Statutes, the principal sum of SIX MILLION FOUR HUNDRED EIGHTY-FIVE THOUSAND DOLLARS (\$6,485,000). The bid proposal of _____, _____, _____, (the "Purchaser") is hereby accepted, said proposal offering to purchase the \$6,485,000 Rock County General Obligation Promissory Notes (the "Notes") for the sum of _____ DOLLARS (\$ _____), plus accrued interest to the date of delivery, resulting in a net interest cost of _____ DOLLARS (\$ _____) and a true interest rate of _____%.

Section 2. Sale of the Notes. To evidence such indebtedness, the County Board Chairperson and County Clerk are hereby authorized, empowered and directed to make, execute, issue and sell to the Purchaser for and on behalf of and in the name of the County, general obligation promissory notes aggregating the principal amount of SIX MILLION FOUR HUNDRED EIGHTY-FIVE THOUSAND DOLLARS (\$6,485,000).

Section 3. Terms of the Notes. The Notes shall be designated “General Obligation Promissory Notes”; shall be dated March 23, 2021; shall be in the denomination of \$5,000 or any integral multiple thereof; shall bear interest at the rates per annum and mature on September 1 of each year, in the years and principal amounts as set forth in the Pricing Summary attached hereto as Exhibit D and incorporated herein by this reference. Interest is payable semi-annually on March 1 and September 1 of each year commencing March 1, 2022. The schedule of principal and interest payments due on the Notes is set forth on the Debt Service Schedule attached hereto as Exhibit E and incorporated herein by this reference (the “Schedule”).

Section 4. Designation of Purchaser as Agent. The County hereby designates the Purchaser as its agent for purposes of distributing the Final Official Statement relating to the Notes to any participating underwriter in compliance with Rule 15c2-12 of the Securities and Exchange Commission.

Section 5. Redemption Provisions. At the option of the County, the Notes maturing on September 1, 2029 and thereafter are subject to redemption prior to maturity on September 1, 2028 or on any date thereafter. Said Notes are redeemable as a whole or in part from maturities selected by the County and within each maturity by lot, at the principal amount thereof, plus accrued interest to the date of redemption.

Section 6. Form of the Notes. The Notes shall be issued in registered form and shall be executed and delivered in substantially the form attached hereto as Exhibit B and incorporated herein by this reference.

Section 7. Direct Annual Irrepealable Tax Levy. For the purpose of paying the principal of and interest on the Notes as the same becomes due, the full faith, credit and resources of the County are hereby irrevocably pledged and a direct annual irrepealable tax is hereby levied upon all taxable property of the County. Said direct annual irrepealable tax shall be levied in the years 2021 through 2029 for payments due in 2022 through 2030 in the amounts as set forth on the Schedule.

The aforesaid direct annual irrepealable tax hereby levied shall be collected in addition to all other taxes and in the same manner and at the same time as other taxes of the County levied in said years are collected. So long as any part of the principal of or interest on the Notes remains unpaid, the tax herein above levied shall be and continues irrepealable except that the amount of tax carried onto the tax roll may be reduced in any year by the amount of any surplus in the Debt Service Fund Account created herein.

Section 8. Debt Service Fund Account. There is hereby established in the County treasury a fund account separate and distinct from every other County fund or account designated “Debt Service Fund Account for \$6,485,000 General Obligation Promissory Notes, dated March 23, 2021.” There shall be deposited in said fund account any premium plus accrued interest paid

on the Notes at the time of delivery to the Purchaser, all money raised by taxation pursuant to Section 7 hereof and all other sums as may be necessary to pay interest on the Notes when the same shall become due and to retire the Notes at their respective maturity dates. Said fund account shall be used for the sole purpose of paying the principal of and interest on the Notes and shall be maintained for such purpose until such indebtedness is fully paid or otherwise extinguished.

Section 9. Segregated Borrowed Money Fund. The proceeds of the Notes (the “Note Proceeds”) (other than any premium and accrued interest which must be paid at the time of the delivery of the Notes into the Debt Service Fund Account created above) shall be deposited into an account separate and distinct from all other funds and be disbursed solely for the purposes for which borrowed or for the payment for the principal of and the interest on the Notes.

Section 10. Arbitrage Covenant. The County shall not take any action with respect to the Note Proceeds which, if such action had been reasonably expected to have been taken, or had been deliberately and intentionally taken on the date of the delivery of and payment for the Notes (the “Closing”), would cause the Notes to be “arbitrage bonds” within the meaning of Section 148 of the Internal Revenue Code of 1986, as amended (the “Code”) and any income tax regulations promulgated thereunder (the “Regulations”).

The Note Proceeds may be temporarily invested in legal investments until needed, provided however, that the County hereby covenants and agrees that so long as the Notes remain outstanding, moneys on deposit in any fund or account created or maintained in connection with the Notes, whether such moneys were derived from the Note Proceeds or from any other source, will not be used or invested in a manner which would cause the Notes to be “arbitrage bonds” within the meaning of the Code or Regulations.

The County Clerk, or other officer of the County charged with responsibility for issuing the Notes, shall provide an appropriate certificate of the County, for inclusion in the transcript of proceedings, setting forth the reasonable expectations of the County regarding the amount and use of the Note Proceeds and the facts and estimates on which such expectations are based, all as of the Closing.

Section 11. Additional Tax Covenants; Exemption from Rebate. The County hereby further covenants and agrees that it will take all necessary steps and perform all obligations required by the Code and Regulations (whether prior to or subsequent to the issuance of the Notes) to assure that the Notes are obligations described in Section 103(a) of the Code, the interest on which is excluded from gross income for federal income tax purposes, throughout their term. The County Clerk or other officer of the County charged with the responsibility of issuing the Notes, shall provide an appropriate certificate of the County as of the Closing, for inclusion in the transcript of proceedings, certifying that it can and covenanting that it will comply with the provisions of the Code and Regulations.

Further, it is the intent of the County to take all reasonable and lawful actions to comply with any new tax laws enacted so that the Notes will continue to be obligations described in Section 103(a) of the Code, the interest on which is excluded from gross income for federal income tax purposes.

The County anticipates that the Notes will qualify for the construction expenditure exemption from the rebate requirements of the Code. The County Clerk or other officer of the County charged with the responsibility of issuing the Notes, shall provide an appropriate certificate of the County as of the Closing, for inclusion in the transcript of proceedings, with respect to said exemption from the rebate requirements, and said County Clerk or other officer is hereby authorized to make any election on behalf of the County in order to comply with the rebate requirements of the Code. If, for any reason, the County did not qualify for any exemption from the rebate requirements of the Code, the County covenants that it would take all necessary steps to comply with such requirements.

Section 12. Persons Treated as Owners; Transfer of Notes. The County Clerk shall keep books for the registration and for the transfer of the Notes. The person in whose name any Note shall be registered shall be deemed and regarded as the absolute owner thereof for all purposes and payment of either principal or interest on any Note shall be made only to the registered owner thereof. All such payments shall be valid and effectual to satisfy and discharge the liability upon such Note to the extent of the sum or sums so paid.

Any Note may be transferred by the registered owner thereof by surrender of the Note at the office of the County Clerk, duly endorsed for the transfer or accompanied by an assignment duly executed by the registered owner or his attorney duly authorized in writing. Upon such transfer, the County Clerk shall execute and deliver in the name of the transferee or transferees a new Note or Notes of a like aggregate principal amount, series and maturity and the County Clerk shall record the name of each transferee in the registration book. No registration shall be made to bearer. The County Clerk shall cancel any Note surrendered for transfer.

The County shall cooperate in any such transfer, and the County Board Chairperson and County Clerk are authorized to execute any new Note or Notes necessary to effect any such transfer.

The 15th day of each calendar month next preceding each interest payment date shall be the record date for the Notes. Payment of interest on the Notes on any interest payment date shall be made to the registered owners of the Notes as they appear on the registration book of the County maintained by the County Clerk at the close of business on the corresponding record date.

Section 13. Utilization of The Depository Trust Company Book-Entry-Only- System. In order to make the Notes eligible for the services provided by The Depository Trust Company, New York, New York (“DTC”), the County has heretofore agreed to the applicable provisions set forth in the DTC Blanket Issuer Letter of Representation and the Finance Director has executed such Letter of Representation and delivered it to the DTC on behalf of the County.

Section 14. Official Statement. The County Board hereby approves the Preliminary Official Statement with respect to the Notes and deems the Preliminary Official Statement as “final” as of its date for purposes of SEC Rule 15c2-12 promulgated by the Securities and Exchange Commission pursuant to the Securities and Exchange Act of 1934 (the “Rule”). All actions taken by officers of the County in connection with the preparation of such Preliminary Official Statement and any addenda to it or Final Official Statement are hereby ratified and approved. In connection with Closing, the appropriate County official shall certify the

Preliminary Official Statement and any addenda or Final Official Statement. The appropriate County official shall cause copies of the Preliminary Official Statement and any addenda or Final Official Statement to be distributed to the Purchaser.

Section 15. Execution of the Notes. The Notes shall be issued in typewritten form, one Note for each maturity, executed on behalf of the County by the manual or facsimile signatures of the County Board Chairperson and County Clerk (except that one of the foregoing signatures shall be manual), sealed with its official or corporate seal, if any, and delivered to the Purchaser upon payment to the County of the purchase price thereof, plus accrued interest to the date of delivery. In the event that either of the officers whose signatures appear on the Notes shall cease to be such officers before the delivery of the Notes, such signatures shall, nevertheless, be valid and sufficient for all purposes to the same extent as if they had remained in office until such delivery. The aforesaid officers are hereby authorized to do all acts and execute and deliver all documents as may be necessary and convenient to effectuate the Closing.

Section 16. Payment of the Notes. The principal of and interest on the Notes shall be paid by the County Treasurer or his or her agent in lawful money of the United States.

Section 17. Continuing Disclosure. The County hereby covenants and agrees that it will comply with and carry out all of the provisions of its Continuing Disclosure Certificate, which the County will execute and deliver on the Closing Date. Any Noteholder may take such actions as may be necessary and appropriate, including seeking mandate or specific performance by court order, to cause the County to comply with its obligations under this Section.

Section 18. Conflicting Resolutions; Severability; Effective Date. All prior resolutions, rules or other actions of the County or any parts thereof in conflict with the provisions hereof shall be, and the same are, hereby rescinded insofar as the same may so conflict. In the event that any one or more provisions hereof shall for any reason be held to be illegal or invalid, such illegality or invalidity shall not affect any other provisions hereof. The foregoing shall take effect immediately upon adoption and approval in the manner provided by law.

Adopted this 25th day of February, 2021.

Richard Bostwick
County Board Chairperson

ATTEST:

Lisa Tollefson
County Clerk

(SEAL)

EXHIBIT B

(Form of Note)

UNITED STATES OF AMERICA
STATE OF WISCONSIN
ROCK COUNTY

REGISTERED
NO. R- ___ GENERAL OBLIGATION PROMISSORY NOTE

MATURITY DATE: ORIGINAL DATE OF ISSUE INTEREST RATE: CUSIP:
SEPTEMBER 1, 20___ MARCH 23, 2021 _____% 772028___

DEPOSITORY OR ITS NOMINEE NAME: CEDE & CO.

PRINCIPAL AMOUNT: _____ DOLLARS
(\$ _____)

KNOW ALL MEN BY THESE PRESENTS, that Rock County, Wisconsin (the “County”), hereby acknowledges itself to owe and for value received promises to pay to the Depository or its Nominee Name (the “Depository”) identified above (or to registered assigns), on the maturity date identified above, the principal amount identified above, and to pay interest thereon at the rate of interest per annum identified above, all subject to the provisions set forth herein regarding redemption prior to maturity. Interest is payable semi-annually on March 1 and September 1 of each year commencing on March 1, 2022 until the aforesaid principal amount is paid in full. Both the principal of and interest on this Note are payable in lawful money of the United States. The principal of this Note shall be payable only upon presentation and surrender of the Note at the office of the County Clerk or Treasurer. Interest payable on any interest payment date shall be paid by wire transfer to the Depository in whose name this Note is registered on the Bond Register maintained by the County Clerk or Treasurer at the close of business on the 15th day of the calendar month next preceding the semi-annual interest payment date (the “Record Date”).

For the prompt payment of this Note together with interest hereon as aforesaid and for the levy of taxes sufficient for that purpose, the full faith, credit and resources of the County are hereby irrevocably pledged.

This Note is one of an issue of Notes aggregating the principal amount of \$6,485,000, all of which are of like tenor, except as to denomination, interest rate, maturity date and redemption provision, issued by the County pursuant to the provisions of Chapter 67, Wisconsin Statutes, for the purposes of paying the costs of highway construction and improvement projects all as authorized by a resolution of the County Board duly adopted by said governing body at a meeting held on February 25, 2021. Said resolution is recorded in the official minutes of the County Board for said date.

At the option of the County, the Notes maturing on September 1, 2029 and thereafter are subject to redemption prior to maturity on September 1, 2028 or on any date thereafter. Said Notes are redeemable as a whole or in part from maturities selected by the County and within each maturity by lot, at the principal amount thereof, plus accrued interest to the date of redemption.

In the event the Notes are redeemed prior to maturity, as long as the Notes are in book-entry-only form, official notice of the redemption will be given by mailing a notice by registered or certified mail, or overnight express delivery, to the Depository not less than thirty (30) days nor more than sixty (60) days prior to the redemption date. If less than all the Notes of a maturity are to be called for redemption, the Notes of such maturity to be redeemed will be selected by lot. Such notice will include but not be limited to the following: the designation, date and maturities of the Notes called for redemption, CUSIP numbers, and the date of redemption. Any notice mailed as provided herein shall be conclusively presumed to have been duly given, whether or not the Depository receives the notice. The Notes shall cease to bear interest on the specified redemption date, provided that federal or other immediately available funds sufficient for such redemption are on deposit at the office of the Depository at that time. Upon such deposit of funds for redemption the Notes shall no longer be deemed to be outstanding.

It is hereby certified and recited that all conditions, things and acts required by law to exist or to be done prior to and in connection with the issuance of this Note have been done, have existed and have been performed in due form and time; that the aggregate indebtedness of the County, including this Note and others issued simultaneously herewith, does not exceed any limitation imposed by law or the Constitution of the State of Wisconsin; and that a direct annual irrevocable tax has been levied sufficient to pay this Note, together with the interest thereon, when and as payable.

This Note is transferable only upon the books of the County kept for that purpose at the office of the County Clerk or Treasurer. In the event that the Depository does not continue to act as depository for the Notes, and the County Board appoints another depository, new fully registered Notes in the same aggregate principal amount shall be issued to the new depository upon surrender of the Notes to the County Clerk or Treasurer, in exchange therefor and upon the payment of a charge sufficient to reimburse the County for any tax, fee or other governmental charge required to be paid with respect to such registration. The County Clerk or Treasurer shall not be obliged to make any transfer of the Notes (i) after the Record Date, (ii) during the fifteen (15) calendar days preceding the date of any publication of notice of any proposed redemption of the Notes, or (iii) with respect to any particular Note, after such Note has been called for redemption. The County may treat and consider the Depository in whose name this Note is registered as the absolute owner hereof for the purpose of receiving payment of, or on account of, the principal or redemption price hereof and interest due hereon and for all other purposes whatsoever.

IN WITNESS WHEREOF, Rock County, Wisconsin, by its governing body, has caused this Note to be executed for it and in its name by the signatures of its duly qualified County Board Chairperson and County Clerk; and to be sealed with its official or corporate seal, if any, all as of the 23rd day of March, 2021.

ROCK COUNTY, WISCONSIN

By: _____
Richard Bostwick,
County Board Chairperson

(SEAL)

By: _____
Lisa Tollefson,
County Clerk

ASSIGNMENT

FOR VALUE RECEIVED, the undersigned sells, assigns and transfers unto

(Name and Address of Assignee)

(Social Security or other Identifying Number of Assignee)

the within Note and all rights thereunder and hereby irrevocably constitutes and appoints _____, Legal Representative, to transfer said Note on the books kept for registration thereof, with full power of substitution in the premises.

Dated: _____

Signature Guaranteed:

(e.g. Bank, Trust Company
or Securities Firm)

(Depository or its Nominee
Name)

(Authorized Officer)

NOTICE: The above-named
Depository or its Nominee Name must
correspond with the name as it appears upon
the face of the within Note in every
particular, without alteration or enlargement
or any change whatever.

RESOLUTION AUTHORIZING THE ISSUANCE AND AWARDING THE SALE OF \$6,485,000 GENERAL OBLIGATION PROMISSORY NOTES; PROVIDING THE FORM OF THE NOTES; AND LEVYING A TAX IN CONNECTION THEREWITH.

Finance Committee

Mary Mawhinney, Chair

Richard Bostwick

Wes Davis, Vice Chair

Brent Fox

Stephanie Aegerter

FISCAL NOTE:

This resolution authorizes the borrowing of \$6,485,000 in General Obligation Promissory Notes to be redeemed over a nine-year period commencing March 1, 2022 (interest only) with the last principal payment scheduled for September 1, 2030. The proceeds are to be used for highway road construction projects that were approved in the 2020 and 2021 budgets.

/s/Sherry Oja

Sherry Oja
Finance Director

LEGAL NOTE:

Chapter 67, Wis Stats., provides the authorization for the action proposed in this resolution which has been determined to be proper by counsel retained for this purpose.

/s/Richard Greenlee

Richard Greenlee
Corporation Counsel

ADMINISTRATIVE NOTE:

Recommended.

/s/Josh Smith

Josh Smith
County Administrator

**RESOLUTION
ROCK COUNTY BOARD OF SUPERVISORS**

The General Services Committee
INITIATED BY



Brent Sutherland- Director of
Facilities Management
DRAFTED BY

The General Services Committee
SUBMITTED BY

February 7, 2021
DATE DRAFTED

**RETAINING VENTURE ARCHITECTS FOR ARCHITECTURAL AND
ENGINEERING SERVICES FOR PHASE I OF THE SHERIFF'S OFFICE,
LAW ENFORCEMENT SERVICES RENOVATION**

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WHEREAS, Rock County Master plan calls for an addition to the Sheriff's office replacing the 1924 Huber building; and,

WHEREAS, a needs assessment was conducted in 2020 to determine required size; and,

WHEREAS, the needs assessment also identified the future program needs and size; and,

WHEREAS, the Facilities Master Plan has the engineering for the law enforcement services Phase 1 to be completed in 2021 and funds were budgeted; and,

WHEREAS, Venture Architect specializes in correctional facilities and was chosen by Facilities Management and the Sheriff's Office leadership.

NOW, THEREFORE, BE IT RESOLVED by the Rock County Board of Supervisors duly assembled this _____ day of _____, 2021 that a contract for architectural/engineering services be awarded to Venture/Architects, of Milwaukee, WI in the amount of \$3,080,000.

Respectfully submitted,

GENERAL SERVICES COMMITTEE

/s/ Robert Potter
Robert Potter, Chair

Absent
Tom Brien, Vice Chair

/s/Dave Homan
Dave Homan

/s/Brent Fox
Brent Fox

/s/William Wilson
William Wilson

RETAINING VENTURE ARCHITECTS FOR ARCHITECTURAL AND
ENGINEERING SERVICES FOR PHASE I OF THE SHERIFF'S OFFICE,
LAW ENFORCEMENT SERVICES RENOVATION

Page 2

LEGAL NOTE:

The County Board is authorized to take this action pursuant to secs. 59.01 and 59.51, Wis. Stats. Professional services are not subject to bidding requirements of § 59.52(29), Stats.

/s/Richard Greenlee

Richard Greenlee
Corporation Counsel

FISCAL NOTE:

Funds for these services were included in the 2021 budget. These services are being funded with debt.

/s/Sherry Oja

Sherry Oja
Finance Director

ADMINISTRATIVE NOTE:

Recommended.

/s/Josh Smith

Josh Smith
County Administrator

Executive Summary

Retaining Venture Architects for Architectural and Engineering Services for Phase I of the Sheriff's office, Law Enforcement Services Renovation.

The resolution before you awards a contract to Venture Architects of Milwaukee, Wisconsin, in the amount of \$3,080,000 for Architectural and engineering services for the law enforcement services Phase 1 renovation.

A needs assessment was completed in 2020 to identify the trends and needs. The Rock County master plan lists the engineering for the renovation to the Sheriff's Office for the replacement of the Huber building to be completed in 2021 and funds were budgeted for these services. Venture Architects of Milwaukee specializes in correctional facilities and was chosen by Facilities Management and Sheriff's Office leadership for these design services.

December 22, 2020

Brent Sutherland
Facilities Management Director
Rock County Courthouse
51 S. Main Street
Janesville, WI 53545



RE: Rock County

New Law Enforcement Center (LEC) and addition to the jail for a new RECAP housing Unit – the PINEHURST REPLACEMENT
and Schematic Design of the Jail additions and internal remodeling

Dear Mr. Sutherland;

Thank you for the opportunity to propose our work scope for the new LEC. The enclosed is our proposal to satisfy the work scope as we understand it. Our repose is broken into three sections: Scope; Deliverables and Fee.

SCOPE - Understanding

- A. The county intends to replace the present Law Enforcement Center (Pinehurst).
- B. The proposed new facility is and approximately 92,000 SF building for Sheriff's Department Law Enforcement operations and an approximately 22,000 sf Dormitory housing unit.
- C. The project will result in the demolition of the present Pinehurst building as part of this contract.
- D. The cost of construction is \$35,341,959 (includes estimating contingency).
- E. The work scope also includes Schematic design (only) of the proposed additions to the County Jail
- F. The jail project consists of approximately 47,000 sf of new housing, 11,000 sf of new garage and 45,000 sf or remodeled work in the present facility
- G. The cost of constructing is \$50,664,864 (includes estimating contingency).
- H. Services will include design, specification, documents and construction administration of the following topics: Architecture and Interior Design, and the following Engineering:

December 22, 2020

ROCK COUNTY, WI LEC (Pinehurst Replacement)

51 S. Main Street, Janesville, Wisconsin 53545

Page 2 of 6

Structural, HVAC, Electrical + Lighting, Plumbing, Fire Protection (scope docs), Civil as well as Landscape Architecture.

- I. Security electronics design and specification is to be provided by SGTS as part of their on-going service contract with Rock County. We will provide rough-in of those products.
- J. Furniture design and specification will be provided by Henricksen as part of their on-going service contract with Rock County. We will provide consultation on Furniture colorization and integration with the interior design aesthetic.
- K. We will hold the following other professional services in our contract and thus in our fee: Surveying, Geotechnical, Audio + Video and Data design.
- L. We have also included the following expenses in our fee: Plan review, Bid Advertising, television of existing utilities and traditional architectural reimbursables for mileage and printing.
- M. The County would like to begin work immediately based on the following design schedule
 - 1) Schematic design: Jan 1, 2021 – March 31, 2021
 - 2) Design Development: April 1, 2021 – May 31, 2021
 - 3) Construction Documents: June 1, 2021 – December 31, 2021
 - 4) Bidding: January 1, 2022 – February 28, 2022
 - 5) Construction: April 2022–July 2023
 - 6) Installation: August - September 2023

We are committed to improving this schedule as design is occasionally non-linear. If our design process enables earlier completion we will do so in consultation with you to optimize timing and market exposure.

- N. The architect will be responsible to acquire necessary approvals from the City of Janesville and within the County process. Submittal costs associated with this process are NOT included in our fee but may be included if so desired.
- O. The Architect will enter into a mutually agreeable contract as negotiated with Facilities and Rock County Corporate Counsel.

DELIVERABLES

Includes drawings necessary to acquire bids:

- A. Plans (Floor, Site, Ceiling, Roof, Enlarged);
- B. Sections (Building, Wall);
- C. Elevations (Building, Interior);
- D. Schedules (Door / Frame, Room Finish, plus associated engineering schedules);
- E. Details explaining the design intent;
- F. Renderings indicating the nature of the design, to develop the ideas presented and to facilitate local approvals (entitlement);

December 22, 2020

ROCK COUNTY, WI LEC (Pinehurst Replacement)

51 S. Main Street, Janesville, Wisconsin 53545

Page 3 of 6

- G. The project as described will result in a bid set consisting of approximately 60-70 sheets.
- H. The timeline described in the scope section could be accelerated modestly. We expect that the project be positioned to fulfill contractor 2022 backlog. That timeline is aggressive but possible and we would work with staff to determine how to best fulfill that goal without compromising design and document quality.
- I. Attendance at required meetings to fulfill the design requirements and collect data and opinion necessary to conclude the design as well as to obtain approvals. We expect design meetings will be held minimally on an alternate week basis with Rock County Staff.
- J. Attendance at alternate-week construction meetings during the construction administration phase.
- K. Other tasks not specifically enumerated but usual and customary for a project of this magnitude.
- L. The fee is as follows understanding these may flex or change based on the ultimate choice of projects in the timeline:

December 22, 2020

ROCK COUNTY, WI LEC (Pinehurst Replacement)

51 S. Main Street, Janesville, Wisconsin 53545

Page 4 of 6

Budget	Pinehurst	Jail	Notes
Construction	\$ 32,129,054	\$ 46,058,967	
Estimating Contingency	\$ 3,212,905	\$ 4,605,897	
Subtotal Construction	\$ 35,341,959	\$ 50,664,864	
Professional Fees	7.7%	7.7%	
Architecture	\$ 2,473,937	\$ 3,546,540	
Civil	\$ 55,000	\$ 25,000	
Landscape	\$ 15,000	\$ 15,000	
Data	\$ 49,684	\$ 99,630	
Furniture	\$ 59,212	\$ 35,957	
Special Equipment	\$ 40,215	\$ 90,335	
Geotechnical	\$ 15,000	\$ 15,000	
Survey	\$ 15,000	\$ 15,000	
Printing	\$ 10,000	\$ 10,000	
Reimbursables	\$ 80,791	\$ 114,374	
Bid Advertisement	\$ 7,500	\$ 7,500	
Television of Utilities	\$ 20,000	\$ 0	
Flow Test	below	below	
Plan Review	below	below	
	\$ 2,841,340	\$ 3,974,337	
Approvals			
Building permit	\$ 353,420	\$ 506,649	
Entitlement	\$ 176,710	\$ 253,324	
	\$ 530,130	\$ 759,973	
Utility Consumption			
Consumption	\$ 35,352	\$ 50,665	
Flow Test	\$ 4,500	\$ 4,500	
	\$ 39,842	\$ 55,165	
Technology	\$ 1,242,099	\$ 2,490,759	
Furniture	\$ 1,184,249	\$ 719,143	
Equipment	\$ 574,500	\$ 1,290,500	
Occupancy Expenses	\$ 59,013	\$ 31,332	
Administrative	\$ 4,114,325	\$ 5,876,459	
BUDGET	\$ 45,927,457	\$ 65,862,459	

December 22, 2020

ROCK COUNTY, WI LEC (Pinehurst Replacement)

51 S. Main Street, Janesville, Wisconsin 53545

Page 5 of 6

Fee	Pinehurst	Proposed Joint Fee	Jail
Construction	\$ 32,129,054		\$ 46,058,967
Estimating Contingency	\$ 3,212,905		\$ 4,605,897
Subtotal Construction	\$ 35,341,959	\$ 35,341,959	\$ 50,664,864
Professional Fees	7.7%	6.41%	\$ 3,456,540
Architecture + Engineering	\$ 2,473,937	\$ 2,265,000	\$ 525,000 [1]
Civil	\$ 55,000	\$ 47,500	\$ 5,000
Landscape	\$ 15,000	\$ 21,325	\$ 500
Data	\$ 49,684	\$ 75,000	\$ 15,000
Furniture	\$ 59,212	\$ 4,500	\$ 0
Special Equipment	\$ 40,215	\$ 32,975	\$ 0
Geotechnical	\$ 15,000	\$ 15,000	\$ 0
Survey	\$ 15,000	\$ 35,000	All in phase one
Printing	\$ 10,000	\$ 7,500	\$ 0
Reimbursables	\$ 80,791	\$ 60,000	\$ 15,000
Bid Advertisement	\$ 7,500	\$ 7,500	\$ 0
Television of Existing Utilities	\$ 20,000	\$ 20,000	\$ 0
Flow Test	below	\$ 4,500	\$ 0
Plan Review	below	\$ 45,000	
	\$ 2,841,340	\$ 2,563,500	\$ 516,500
		<\$277,840>	of \$3,974,337
Total Fee Both Projects		\$ 3,080,000	
	[1] schematic design only		
Approvals + Entitlements			
Building permit	\$ 353,420	\$ 353,420	
Plan Review + entitlement	\$ 176,710	above	
	\$ 530,130	\$ 353,420	\$ 759,973
		<\$176,710>	
Utility Consumption			
Consumption	\$ 35,352	\$ 35,352	
Flow Test	\$ 4,500	above	
	\$ 39,842	\$ 35,352	\$ 55,165
		<\$4,500>	
Technology	\$ 1,242,099		\$ 2,490,759
Furniture	\$ 1,184,249		\$ 719,143
Equipment	\$ 574,500		\$ 1,290,500
Occupancy Expenses	\$ 59,013		\$ 31,332
Administrative	\$ 4,114,325		\$ 5,876,459
BUDGET	\$ 45,927,457		\$ 65,862,459

December 22, 2020

ROCK COUNTY, WI LEC (Pinehurst Replacement)

51 S. Main Street, Janesville, Wisconsin 53545

Page 6 of 6

FEE

Our fee for the task as described is **\$3,080,000.00**. The sum of the request remains less than budgeted (8.44% less) and is an all-encompassing fee collecting an array of additional consultants which are necessary for the effort and will be managed by Venture as requested.

We are grateful for the opportunity to continue to work with Rock County.

We look forward to working with you to create a service oriented and appropriate design solution. And, we are committed to doing so quickly, and with the attention to detail necessary for those who will work here, be served here and pay for the effort.

Sincerely,

VENTURE ARCHITECTS



John Sabinash
Project Manager
Vice President | Principal

Attachment (budget and responsibility matrix)

**RESOLUTION
ROCK COUNTY BOARD OF SUPERVISORS**

Sup. Dave Homan
INITIATED BY



Sup. Dave Homan
DRAFTED BY

County Board Staff Committee
SUBMITTED BY

February 11, 2021
DATE DRAFTED

In Support of Legislation to Allow for Greater Broadband Expansion

1 **WHEREAS**, a recent state report titled “Rural Voices for Prosperity A Report of the Governors Blue
2 Ribbon Commission on Rural Prosperity contained many recommendations applicable to the state of
3 or lack of broadband access throughout Rock County; and,
4

5 **WHEREAS**, the Rural Voices for Prosperity Report included the following recommendations, many
6 of which legislative action will be necessary and include:

- 7 **A. Set a bold, public goal for bringing high performance broadband to all of Wisconsin.**
- 8 **B. Boost the funding for existing state assistance to rural broadband.**
- 9 **C. Create a method to hold providers accountable for the broadband service they claim to**
10 **provide.**
- 11 **D. Provide more broadband technical assistance to communities.**
- 12 **E. Ensure broadband coverage maps are accurate.**
- 13 **F. Review and consider revising state rules that make it complicated for communities and**
14 **local leaders to take broadband action on their own.**
- 15 **G. Explore and share “how-to” ideas from the “doer” rural Wisconsin communities and**
16 **from what other states are doing; and,**
17

18 **WHEREAS**, the broadband service in Rock County is partially underserved, underserved and not
19 serviced in 53% of the County’s square miles affecting approximately 20,301 or 12% of the County’s
20 total population (per 2020 broadband application to state to serve Rock County, supplied by Bug
21 Tussel Wireless).
22

23 **NOW, THEREFORE, BE IT RESOLVED** that the Rock County Board of Supervisors duly
24 assembled this ____ day of _____, 2021, does hereby support the broadband
25 recommendations contained in the Rural Voices for Prosperity Report and urge the State of Wisconsin
26 Legislature to approve these as a means of providing meaningful broadband expansion.
27

28 **BE IT RESOLVED** that the Rock County Board of Supervisors shall push for all possible avenues to
29 provide all citizens of Rock County with reliable, high-speed internet service.

In Support of Legislation to Allow for Greater Broadband Expansion
Page 2

Respectfully submitted:

COUNTY BOARD STAFF COMMITTEE

Richard Bostwick, Chair

Wes Davis, Vice Chair

Tom Brien

Kevin Leavy

Louis Peer

J Russell Podzilni

Alan Sweeney

Bob Yeomans

Mary Beaver

LEGAL NOTE:

The County Board is authorized to take this action pursuant to §§ 59.01 and 59.51, Wis. Stats.

s/Richard Greenlee

Richard Greenlee
Corporation Counsel

FISCAL NOTE:

No fiscal impact.

/s/Sherry Oja

Sherry Oja
Finance Director

ADMINISTRATIVE NOTE:

Recommended.

/s/Josh Smith

Josh Smith
County Administrator