

Board of Supervisors 51 S. Main Street Janesville, WI 53545 Phone: 608/757-5510 FAX: 608/757-5511

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### ROCK COUNTY BOARD OF SUPERVISORS' MEETING

MONDAY, NOVEMBER 7, 2011 – 9:00 A. M.

## COUNTY BOARD ROOM/COURTROOM H

#### FOURTH FLOOR/COURTHOUSE EAST

#### Agenda

- 1. CALL TO ORDER
- 2. INVOCATION & PLEDGE OF ALLEGIANCE
- 3. ROLL CALL
- 4. ADOPTION OF AGENDA
- 5. APPROVAL OF MINUTES
- 6. PUBLIC HEARING
  - A. Amending the County's Personnel Ordinance
- 7. CITIZEN PARTICIPATION, COMMUNICATIONS AND ANNOUNCEMENTS
- 8. NOMINATIONS, APPOINTMENTS AND CONFIRMATION
- 9. INTRODUCTION OF NEW RESOLUTIONS OR ORDINANCES BY SUPERVISORS FOR REFERRAL TO APPROPRIATE COMMITTEE
- 10. REPORTS
- 11. UNFINISHED BUSINESS
- 12. NEW BUSINESS
  - A. Supplementary Appropriations and Budget Changes Roll Call
  - B. Bills Over \$10,000 No Roll Call
  - C. Encumbrances Over \$10,000 Roll Call
  - D. Contracts Roll Call
  - E. Review of 2012 Recommended Budget with County Administrator
- 13. ADJOURNMENT

RESOLUTION NO	AGENDA NO
RESOLUTION NO	AGENDA NO

# ORDINANCE ROCK COUNTY BOARD OF SUPERVISORS

Dave O'Connell	
INITIATED BY	
County Board	
Staff Committee	
SUBMITTED BY	

Dave O'Connell, Human Resource Director DRAFTED BY



November 4, 2011 DATE DRAFTED

		The state of the s			
		AMENDING THE COUNTY'S PERSONNEL ORDINANCE			
1 2 3 4 5 6		S, Act 10 and Act 32 of the 2011 Wisconsin State Legislature made numerous changes to Chapter /isconsin Statutes; and			
	WHEREAS, those changes impact the County's Personnel Ordinance and bargaining agreements with the ten unions representing Rock County Employees;				
7 8 9	NOW, THEREFORE, BE IT RESOLVED, that the Rock County Board of Supervisors assembled this, 2011 does hereby amend Chapter XVIII, the County's Personnel Ordinance as follows:				
10	Ordinance a	5 10110 M3,			
11		CHAPTER XVIII			
12 13 14		PERSONNEL ORDINANCE			
15		SECTION 1- 1			
16		OBJECTIVES AND SCOPE			
17 18 19 20 21	18.101	Authority.			
		This Ordinance is promulgated under the authority of Wisconsin Statute 59.22 (2)(c) 1.c.			
22	18.102	Purpose <u>s</u> .			
23 24 25		The purposes of this Ordinance shall be to:			
26 27		A. Establish a clear understanding of responsibilities in the establishment and maintenance of a personnel program for Rock County.			
28 29 30 31 32 33 34 35 36 37 38 39		B. Establish a uniform County Personnel Policy and procedures to recruit, select, develop and maintain an effective and responsive workforce for the County. The Ordinance shall be based on the following objectives:			
		(a) To recruit, select and advance employees on the basis of their relative knowledge, skills, and abilities.			
		(b) To provide internally equitable and externally competitive compensation for all employees.			
		(c) To recognize good job performance, reward exceptional performance and correct inadequate performance in a fair and timely manner			
41 42 43 44 45 46		(d) To assure fair treatment of all applicants and employees in all aspects of personnel administration without regard to political affiliation or beliefs, race, color, national origin or ancestry, sex, age, religion, handicapping condition, or any other cause for discrimination as defined by law, and with proper regard for their rights as citizens.			

47 To protect employees against coercive political activities and to prohibit the use of (e) official authority for the purpose of interfering with or affecting the result of an 48 49 election or a nomination for office. 50 51 C. Provide a system of standardized titles and standardized class descriptions for the effective 52 administration of personnel activities such as: manpower planning and budgeting, standards 53 of job performance, fair and equitable pay, valid selection and recruitment programs, training 54 programs and career development. 55 56 D. Provide a system to recruit and select the most qualified persons for positions in County 57 service. Recruitment and selection shall be conducted in an affirmative manner to ensure 58 open competition, provide equal employment opportunity, prohibit discrimination because 59 of race, political affiliation or beliefs, religion, sex, national origin or ancestry, age, 60 handicapping condition, or any other cause for discrimination as defined by law, to ensure 61 that persons of disadvantaged groups are fairly represented in the County workforce. 62 63 E. Provide an effective career development plan for qualified employees through promotional 64 opportunities in an environment free of sexual harnssment discrimination. 65 66 18.103 Scope, 67 68 THIS ORDINANCE SHALL NOT BE DEEMED A CONTRACT OF EMPLOYMENT. The 69 provisions of this Ordinance do not vary or modify the at-will employment relationship between 70 the employee and the County. Any individual may voluntarily cease employment upon proper 71 notice and may be terminated by Rock County at any time and for any reason. Any oral or written 72 statements of promises to the contrary are expressly disallowed and should not be relied upon by 73 any prospective or existing employee. The contents of this ordinance are subject to change at any 74 time by action of the County Board. 75 76 This Ordinance shall govern personnel administration for all employees and departments of the 77 County of Rock except: 78 79 members of the Rock County Board of Supervisors; (a) 80 81 (b) elected County Officials; 82 83 members of boards, commissions, and committees (including citizens); (c) 84 85 (d) persons employed to conduct temporary and special inquiry, investigation or examination 86 on behalf of the County Board, a committee thereof, or the County Administrator; 87 88 persons employed by personal employment contracts employment services agreements or (e) 89 purchase of service contracts, unless expressly included in said contract or agreement; 90 91 **(f)** all matters concerning deputy sheriffs arising under Section 59.26(8)(b), Wis. Stats., which 92 shall be handled by the Public Safety and Justice Committee of the Rock County Board of 93 Supervisors in accordance with statute. 94 95 This Ordinance shall not be interpreted as infringing upon the Constitutional powers of Elected 96 Department Heads. 97 98 18.104 Collective Bargaining Agreements. 99 100 This Ordinance applies to employees not covered by collective bargaining agreements 101 (Unilaterals) and to employees so covered when specific contracts are silent on a particular issue, 102 or otherwise do not apply to the contrary. 103 104 18.105 The Human Resources Section of the Administrative Policies and Procedures Manual 105 106 The Human Resource Department shall develop a standard set of policies and procedures to 107 administer the personnel system based upon the Policies established in this Ordinance. These 108 policies and procedures shall be a part of the County's Administrative Policies and Procedures 109 Manual, The Human Resource Policies and Procedures shall be subject to review and 110 approval by the County Board Staff Committee. 111 112 The Ordinance shall take precedence over the Human Resource Policies and Procedures 113

114	18,106	Department Work Rules.
115 116 117 118 119 120	AVIAVO	Nothing herein shall preclude an Appointing Authority from promulgating Department Work Rules covering topics not covered by this Ordinance or the Human Resource Department's Policies and Procedures. Work rules so promulgated must be consistent with this Ordinances and Human Resource Policies and Procedures.
121 122	18.107	Non-Elected Department Heads.
123 124 125 126 127 128 129 130 131		Any non-elected Department Head hired shall be employed pursuant to a personal employment contract of up to two (2) years. Non-elected Department Heads serving on the date of adoption of this section may voluntarily negotiate a personal employment contract of up to two (2) years. Non-elected Department Heads shall continue to be at-will employees and may be removed at the pleasure of the County Administrator. Removal of the Corporation Counsel by the County Administrator requires the concurrence of the County Board. The County Administrator shall remain the appointing authority for non-elected Department Heads. The personal employment contract covering the initial appointment of a non-elected Department Head is subject to approval by the County Board through-after action by the appropriate Governing Committee.
133 134	18.108	Administrator Position.
135 136 137 138 139		The position of the County Administrator shall be included under the coverage of this Ordinance, except where there are exclusions or where this Ordinance conflicts with the resolution establishing the administrator form of government. In the case of any such conflict, the resolution shall control.
140	18.109	Sheriff's Office Command Staff
141 142 143 144 145 146		In addition to the benefits provided to other unilateral employees, if the following provisions of the labor agreement with the Rock County Deputy Sheriffs Supervisors Association are modified, such modifications shall be extended to the Chief Deputy (CB resolution Nov 9, 1993); Commanders (CB resolution Nov 15, 1991); and Captains (CB resolution Dec. 31, 2008).
147 148 149 150 151 152 153 154 155 156 157		Education Health insurance for retirees Life insurance Retirement Sick Leave Accumulation Sick leave payout Sick leave payment Uniform allowance Worker's compensation
158 159	18.110	Amendments.
160 161 162		This Ordinance may be amended by the Rock County Board of Supervisors in the same manner as adopted.
163 164	<u>18.111</u>	Management Rights
165 166 167		The management of Rock County and the direction of the workforce is vested exclusively in the County, including but not limited to the right to:
168 169		1) Hire, promote, demote, suspend, discipline, and discharge;
170		2) Decide job qualifications for hiring;
171 172 173		<ol> <li>Transfer or layoff because of lack of work, discontinuance of services, or other legitimate reasons;</li> </ol>
174 175 176 177		<ol> <li>Subcontract for economic reasons or when it is not feasible for county employees to perform the work;</li> </ol>
178		5) Abolish or create positions,
179 180		6) Create job descriptions and determine the composition thereof;

181		7) <u>P</u>	lan and so	chedule work
182 183		8) <u>D</u>	etermine	the methods and processes and manner of performing work;
184 185		9) <u>D</u>	etermine	the type, kind and quality of service to be rendered to clients and citizens;
186 187		10) <u>D</u>	etermine	the location, operation and type of physical structures, facilities, equipment of
188 189			e county;	
190 191		11) <u>Pl</u>	lan and so	hedule any training programs,
192 193		12) <u>C</u>	reate, pro	mulgate and enforce reasonable work rules;
194 195		13) <u>L</u>	<u>Determine</u>	and enforce regulations governing conduct and safety;
196 197				what constitutes good and efficient county service, and all other functions of and direction.
198 199		The C	County sha	all have the right to operate and manage its affairs in all respects in accordance
200 201		with i	ts rights,	duties, and responsibilities.
202 203	<u>18. 112</u>	Respo	nsibilitie.	s and Authority.
204		A.	County	Board. The County Board shail:
205 206			(1)	approve the annual County budget, including requests for personnel adjustments
207 208			(2)	review and approve County Personnel Ordinance and amendments
209 210			(3)	confirm department head appointments made by the County Administrator
211 212			(4)	delegate such duties to the County Board Staff Committee as
213 214				necessary
215 216			(5)	eonduct <u>hear</u> grievance <u>hearings</u> <u>appeals</u> as outlined in Section-18.805 and 18.806
217 218				
219 220		В.	<u>County</u>	Board Staff Committee. The County Board Staff Committee shall:
221 222			(1)	advise the County Administrator on matters concerning implementation of Personnel Ordinance
223 224			(2)	
225 226			(2)	review proposed Personnel Ordinance and amendments as developed and recommended by the Human Resources Director and make recommendations to the County Board for consideration and legislative action
227			(2)	
228 229			(3)	perform other related duties as assigned by the County Board
230 231			(4)—	-conduct grievance hearings as outlined in Section 18.805 and 18.806
232 233		С	County	Board Governing Committees. Each Governing Committee shall:
234 235				
236 237			(1)	review all appointments made by the County Administrator as provided in Section 18.302(d)(1) 18.112(d)(1) and make such recommendations to the Board as appropriate
238 239		D.	County	Administrator. Except as prohibited by State and federal law, the County
240 241				strator shall:
242			(1)	appoint and remove all Department Heads, subject to the provisions of Section
243 244				<del>18.106</del> - <u>18.107</u>
245 246			(2)	advise the Governing Committee of the final interview schedule of the best- qualified applicants. The Governing Committee may participate in the scheduled
247				interviews

249		(3)	submit terms of employment for Department Heads to the Governing Committee
250			for review before submission to the County Board
251 252		(4)	property Demograph Ordinary and a 1 Mr. 14 d. G D 1 G. et al.
253		(4)	approve Personnel Ordinance prior to submittal to the County Board Staff Committee and the County Board
254			Committee and the County Bound
255		<del>-(5)</del>	conduct fourth step grievance hearings as may be necessary under Section
256 257			18.805, and adjust such grievances as may be appropriate
258		(5)	apply appropriate disciplinary actions as defined in Section 18.1108 to
259		(3)	subordinate employees
260			• •
261		<del>(6)</del>	-approve all reclassifications of positions outside the budget process arising
262 263			through the grievance process as may be appropriate pursuant to Section 18.405
264			<u>18.204</u>
265		(6)	approve new positions, reallocations, and upgrades of existing positions subject
266		` ,	to County Board approval
267	-	**	
268 269	E	Human	n Resources Director. The Human Resources Director under the authority of the v Administrator shall:
270		County	Administrator snan;
271		(1)	administer the Personnel Ordinance adopted by the County Board
272		•	• • •
273 274		(2)	establish, maintain and coordinate personnel transactions and records
275			management for all County employees and positions
276		(3)	establish and maintain a central personnel file for each County employee
277		. ,	showing name, title, salary, change in status, annual performance ratings and
278			such pertinent information as may be necessary for effective personnel
279 280			administration and for compliance with Federal and State laws
281		(4)	advise and assist Department Heads on all County Personnel transactions and
282		\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	records management systems and procedures
283		( <del>-</del>	
284 285		(5)	notify the payroll section of all relevant changes
286		(6)	review appointments and removal of personnel to County positions pursuant to
287			Section 18.1108. 18.607.
288			
289 290		(7)	maintain complete employment and performance records of all County employees
291			employees
292		(8)	establish and maintain a roster of all employees in the County service which shall
293			include the class title, pay status, and other pertinent data
294 295		(0)	species much more onto and inspectional to the Country Advict to Co. ( D. 1
296		(9)	make such reports and investigations to the County Administrator, County Board Staff Committee and the County Board as required
297			Same commission and the commission bound no foliated
298		(10)	develop and maintain the Classification Plan
299 300		(11)	develop and administrative to accompany to the second second second
301		(11)	develop and administer the recruitment and selection program
302		(12)	establish and maintain lists of persons eligible and qualified for appointment and
303			promotion to positions within the County service when, in the judgment of the
304 305			Human Resources Director, it is advantageous to the County
306		(13)	monitor temporary and overtime assignments
307		(10)	
308		(14)	approve and monitor layoffs due to lack of funds, work, or the abolition of
309 310			positions or material changes in duties and organization, encourage the re-
310			employment of laid-off employees in other appropriate County positions
312		(15)	develop, operate and coordinate programs to improve employee effectiveness,
313		• /	training and career counseling
314		(1.0)	and a little and A DE mount of A set 185
315   316		(16)	establish an Affirmative Action Program designed to increase the participation at all levels of the County workforce persons of disadvantaged groups, including,
			or research the country the control of the control

317 but not limited to women, minorities and the physically and mentally 318 handicapped 319 320 (17)establish standards and procedures to ensure uniformity in the application of 321 discipline and the processing of employee grievances 322 323 conduct third step grievance hearings as may be necessary under Section 18.805 (18)324 18.806, and adjust such grievances as may be appropriate 325 326 (19)prepare and implement such forms, reports and procedures necessary to carry out 327 the County human resources program 328 329 (20)disseminate information regarding the personnel program, fringe benefits and 330 conditions of employment to all employees and departments 331 lead the County's negotiations with labor representatives, unless otherwise 332 (21)333 delegated by the County Board. 334 335 (22)investigate unemployment compensation claims and represent the County at 336 unemployment compensation hearings 337 338 (23)develop such regulations as necessary to carry out the intent of this Ordinance 339 340 (24)establish a safety program to reduce the incidence of work-related injuries and 341 promote safety awareness 342 343 (25)develop and maintain the County-wide training program within budgetary 344 limitations 345 346 (26)administer and manage the County's Worker's Compensation program 347 348 Insure that Department Work Rules are fairly designed and administered. 349 350 351 F. Department Heads. Department Heads shall: 352 353 (1) enforce the Personnel Ordinance, rules and regulations and the Human Resource 354 Policies and Procedures in their respective department 355 356 adopt such additional rules or regulations Department Work rules as required by 357 law and/or necessary for the operations of the Department subject to approval of 358 the Human Resources Director 359 360 (3) initiate and process personnel transactions affecting their employees using forms 361 provided by the Human Resources Director 362 363 (4) maintain an employee service record for each employee 364 365 (5)notify the Human Resources Director of all changes in permanent personnel 366 records including change of address, insurance coverage and other relevant 367 information 368 369 keep employees informed of current personnel policies (6) 370 371 conduct second step grievance procedures hearings as may be necessary under 372 Section 18.805, and adjust such grievances as may be appropriate 373 374 (8) appoint and remove employees to positions subject to Section 18.605 18.304 and 375 48-1108 18.806, and consistent with applicable State Statutes and inform 376 governing committee of said appointments 377 378 (9) in collaboration with the Human Resources Director, develop employee 379 orientation and in-service training programs 380 381 (10)administer discipline and delegate such authority to supervisory personnel as 382 appropriate subject to Section 18,1108-18,806. 383

384 385		
		(11) conduct performance reviews of all immediate subordinate employees on no less
386		frequent than an annual basis
387		(12) obtain prior approval of the County Administrator when taking vacation days,
388 389 390		or when traveling out of the County on County business. (This provision does not apply to elected County Officials.) The memo making the request should include a designated department contact person, as well as a phone number
391		where the Department Head can be reached (if possible).
392 393		G. <u>Supervisory Personnel.</u> To the extent Department Heads delegate authority to them,
394		supervisors may shall;
395 396		(1) interview and recommend applicants for appointments to and removal from
397 398		subordinate positions
399	•	(2) implement the Personnel Ordinance, <u>HR Policies and Procedures and Department</u>
400 401		Work Rules in their unit
402		(3) conduct performance reviews of all immediate subordinate employees on no less
403 404		frequent than an annual basis
405		(4) administer discipline to employees as necessary
406 407		(5) conduct first stee
408		(5) conduct first step grievance hearings as may be necessary under Section 18.805 18.806, and adjust such grievances as may be appropriate.
409 410		
411		SECTION 4 2
412		CLASSIFICATION PLAN
413 414 415	18,201	Development and Administration.
416 417 418 419		The Human Resources Director shall be responsible for the overall development and administration of the Classification Plan, in cooperation with Department Heads, key staff employees and other appropriate resources. The County Administrator position shall be an unclassified position.
420		The defending to a contract of the
421	18.202	Position Description.
422	18.202	
422 423 424 425	18.202	Each employee shall have an accurate position description that describes the knowledge, skills and abilities necessary to do the work of that position; goals of the position and job tasks to accomplish the goals; and identifies the essential job functions.
422 423 424 425 426 427	18.202 18.203	Each employee shall have an accurate position description that describes the knowledge, skills and abilities necessary to do the work of that position; goals of the position and job tasks to
422 423 424 425 426		Each employee shall have an accurate position description that describes the knowledge, skills and abilities necessary to do the work of that position; goals of the position and job tasks to accomplish the goals; and identifies the essential job functions.  Allocation of New Positions.
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422 423 424 425 426 427 428 429 430 431 432 433 434 435 436 437 438 439 440 441 442 443 444 445 446 447	18.203 18.204	Each employee shall have an accurate position description that describes the knowledge, skills and abilities necessary to do the work of that position; goals of the position and job tasks to accomplish the goals; and identifies the essential job functions.  Allocation of New Positions.  The Human Resources Director shall allocate new positions that have been approved by the County Board to one of the classifications in the Classification Plan. If a suitable class does not exist, the Human Resources Director shall establish a new classification. An appropriate pay range for the classification shall be assigned subject to the approval of the County Board Staff Committee, and confirmation of the County Board unless otherwise established through the budgetary process.  Abolition of Unnecessary Classifications.  When it is determined that a classification or classifications are no longer useful or appropriate, the Human Resources Director shall inform the County Board Staff Committee that such classes have been abolished.  Reclassification requests shall normally be contained within the annual budget. In such situations, prior to approval of the budget, the Human Resources Department shall audit the position and make a written recommendation to the County Administrator who shall then recommend approval or denial of reclassification requests. If a reclassification request is denied, the position shall not
422 423 424 425 426 427 428 429 430 431 432 433 434 435 436 437 438 439 440 441 442 443 444 445 446	18.203 18.204	Each employee shall have an accurate position description that describes the knowledge, skills and abilities necessary to do the work of that position; goals of the position and job tasks to accomplish the goals; and identifies the essential job functions.  Allocation of New Positions.  The Human Resources Director shall allocate new positions that have been approved by the County Board to one of the classifications in the Classification Plan. If a suitable class does not exist, the Human Resources Director shall establish a new classification. An appropriate pay range for the classification shall be assigned subject to the approval of the County Board Staff Committee, and confirmation of the County Board unless otherwise established through the budgetary process.  Abolition of Unnecessary Classifications.  When it is determined that a classification or classifications are no longer useful or appropriate, the Human Resources Director shall inform the County Board Staff Committee that such classes have been abolished.  Reclassification Requests.  Reclassification requests shall normally be contained within the annual budget. In such situations, prior to approval of the budget, the Human Resources Department shall audit the position and make a written recommendation to the County Administrator who shall then recommend approval

151		Director and Character Addition in the decision of the contraction of
451 452 453		Director and County Administrator and with the confirmation of the County Board Staff Committee. Reclassifications that arise through the grievance process shall be adjudicated pursuant to authority granted in Section 18.302(d) (5) and Section 18.302(d) (7).
454 455	18.206	Reallocation Requests.
456 457 458 459 460 461 462		Salary adjustments shall be part of the budget process. If salary reallocations are approved, they will become effective the first day of the fiscal year. Persons in positions reallocated shall normally be advanced to the step with the next highest dollar amount in the new pay range. With approval of the Human Resources Director and the County Administrator, an employee may be advanced a step over and above the next highest dollar amount.
463 464 465 466 467		When a position becomes vacant and it is determined by the Human Resources Director and the County Administrator that a reallocation of the position is necessary for recruitment purposes, such reallocation may occur outside the budget process upon the confirmation of the County Board Staff Committee and approval of the County Board.
468 469	18.207	Reorganization of Department.
470 471 472 473		Each time a department or division of a department is reorganized, class descriptions for all affected employees shall be submitted to the Human Resources Director for review and approval as part of such reorganization.
474 475	18.208	Request of Human Resources Director. Position Description Questionnaires.
476 477 478 479 480		The Human Resources Director may require departments or employees to submit Position Description Questionnaires when vacancies occur, any time there is reason to believe that there has been a significant change in the duties and responsibilities of one or more positions, or as part of a position audit conducted by the Human Resources Department.
481 482	18,209	Review of Classification Plan,
483 484 485 486 487	·	At least every three years, or as often as may be appropriate, the Human Resources Director shall review the Classification Plan to ensure that the plan accurately reflects existing position responsibilities and market conditions. The Human Resources Director shall take whatever action is appropriate to amend and update the Classification Plan, subject to the review of the County Board Staff Committee and approval of the County Board.
488 489	18.210	Underslotting.
490 491 492 493 494		As a vacancy occurs, the Department Head may recommend the position not be filled at the existing level. With the concurrence of the Human Resources Director and County Administrator, the position may be filled at a lower classification.
495 496	18.211	Upgrade.
497 498 499 500 501 502 503 504 505 506 507 508		An upgrade may occur after a re-evaluation of the duties required to perform a job task of a position indicatinge that a higher level of skills or duties are is required to perform that task the duties of the position. An upgrade may be to an existing classification or position or require the creation of the a new classification or position. When a position is upgraded, an open recruitment shall be conducted to fill the position. Hiring procedures for approved upgraded positions shall be subject to guidelines established by the Human Resources Director and will be consistent with merit selection hiring. Upgrades shall be part of the annual budget process. Prior to approval of the budget, the Human Resources Department shall audit the position and make a written recommendation to the County Administrator who shall then recommend approval or denial of upgrade request. If an upgrade request is denied, the position shall not be reconsidered for upgrade until the next budget cycle.
509 510		SECTION 6 3
511		RECRUITMENT AND SELECTION
512	10.001	
513 514 515 516 517	18,301	Recruitment.  The Human Resources Director shall develop and conduct an active recruitment program designed to meet current and projected County manpower needs.

518 Recruitment shall be tailored to the position to be filled and shall be directed to sources likely to 519 yield qualified candidates. 520 521 (a) Job Announcements and Publicity. 522 523 The Human Resources Director shall issue job announcements and otherwise publicize 524 vacancies as may be appropriate. Job vacancies shall be formally announced at least for a 525 minimum of five working days prior to the closing date for filing applications. Depending 526 upon the vacancy and the scope of the recruitment process, this period may be longer. The 527 Human Resources Director may also initiate continuous recruitment programs for any 528 class of positions. (See HR Policies and Procedures.) 529 530 (b) Application Form. 531 532 All applications for employment shall be made on forms prescribed by the Human 533 Resources Director. The Human Resources Director may require proof of application 534 statements. 535 536 (c) Rejection of Applications. 537 538 The Human Resources Director may reject any application if the applicant: 539 540 **(1)** does not meet the minimum qualifications established for the position 541 542 (2)provides any false or misleading information in the application process 543 544 (3) is physically, mentally or otherwise unable to perform the duties of the position. 545 as permitted under applicable state and federal laws 546 547 (4) has been convicted of a crime, which renders him/her unsuitable for the position, 548 as permitted under applicable state and federal laws 549 550 (5)is not within the legal age limits prescribed for the position or for County 551 employment 552 553 (6)has established an unsatisfactory employment record, which demonstrates 554 unsuitability for the position 555 556 is a member of an organization, which advocates the violent overthrow of the (7)557 government of the United States 558 559 (8) based on job related factors, is found by the Human Resources Director to be 560 clearly unsuitable for the position for which he/she has applied 561 562 Whenever an application is rejected, notice of such rejection shall be promptly made to (d) 563 the applicant. 564 565 (e) The Human Resources Director may select only the best-qualified applicants for 566 screening and final consideration. 567 568 (f) Where written exams are used as part of the recruitment process, applicants will not be 569 eligible to re-take the exam until a period of six months has lapsed. 570 571 18.302 Relocation Expense. 572 573 An employee, newly hired to fill an FLSA exempt position, who resides outside of reasonable 574 commuting distance (i.e. a distance greater than 40 miles) wishing to relocate his or her domicile 575 to Rock County may be eligible for a contribution toward moving expenses, if it is determined, 576 upon recommendation of the County Administrator and approval of the County Board Staff 577 Committee, to be in the best interest of Rock County to offer such contribution. An employee 578 receiving a contribution toward moving expenses shall remain a resident and employee of Rock 579 County for not less than three (3) years. Failure to meet this requirement will result in the 580 repayment of said moving expense on a pro-rata basis. 581 582 18.303 Selection. 583 584 The selection process shall maximize reliability, objectivity, and validity through a practical and 585 iob-related assessment of applicant attributes necessary for successful job performance and career

586 potential. The selection process shall also be balanced to provide promotional opportunities as 587 well as open competitive opportunities at all levels of County employment. 588 589 (a) Selection Devices. 590 591 The Human Resources Director shall be responsible for determining when formal 592 selection devices are to be used to screen applicants for job vacancies which may include, 593 but need not be limited to a review of training and experience, work sample and performance tests, practical written tests, physical fitness examinations, and background 594 595 and reference inquiries. In the development of selection devices, the Human Resources 596 Director shall confer with Department Heads, consultants, or others familiar with the 597 knowledge, skills and abilities required and specific devices to best measure these factors. 598 599 (b) Confidentiality. 600 601 Formal selection materials shall be known only to the Human Resources Director and to 602 other individuals designated by the Human Resources Director. Every precaution shall be 603 exercised by all persons participating in the development and maintenance of materials to 604 ensure the highest level of integrity and confidentiality. 605 606 18.304 Eligibility Lists. 607 608 The Human Resources Director shall be responsible for establishing and maintaining eligibility 609 lists as may be necessary or desirable. 610 611 Layoff List for unilateral employees, (a) 612 613 An employee laid-off or demoted in lieu of layoff may be considered for re-employment 614 when a vacancy occurs for which he/she is qualified. Human Resources shall notify said 615 employee of any vacancy arising in the same job from which the employee was laid-off. 616 Said employee shall make application for the vacant position. Once application is made, 617 the laid-off employee shall participate in a competitive hiring process and, if most 618 qualified, shall be required to accept an offer of employment for the position within 10 619 days of said offer. Failure to make application or accept an offer of employment for the 620 position from which the employee was laid-off shall result in the forfeiture of notification 621 rights for future openings. 622 623 Open Competitive and Promotional Eligibility. (b) 624 625 The Human Resources Director shall may establish and maintain such open competitive 626 and promotional eligibility lists of applicants who have qualified for a particular job or 627 class of County positions. 628 629 630 Duration of Eligibility Lists. (c) 631 632 The duration of eligibility lists shall be not less than one year, unless exhausted, or as 633 provided for in a Department's Work Rules. 634 635 636 (d) Removal of Candidates from Eligibility Lists. 637 638 The Human Resources Director may remove candidates from an eligibility list if the 639 candidate: 640 641 (1) receives a regular appointment to a position in the same class or another class 642 having the same or higher pay grade 643 644 (2) files a written statement indicating unwillingness to accept appointment 645 646 declines two an offers-of employment under such conditions previously indicated (3) 647 by the candidate as acceptable 648 649 (4)fails to respond within a specified time period to any official written inquiry 650 regarding relative availability 651 652 fails to report for an interview or for duty at the time specified by the Human (5) 653 Resources Director or appointing authority

654 655 (6) is disqualified for employment under County policies or state law 656 657 factors covered under Section 18.602(e) 18.301. (7) 658 659 (e) The Human Resources Director shall notify each candidate in writing of his/her removal 660 from an eligibility list. The candidate may appeal his/her removal from an eligibility list 661 and, at the discretion of the Human Resources Director, the candidate may be reinstated. 662 663 18.305 Certification and Appointment. 664 665 Whenever a vacancy in County employment is to be filled, the appointing authority shall submit a 666 request to the Human Resources Director to provide names of eligible candidates. 667 668 Appointment of Eligible Candidates. 669 670 The appointing authority shall make an appointment from among the names submitted by the 671 Human Resources Director. The appointing authority shall justify to the Human Resources 672 Director each candidate's unsuitability if they are bypassed on the list. Such justification must be 673 acceptable to the Human Resources Director, 674 675 The date upon which a new employee commences employment shall be jointly determined by the 676 Human Resources Director and Department Head. 677 678 18.306 Probationary Period. 679 680 Except for Department Heads and the County Administrator, original appointments to 681 regular positions shall be made with a Probationary Period of six calendar months, except 682 when by the nature of the work an employee cannot be evaluated effectively in six 683 months, the Probationary Period may be for a period of one year. 684 The length of the Probationary Period shall be specified in the written offer of 685 686 employment, which will be written by the Human Resources Department. 687 688 **(1)** Regular status begins on the first workday following completion of the 689 Probationary Period. 690 691 The Probationary Period may be extended for a period of time not to exceed three (2) 692 (3) six (6) months, with prior approval of the Human Resources Director. This 693 request must be made in writing citing the reason for the request. 694 695 (3)An employee shall automatically be appointed at the end of the prescribed 696 Probationary Period, unless the appointing authority, with approval of the Human 697 Resources Director, notifies the probationary employee of the extension, or the 698 unsuccessful completion of the Probationary Period at which time the employee 699 shall have their Probationary Period extended or be dismissed. 700 701 (4) Dismissal of an employee during the initial Probationary Period shall be at the 702 sole discretion of the employer and without recourse to the grievance procedures 703 herein provided. 704 705 706 (5) An employee appointed to a position in an acting capacity by the County 707 Administrator and subsequently selected as the regular employee in that position 708 shall have his/her total time of continuous employment, including the time spent 709 in an interim capacity, counted for seniority purposes, but shall serve at least a 710 six-month Probationary Period after regular appointment, except as noted under 711 Section 18.605(b) 18.304, 712 713 Probationary employees will be permitted to apply for other positions after six (6) 714 months, 715 716 (7) Completion of the Probationary period does not guarantee continued employment 717 for any specified period of time, nor does it modify or change the employee's at-718 will status,

719	18.307	Part-time and Seasonal Employment.
720 721 722 723 724		When possible, employment shall be on a full-time year round basis. However, when it is determined to be in the best interest of the County, part-time and seasonal employees may be hired.
725 726 727 728		Compensation for seasonal employees shall be pursuant to the schedule for such purposes, established and maintained by the Human Resources Director and approved by the County Administrator.—Seasonal employees are not eligible to receive fringe benefits.—Seasonal employees shall not work more than six months in a calendar year.
729 730	18.308	Temporary Appointments.
731 732 733 734 735 736 737		Temporary appointments shall may be made from appropriate eligibility lists. If no eligibility list is available or if the eligible candidates are not available for temporary work, the Human Resources Director may authorize the appointment of a qualified individual. The acceptance or refusal by an eligible candidate of a temporary appointment shall not affect the candidate's standing on the eligibility list for regular appointment.
738	18.309	Overlap of Positions for Training
739 740 741 742 743 744		Any request for hiring in excess of the budgeted personnel roster must be approved by the County Board. This would include cases where the Department Head requests an overlap of personnel for more than one payroll period in order to train the new employee. The request should be approved by the governing committee and County board Staff Committee prior to submission to the Board.
745	18.310	Other Appointments May Follow Ordinance.
746 747 748 749 750		Nothing herein shall preclude an appointing authority from filling those positions not covered by this Ordinance in a manner consistent with it.
751		SECTION 5-4
752		SALARY ADMINISTRATION
752 753 754	18.401	SALARY ADMINISTRATION Pay Plan.
753 754 755 756 757 758	18.401	
753 754 755 756 757 758 759 760 761	18.401	Pay Plan.  The Pay Plan shall include the schedules of pay ranges for all unilaterals and all employees covered by a collective bargaining agreement that has limited bargaining rights under Wisconsin
753 754 755 756 757 758 759 760 761 762 763 764	18.401	Pay Plan.  The Pay Plan shall include the schedules of pay ranges for all unilaterals and all employees covered by a collective bargaining agreement that has limited bargaining rights under Wisconsin Statutes Section 111.70.  Schedules shall consisting of minimum and maximum rates of pay and the intermediate pay steps.
753 754 755 756 757 758 759 760 761 762 763 764 765 766	18.401	Pay Plan.  The Pay Plan shall include the schedules of pay ranges for all unilaterals and all employees covered by a collective bargaining agreement that has limited bargaining rights under Wisconsin Statutes Section 111.70.  Schedules shall consisting of minimum and maximum rates of pay and the intermediate pay steps. for all classes of positions in the classified service. The objectives of the Pay Plan shall be:  (a) To provide an appropriate salary structure, to recruit and retain an adequate number of
753 754 755 756 757 758 759 760 761 762 763 764 765 766 767 768 769	18.401	Pay Plan.  The Pay Plan shall include the schedules of pay ranges for all unilaterals and all employees covered by a collective bargaining agreement that has limited bargaining rights under Wisconsin Statutes Section 111.70.  Schedules shall consisting of minimum and maximum rates of pay and the intermediate pay steps. for all classes of positions in the classified service. The objectives of the Pay Plan shall be:  (a) To provide an appropriate salary structure, to recruit and retain an adequate number of competent employees; and,
753 754 755 756 757 758 759 760 761 762 763 764 765 766 767 768 769 770 771		Pay Plan.  The Pay Plan shall include the schedules of pay ranges for all unilaterals and all employees covered by a collective bargaining agreement that has limited bargaining rights under Wisconsin Statutes Section 111.70.  Schedules shall consisting of minimum and maximum rates of pay and the intermediate pay steps. for all classes of positions in the classified service. The objectives of the Pay Plan shall be:  (a) To provide an appropriate salary structure, to recruit and retain an adequate number of competent employees; and,  (b) To provide appropriate pay incentives for satisfactory or outstanding job performance.  —The County Administrator position shall not be included in the Pay Plan. Its
753 754 755 756 757 758 759 760 761 762 763 764 765 766 767 768 769 770 771 772 773 774		Pay Plan shall include the schedules of pay ranges for all unilaterals and all employees covered by a collective bargaining agreement that has limited bargaining rights under Wisconsin Statutes Section 111.70.  Schedules shall consisting of minimum and maximum rates of pay and the intermediate pay steps. for all classes of positions in the classified service. The objectives of the Pay Plan shall be:  (a) To provide an appropriate salary structure, to recruit and retain an adequate number of competent employees; and,  (b) To provide appropriate pay incentives for satisfactory or outstanding job performance.  The County Administrator position shall not be included in the Pay Plan. Its compensation shall be separately established by the County Board.  The pay plan schedules described above shall be contained in the County's Administrative Policy
753 754 755 756 757 758 759 760 761 762 763 764 765 766 767 768 769 770 771 772 773	(e)	Pay Plan shall include the schedules of pay ranges for all unilaterals and all employees covered by a collective bargaining agreement that has limited bargaining rights under Wisconsin Statutes Section 111.70.  Schedules shall consisting of minimum and maximum rates of pay and the intermediate pay steps. for all classes of positions in the classified service. The objectives of the Pay Plan shall be:  (a) To provide an appropriate salary structure, to recruit and retain an adequate number of competent employees; and,  (b) To provide appropriate pay incentives for satisfactory or outstanding job performance.  The County Administrator position shall not be included in the Pay Plan. Its compensation shall be separately established by the County Board.  The pay plan schedules described above shall be contained in the County's Administrative Policy and Procedures Manual.

785		The Pay Plan shall be directly linked to the Classification Plan and shall be based on the principle
786		of equal pay for equal work. Pay ranges within the Pay Plan shall be determined with regard to
787		such factors as: uniformity of pay for each class, relative difficulty, complexity, and
788		responsibility of work, recruiting experience, prevailing rates of pay for similar jobs in public and
789		private service, changes in cost of living indices, and the financial policies of the County.
790 791	19.404	T. ( D. D. )
792	18,404	Entrance Pay Rate.
793		The entrance pay rate for new County employees shall normally be the minimum rate of the pay
794		range prescribed for the class. A Department Head may recommend that a particular appointment
795		be made above the entrance pay rate; however, appointments may not ordinarily be made above
796		the mid-point of the range. Such requests must be made in writing, approved in advance by the
797		Human Resources Director in recognition of relevant experience and for exceptional
798		qualifications.
799		
800		In unusual circumstances, and upon agreement of the Department Head and the Human Resources
801 802		Director, appointment above the mid-point of the range may be made with the approval of the
803	7	County Administrator. Such appointments shall be reported to the County Board Staff
804		Committee;
805		Elected Department Heads that wish to appeal the decision for placement of a new County
806		employee made by the Human Resources Director and/or County Administrator may do so in
807		writing to the County Board Staff Committee, whose decision shall be final.
808		
809	18.405	In-Range Increment.
810		
811 812		In-range increments shall be based on satisfactory work performance and length of service in a
813		class. Such increments shall not be granted automatically. Whenever an employee is promoted, their annual pay increments (step increase) shall be based on the length of service in that range or
814		class. The employee shall have an overall performance evaluation of "satisfactory" or "meets
815		expectations" or higher in order for an in-range increment to be granted. The performance of the
816		employee will be evaluated in accordance with procedures outlined in Section 7 of this Ordinance.
817		1
818	18.406	Productivity/Incentive Awards.
819		
820 821		Extraordinary productivity/incentive awards may be granted in recognition of exceptional
822		performance in addition to an employee's regular pay. Recommendations for such pay shall be initiated by the employee's supervisor and/or Department Head, reviewed and approved by the
823		Human Resources Director, County Administrator, appropriate Governing Committee and the
824		County Board Staff Committee. Specific guidelines for the administration of the
825		Productivity/Incentive Awards Program shall be the responsibility of the Human Resources
826		Director to establish and maintain subject to approval by the County Administrator and County
827		Board Staff Committee. Such requests shall be in writing and supported by evidence of the
828		following:
829 830		(a) The employee has represently considered and account 1
831		(a) The employee has personally conceived and suggested a procedure or device which has resulted in substantially greater operating efficiency or in a marked decrease in operating
832		expenses; or,
833		
834		(b) The employee has performed extensive collateral duties or has continually completed
835		difficult work assignments, which significantly increased the efficiency and effectiveness
836		of his/her department's program or the County service.
837	10 407	Garaged Davidson and
838 839	18.407	Seasonal Employment.
840		Seasonal employees shall be compensated on an hourly basis at a rate established within the
841		parameters of the annual budget as determined annually by the Human Resources Director.
842		. Some services of the Handa Koodi con Director.
843		
844	18.408	Temporary employment
845		70
846 847		Temporary employees shall be compensated by placing them on a step in the appropriate salary
848		schedule.
849		
850	18.409	Pay Rate Adjustments.
851		
852		The following actions shall affect the pay status of an employee:

853 854 (a) Transfer, 855 856 When an employee is transferred from one class to another with a common pay range, 857 he/she shall continue to receive the same pay rate. 858 859 860 (b) Promotion. 861 862 When an employee is promoted from one class to another having a higher pay range, 863 he/she shall normally advance to the pay step in the new range which is immediately 864 above his/her former rate of pay. In unusual circumstances, the promoted individual may 865 be placed in a higher step upon approval of the Human Resources Director and the 866 County Administrator, 867 868 (c) Demotion. 869 870 When an employee is demoted for any reason, the Human Resources Director shall 871 consult with the supervisor(s) involved to decide the pay for the re-assignment. In no 872 case will it exceed the maximum of the pay range of the job to which the employee is 873 demoted. 874 875 (d) Reinstatement. 876 877 When an employee is reinstated to his/her former job he/she shall normally be paid the 878 same pay step as before leaving. When the employee is reinstated to a job with a lower 879 pay range, the Human Resources Director shall decide on the new pay rate in accordance 880 with the employee's experience and qualifications. In no case, will it exceed the 881 maximum of the pay range to which the employee is assigned. 882 883 Compensation During Temporary Assignment. (e) 884 885 In a situation where an employee is assigned the duties of a higher classification 886 anticipated to be for a period in excess of 20 ten (10) consecutive working days, the 887 employee will be assigned a temporary pay rate in the range of the higher classified 888 position. Such pay will be for the period of the temporary assignment. Temporary 889 assignments must be approved by the Human Resources Director. An employee who is temporarily assigned to a position with a lower pay range, for any period, shall not 890 891 receive a reduction in pay. No such temporary assignment shall exceed six months unless 892 approved by the County Administrator upon recommendation of the Human Resources 893 Director. 894 895 18.410 Overtime 896 897 "Unilateral A" employees earn overtime at time and one half over 40 hours per week. 898 899 "Unilateral B" employees earn overtime at straight time over 40 hours per week. 900 901 "Unilateral C" employees, who are exempt under the federal Fair Labor Standards Act (FLSA), 902 do not earn overtime. 903 904 For additional policies and procedures regarding overtime for unilaterals and other employees 905 see the HR Policy and Procedure Manual. 906 907 908 -No-FLSA-exempt-employee, except as provided-for-in-paragraphs (b) and (c) below, shall 909 be eligible for overtime, unless provided by a personal contract. 910 Employees in the following classifications shall be eligible for overtime compensation on 911 a straight time basis over-ferty-hours per week in compensatory time or cash payment 912 upon-request-of-the employee and subject to budgetary and work scheduling limitations 913 and the approval of the Department Head: 914 915 Assistant to the Information-Technology Director 916 County Engineer 917 Communications Center Shift Supervisor 918 Computer Programmer/Analyst I 919 Computer Programmer/Analyst II 920 Construction Superintendent

004	
921	Detention Center Supervisor
922	Developmental Disabilities Office Manager
923	GEO Application Specialist
924	Highway Superintendent
925	Housing Specialist
926	Instructor/Support Specialist
927	
· ·	Materials & Environmental Services Manager
928	Network Support Administrator
929	Office Manager
930	Payroll-Coordinator/Manager
931	Planner I
932	Planner II
933	
	Planner-III
934	Public Health Nursing Supervisor
935	Public Safety Systems Manager
936	Public Works Accounting Supervisor
937	Sanitarian I
938	Sanitarian II
939	
	Senior Buyer
940	Senior Conservation Specialist
941	Senior Planner
942	Senior Planner/GIS Manager
943	Shop-Superintendent
944	Support Services Supervisor
945	
	Telecommunication Specialist
946	User Support Specialist
947	<del>Victim/Witness-Coordinator</del>
948	<del>Victim/Witness Specialist</del>
949	
950	(c) Employees in the following job classifications and all-other-employees not covered by
951	Section 18.509 (a) or (b) are eligible for overtime compensation on a time and one half
952	bester 1939 (a) a (b) are engine to overtime compensation of a time time one time
	basis-over forty hours per week, unless-otherwise-specified in a contract, in compensatory
953	time off or in cash payment upon the request of the employee and subject to appropriate
954	federal-laws, budgetary and work scheduling-limitations and approval of the Department
955	Head.
956	
957	Assistant Food Service Manager
958	Food Services Manager
	· · · · · · · · · · · · · · · · · · ·
959	Hend Name
960	Alursing Supervisor
961	
962	(d) — Unilateral Highway Department employees shall-receive time and one-half-compensation
963	as above when operating snow removal equipment outside his or her normal work
964	schedule.
	schedule:
965	
966	(e) The accumulation of compensatory time off shall not exceed eighty hours. No more than
967	twenty (20)-hours shall-be carried over into the next calendar year.
968	· ·
969	(t) Overtime shall be approved in advance by a Department Head or supervisor and reviewed
970	periodically by the Human Resources Director. Overtime shall be kept to a minimum and
971	
	shall be utilized to relieve specific occasional peak workloads or emergencies.
972	
973	(g) Overtime shall be scheduled as fairly and equally as practicable among employees based
974	on their qualifications to perform the job.
975	• •
976	(h) Employees in the classification of Social-Work Supervisor I, Juvenile-Probation
977	
	Supervisor and Juvenile Detention Superintendent, Community Support Supervisor and
978	Grisis-Intervention Supervisor assigned after hours on call responsibility for Child
979	Protective Services or Mental Health Services oversight shall receive one hour of pay or
980	compensatory time for each weekday and two hours for each 24-hour period for weekend
981	coverage. For purposes of this section, "weekend-coverage" shall include Friday,
982	Saturday, and/or Sunday.
983	
	(2) The Communication Could Depart of March 1 of T. C. 12 mg 1
984	(i) The Communication Center Operations Manager and the Information Technology
985	employee-who is on eall for the Communication Center shall receive four hours of pay for
986	each week of on-call coverage.
987	

988 989 990 991 992 993		(j) Employees in the classification of Hend Nurse and Nursing Supervisor shall be eligible for overtime compensation on a time and one half basis over eight (8) hours per day, forty (40) hours per week in compensatory time off, or in each payment upon the request of the employee and subject to appropriate federal laws, budgetary and work scheduling limitations and approval of the Department Head.
994 995 996 997 998		(k) Employees in the classification of Head Nurse and Nursing Supervisor shall receive shift differential equal to the amount received by Registered Nurses in accordance with the provisions as outlined in the labor agreement. —
999 1000	18,411	Red-Circled Classifications.
1001 1002 1003 1004 1005 1006 1007		Employees in classifications that are to be red-circled will be frozen at their current salary until the salary of the pay range to which they are assigned equals or exceeds their rate of pay. Employees with ten years of service, whose classification has been red-circled, shall receive one-half of the across-the-board increase granted to employees on the Unilateral Pay Plan until the salary of the pay range to which they are assigned equals or exceeds their rate of pay.
1008		SECTION 10 5
1009		FRINGE BENEFITS
1010 1011 1012	18.501	Holidays,
1013 1014 1015 1016 1017		The following holidays are observed by the County and shall be granted to regular employees with pay and to temporary employees without pay, unless such employees are required to be on scheduled work:
1018 1019 1020 1021 1022 1023 1024 1025 1026		<ul> <li>(a) New Year's Day</li> <li>(b) Spring Holiday to be observed the Friday immediately preceding Easter</li> <li>(c) Memorial Day</li> <li>(d) July 4th</li> <li>(e) Labor Day</li> <li>(f) Thanksgiving Day</li> <li>(g) Friday following Thanksgiving</li> <li>(h) Day before Christmas</li> <li>(i) Christmas Day</li> </ul>
1027 1028		(j) One Floating Holiday of the employees' choice.
1029 1030 1031 1032		<ul> <li>(k) Any additional holiday granted by the County Board.</li> <li>(l) The County Administrator may designate additional holidays in unusual circumstances with the approval of the County Board Chair and/or Vice Chair.</li> </ul>
1033 1034 1035 1036		<u>For employees working the standard work schedule</u> , when a holiday falls on Saturday, it shall be observed on the preceding Friday. When a holiday falls on a Sunday, the following Monday shall be observed.
1037 1038		For employees not-working the standard work schedule see the HR Policies and Procedures.
1039 1040 1041 1042 1043		Employees normally granted time and one-half, the Director of Nurses, the Assistant Director of Nurses Head Nurses, and Nursing Supervisors working in the Nursing Home Rock Haven, who are required to work a holiday, shall will be paid or granted compensatory time off at a rate of time and one-half.
1044 1045		Unilateral C Employees (FLSA exempt) who work on a holiday shall receive a day in lieu thereof.
1046 1047 1048		Whenever a designated holiday falls on an employee's scheduled day off, an additional day shall be granted in lieu thereof.
1049 1050 1051 1052		Regular part-time employees who normally work sixteen or more hours per week shall be paid for holidays which fall on days for which they would otherwise be scheduled to work, according to the number of hours for which they would be scheduled to work on that day.
1053 1054		When a holiday falls within a period of leave with pay, the employee shall receive pay for the holiday.

1055		
1055. 1056		When a holiday falls within a pay period of leave without pay, the employee shall receive no pay
1057		for the holiday.
1058 1059		In order to receive holiday pay, employees must normally be scheduled to work for not less than 4
1060 1061		hours the regular workday before and not less than 4 hours the regular workday after the holiday, unless on authorized paid time off (sick leavé, vacation) or on paid FMLA.
1062 1063 1064		Floating holidays must be taken in whole day increments (pro-rated for part-time employees).
1065 1066 1067		The floating holiday shall accrue to the employee effective any work shift starting on or after 4 a.m. of January 1 <sup>st</sup> of each year. The floating holiday may be taken upon at least one-hour notice prior to the beginning of the shift.
1068 1069 1070	18.502	Health and Dental Insurance.
1071 1072 1073	A.	The County shall pay that portion of the employee's <u>health</u> insurance as is approved by the County Board.
1074 1075 1076 1077		Employees on official leaves of absence without pay may elect to continue insurance coverage during the period they are on leave. In these cases, the employee will be responsible for reimbursing the County for the full amount of the premium.
1078 1079 1080 1081	В.	For part time unilateral employees who are in a .5 or higher FTE position and hired after September 1, 2009 the employee shall contribute toward health coverage pro-rated to the FTE of the position they hold. [CB resolution – September 2009.]
1082 1083 1084 1085 1086	C.	Part-time employees who are normally scheduled to work less than twenty hours per week are not eligible for County health and dental benefits. Employees who normally work twenty hours or more per week are eligible to receive dental insurance and health benefits. Other fringe benefits shall be available on a pro-rata basis. Part-time employees may participate in vision insurance at their own cost provided it is allowable under the plan rules in effect at the time of participation.
1087 1088 1089 1090	D.	Employees retiring from the County who are eligible for a WRS annuity may retain their insurance coverage under the County's group policy if they pay the premium.
1091 1092 1093 1094	E.	Dental coverage will be provided consistent with coverage and co-payments as set by the County Board. Eligibility for coverage shall be governed by the policy issued by the carrier/administrator. The employer shall pay 60% of applicable premium of the lowest cost available plan and the employee shall pay the remainder of the applicable premium.
1095 1096 1097	18,503	Life Insurance.
1098 1099 1100 1101 1102		Regular full-time employees are eligible for group life insurance in an amount equal to the next highest thousand dollars of their annual salary. Unless they specifically waive such coverage, a portion of the premium shall be deducted monthly from their regular salary as approved by the County Board. Regular part-time employees are also eligible if they work more than six hundred hours in a year enough hours in a year to qualify for Wisconsin Retirement System coverage.
1103 1104 1105	18.504	Retirement.
1106 1107 1108	•	Retirement benefits are administered by the State of Wisconsin Retirement System (WRS). The benefits are governed by applicable State statutes and regulations.
1109 1110	18.505	Unemployment Compensation.
1111 1112		County employment is covered by Wisconsin Unemployment Compensation laws.
1113 1114	18.506	Vacation.
1115 1116 1117 1118 1119 1120		(a) <u>Unilateral</u> employees hired prior to January 1, 2008, shall earn ten days paid vacation after one year of continuous service. Thereafter, he/she shall earn one additional day per year for each year of continuous employment to a maximum of twenty-two days. Employees shall continue to earn vacation until the employee's length of service would provide additional vacation under paragraph (b) below, at which time they shall be placed on that schedule.

(b) <u>Unilateral</u> Employees hired after January 1, 2008 2012, shall earn vacation according to the following schedule:

Years of Over Service Unilate	ral A & B Un	vertime ilateral C
1 year 10 2 Years 10 3 Years 10 4 Years 10 5 Years 11 6 Years 12 7 Years 13 8 Years 14 9 Years 15 10 Years 16 11 Years 17 12 Years 18 13 Years 19 14 Years 20 15 Years 21 16 Years 22 17 Years 23 18 Years 24	Days Days Days Days Days Days Days Days	.5 Days 5 Days 5 Days 5 Days 5 Days 9 Days " " " " " " " " " " " " " " " " " " "

Unilateral A & B Employees may use up to 5 of their 10 days after they have been with the County for six months. Unilateral C employees may use 7.5 of their 15 days after they have been with the County for six months. Any time used between 6 months and one year, will result in a reduction of the days available after one year. (Example: a Unilateral B employee uses 2 days after six months but prior to his one year anniversary date, that person would have 8 days to use after completing one year of service, 10-2=8.)

- (c) Vacation schedules for those employees covered by a bargaining agreement that has limited bargaining rights as of January 1, 2012 are contained in the HR Policies and Procedures manual.
- (d) Credit for years of service may be awarded to an employee based on years of prior related experience plus years of service with the County. Prior related experience shall be determined by the Human Resources Director and the Corporation Counsel, and will only be awarded for service in jobs that are substantially related to the work performed for the County.
- (e) An employee shall take earned vacation time within the twelve-month period immediately following eligibility. Barned vacation time not taken within the designated twelve month period shall be forfeited, unless the Department Head and Human Resources Director specifically approves the carry-over of an employee's vacation, in writing, due to an inability of the employee to utilize the time requested to be carried over because of work requirements or other legitimate reasons; or paid out according to HR Policy and Procedures. Deferral or carry-over of vacation shall be requested by the employee in writing prior to his or her anniversary date, or within ten weeks of his or her anniversary date, and shall state with specificity the reason for the request. Failure to make a timely request shall result in the vacation being forfeited.
- (f) The amount of vacation days deferred shall not exceed the number of vacation days that the employee earns on that anniversary date. Vacation may be granted in advance only upon the approval of the Department Head and the Human Resources Director.

|129 |130 |131 |132

|137 |138 |139 |140

162 Prior to April 1 of each-year, Department Heads shall establish work and vacation schedules 163 with the first consideration to be given to the efficient operation of the department. Senior 164 employees in terms of length of service shall be given vacation schedule preferences when 1165 practicable. Deferral of vacation for the County Administrator shall be at the discretion of the 166 County Board Staff Committee. 167 168 (g) Part-time employees whose regular workweek is sixteen hours or more shall earn vacation 169 time on a pro-rata basis directly proportionate to the amount of time worked in relation to the 170 normal full-time employment period. Part-time employees whose regular workweek is less 1171 than sixteen hours shall not earn vacation credits. 172 173 (h) In the event an employee is on authorized sick leave and has insufficient sick leave credits to 174 cover the period of absence, earned vacation time may be used for this purpose if the 175 employee or employer so elects. 1176 Upon separation, an employee shall be paid for the unused portion of his/her accrued 1177 vacation credits provided the employee has completed six consecutive months of service, 178 1179 except as modified by the rules governing resignation without sufficient notice. 1180 1181 (i) An employee who moves from one position to another in the County service, by transfer. 1182 promotion or re-assignment, shall be credited with his/her accumulated vacation leave in 1183 the new position. 1184 185 An employee, whose appointment status is changed from temporary to regular status (k) 1186 without a break in service, shall receive vacation credits from the date of his/her original 1187 appointment to temporary status. 1188 1189 (l) No credit for vacation leave shall be granted for time worked by an employee in excess of 1190 his/her normal workweek, 1191 1192 Vacation credits shall not be earned by an employee during a leave of absence without (m) pay, a suspension without pay, or when the employee is otherwise in a non-compensable 1193 11194 status, should such period without pay exceed ten thirty working days in any calendar 1195 year, 1196 1197 There shall be charged against accrued vacation only those days on which an employee (n) 1198 normally would have worked. In the event a legal holiday falls within the vacation 1199 period, the holiday shall not be charged against vacation. 1200 1201 (o) Use of vacation time must be approved in advance by the Department Head or his or her 1202 designee. Use of vacation by appointed Department Heads must be approved in advance 1203 by the County Administrator. 1204 1205 All vacation shall be utilized in not less than one-hour increments. 1206 1207 1208 18.507 Workers Comp 1209 1210 Worker compensation benefits will be provided in accordance with applicable statutory provisions h211 and administrative codes. 1212 1213 Rock County strives to insure all work assignments are performed safely and work areas are 1214 maintained in a safe manner. The County promotes a light-duty program for injured employees 1215 on worker compensation. All on-the-job accidents must be reported to the Human Resources 1216 Director or his/her designee immediately and proper forms must be completed in full. 1217 1218 Any employee, who is receiving worker's compensation, may at the employee's option, take 1219 sufficient sick leave or vacation to make up the difference between the worker's compensation 1220 payment and his/ her regular wage. When the employee's sick leave and/or vacation account is 1221 exhausted, he/she shall receive worker's compensation payments only. If an employee is on 1222 worker's compensation for a period of twelve (12) months, that employee shall have his/her earned 1223 vacation paid out, unless the employee asks for deferral of vacation payout in writing. 1224 1225 Workers compensation supplemental benefits will be provided in accordance with HR Policy and 1226 Procedures. 1227 1228 18.508 Leave Of Absence Policy. 1229

1230 Policy. 1231 1232 The County Administrator or the Department Head upon the recommendation of 1233 after consulting with the Human Resources Director, may grant a regular employee 1234 leave without pay for a period from one month up to one year except for an 1235 educational leave, subject to the following conditions: 1236 1237 (1)Leave without pay shall may be granted only when it is in the best interest of the 1238 County to do so. The interests of the employee shall be considered when the 1239 employee has shown by his/her record to be of more than average value to the 1240 County and when it is desirable to return the employee to County service even at 1241 some-sacrifice: Requests for leave of absence shall be approved prior to the 1242 taking of such leave. When such leave is requested as an extension of sick leave, 1243 an acceptable physician's certificate shall be required. 244 245 (2)At the expiration of a leave without pay, the employee shall be reinstated to the 246 position he/she vacated or to an equivalent position which is vacant at the time, 1247 provided the employee meets the stated qualifications. If there is not a suitable 1248 vacancy available, the employee's name shall be placed on an appropriate 1249 reinstatement list. 1250 1251 (3) Credit toward vacation and sick leave shall not be earned after 30 days while an 1252 employee is on leave without pay. but Insurance benefits may be retained if they 1253 are paid in full by the employee according to HR Policy and Procedure. 1254 1255 Leave without pay shall not constitute a break in service; however, if the (4) 1256 employee is absent more than thirty days during a calendar year, it shall change 1257 the employee's anniversary date. 1258 1259 When a leave of more than one calendar month is taken, the employee's 1260 anniversary date shall be moved ahead by the same number of days that the leave 1261 exceeds thirty days 1262 1263 (5) A return to work earlier than the scheduled termination of leave date may be 1264 arranged by the supervisor and the employee, with the approval of the Human 1265 Resources Director 1266 1267 (6) Employees on leave of absence from the County may not be employed 1268 fulltime elsewhere. Employees holding employment elsewhere 1269 during a leave of absence shall be deemed to have voluntarily resigned 1270 from employment with Rock County. 1271 1272 (7) If an employee is unable to return to work on the date stipulated, he/she may 1273 submit a written request to extend the leave of absence, subject to the approval of 1274 the County Administrator or Department Head and the HR Director. If, on the 1275 date following the expiration of the leave of absence, an extension is not 1276 requested and granted and the employee has not returned to his/her position, the 1277 employee shall be considered to have voluntarily resigned from County 1278 employment 1279 1280 <del>(b)</del> Short-Term Leave. 1281 1282 Leaves of absence without pay for periods-of-less than one calendar month 1283 may be approved by the appropriate Department-Head. 1284 1285 (8)Unauthorized Absence. It is recognized that there may be extenuating 1286 circumstances for unauthorized absence, and due consideration shall be given 1287 each case. However, an employee who is absent from duty without approval 1288 shall receive no pay for the duration of the absence, and shall be subject to 1289 disciplinary action, which may include dismissal. 1290 1291 1292 18.509 Funeral Bereavement Leave. 293 1294 In the event of a death in an employee's immediate family, he/she may be excused from 1295 work for up to three working days without loss of pay according to the following schedule to attend the funeral and or make necessary arrangements. The appointing authority may 1296

require an obituary to substantiate the leave.

1298 1299 1300 Three days for spouse, domestic partner as defined by the state of Wisconsin, child, parent, a. 1301 mother-in-law, father-in-law, brother, or sister. 1302 1303 ь. Two days for an employee's stepparent, stepchild, grandparents, or grandchildren. 304 305 One day for an employee's sister-in-law, brother-in-law, son-in-law, daughter-in-law, c. 306 aunt, uncle, niece or nephew. 307 308 309 If additional time is required, an employee may request to use accumulated vacation. 1310 holiday or comp-time. Sick leave cannot be used. 1311 1312 In the event that an employee is required to act as a pallbearer for a funeral not 1313 otherwise eligible for funeral leave, he/she shall be granted up to one day to serve without the 1314 loss of pay. 1315 1316 Jury Duty. Any employee called for jury duty in any court of competent jurisdiction shall be 18.510 1317 granted time off from his/her regular and normal daily schedule of working hours with pay, for 1318 such jury service provided such employee shall remit to Employer all fees received from the 1319 Clerk of Courts for such service, and further provided that no claim for overtime pay or 1320 compensatory time off shall be made by such employee as a result of his/her jury services. If 1321 the employee does not remit the fee, he/she shall be considered to be on leave of absence without 322 pay while performing jury duty. 1323 1324 1325 18.511 Maternity-Medical Leave. 1326 1327 Employees requiring a leave of absence for pregnancy a period of medical disability shall request 1328 the leave in accordance with HR Policy and Procedure, report such pregnancy to the Department Head as soon as practicable. Employees are entitled to maternity medical leave without pay, but 1329 1330 not to exceed six months. Maternity leaves of absonee will-be-granted-in accordance with applicable Federal and State laws and HR Policy and Procedures. Any leave granted under this 1331 1332 section will run concurrently with State and Federal FMLA. 1333 1334 1335 18.512 Military Leave. 1336 1337 An employee who leaves the service of the County to join the military forces of the 1338 United States during time of war or other national emergency, or who is drafted into 1339 the military service at any time, shall be granted military leave without pay, such leave to extend 1340 through a date ninety days after being relieved from such service. Proof must be filed with the 1341 Human Resources Director. Such employee shall be restored to the position which he/she vacated 1342 or to a comparable position with full rights and without loss of seniority or benefits accrued and 1343 not taken while serving in the position he/she occupied at the time the leave was granted, provided 1344 that application is made to the Human Resources Director within ninety days after the date of 1345 his/her honorable discharge, or fifteen days after rejection, and is physically and mentally capable 1346 of performing the work of his/her former position. Failure of an employee to notify the County 1347 within this time period of his/her intention to return to work shall be considered as a termination 1348 of his/her employment. Leave will be granted in compliance with State and federal law. 1349 1350 1351 18,513 Military Reserve Leave. 1352 1353 An employee who, by reason of membership in the United States Military Reserve, or 1354 ordered by the appropriate authorities to attend a training or encampment under the 1355 supervision of the United States Armed Forces, or by reason of membership in the National 1356 Guard, is required by the authorities thereof to do so, shall be granted a leave of absence 1357 from his/her position without loss of pay for a period not to exceed ten working days in any 1358 calendar year. It is intended that this shall be done without financial penalty to the 1359 employee. The County will therefore pay such employee for this time lost in an amount 360 equaling the difference between his/her daily military pay and the employee's normal 361 County daily wage. To receive such leave, the employee must file a copy of his/her orders 362 with the Human Resources Director at least two weeks prior to date such training or 363 encampment leave is to commence. 364

1366 (2) An employee who has active membership in the U.S. Military Reserve or 367 National Guard and who is ordered to long-term active duty of 30 days or more in the U.S. Armed Forces shall be granted military leave with supplemental pay equal to the difference 1368 1369 between the employee's basic military pay and his/her normal County daily wage, 1370 Supplemental pay granted under this section is provided for the duration of an employee's 1371 military service, not to exceed 5 years. Proof must be filed with the Human Resources 372 Director. To receive compensation the employee must submit a copy of his/her Military 373 Leave & Earnings statement to the County Payroll Office on a monthly basis. The net pay 374 to an employee may be an estimate with final pay reconciliation by the County's Payroll 375 Office after receipt of the employee's military pay vouchers, either during the course of 376 military service or after completion. Accrual of seniority and benefits, and reinstatement 377 rights and limitations, shall be consistent with those outlined in section (d) and as required 378 by law. An employee who voluntarily extends his/her military service shall not be granted 1379 supplemental pay, but may apply for additional unpaid military leave under section (d). The 1380 effect of this subsection is retroactive to January 1, 2004, and is subject to the rights of the 1381 various unions representing County employees to object to said compensation policy prior 1382 to implementation and request that this subsection be subject to the collective bargaining 1383 process. 1384 1385 Any employee described in subsection (2) shall also be entitled to 1386 continue paid coverage under the County's group medical plan for four (4) 387 weeks. 1388 389 1390 Civic Duty Leave. 391 1392 1393 18.514 Non Work Related Witness or Personal Litigation. A leave of absence without pay shall be 394 granted an employee upon his/her request to appear under subpoena or in his/her own behalf in 395 litigation involving personal or private matters 1396 1397 1398 18.515 Sick Leave, 1399 1400 Sick leave pay shall commence on the first day of any period of illness due to 1401 accident, injury or disease. 1402 1403 all full-time employees shall earn one sick leave day per month of continuous (1) 1404 employment. All part-time employees whose regular workweek is sixteen hours 1405 or more shall earn one sick leave day on a pro-rata basis directly in relation to the 1406 normal full-time employment period. All part-time employees, who work less 1407 than sixteen hours per week, shall not earn sick leave. Temporary and seasonal 1408 employees are not eligible for sick leave 1409 1410 (2)sick leave shall be granted after six months continuous service when an employee 1411 is required to be absent from work because of: 1412 1413 (a) illness of the employee 1414 1415 (b) illness of a member of the employee's immediate family or household 1416 requiring the employee's eare and attention an employee's spouse or 1417 domestic partner (as defined by the State of Wisconsin) 1418 1419 (c) illness of a child (includes step-child, current foster child, or any other 1420 child they are legally responsible for) 1421 1422 (d) illness of a parent (includes step-parents and current foster parents) 1423 1424 (e) contact with or exposure to a contagious disease rendering the 1425 employee's presence hazardous to fellow workers 1426 427 (f) reasonable medical or dental attention that cannot be scheduled during 1428 non-working hours 429 1430 (3)sick leave shall accrue to a maximum of one hundred-thirty days 431

1432 1433 1434 1435		(4)	employees who are absent from duty for reasons which entitle them to sick leave shall notify their supervisor as close to their regular starting time as possible <u>in accordance with Department Work Rules.</u>
1436 1437 1438 1439 1440 1441 1442 1443 1444 1445 1446		(5)	a Department Head or the Human Resources Director may require the employee to submit a medical statement, stating the specific illness, period of treatment, and date that the employee may return to work from sick leave when it occurs before or after a holiday or scheduled day off, for sick leave in excess of three days or when an employee has a record of repetitious usage of short amount of sick leave over an extended period of time. The Department Head or Human Resources Director may require an employee to take a medical examination on returning from sick leave or on such occasions that it is in the best interest of the County. The medical examination shall be given by a County physician designated by the Human Resources Director
1447 1448 1449 1450 1451		(6)	A Department Head or the Personnel HR Director may investigate the alleged illness of an employee absent from work on sick leave. False or fraudulent use of sick leave shall be cause for disciplinary action against the employee, up to and including dismissal
1452 1453 1454 1455		(7)	an employee on vacation who presents an acceptable medical certificate giving the dates of illness may have that portion of his/her vacation leave converted to sick leave
1456		(8)	sick leave shall be debited in no less than quarter hour units
1457 1458 1459 1460		(9)	no credit for sick leave shall be granted for time worked by an employee in excess of his/her normal workweek
1461 1462 1463 1464		(10)	a regular employee who moves from one department to another by transfer, promotion or demotion shall have his/her total sick leave credits transferred to the new department
1465 1466 1467 1468 1469 1470		(11)	<u>Unilateral</u> employees who resign or retire with ten or more years of continuous service shall be paid for one-half of the accumulated sick leave days, not to exceed a total of sixty-five days. In the event of the death of an employee, the County shall make the same sick leave payment to the employee's estate. In the event of a discharge, the employee will not receive this benefit.
1471 1472 1473 1474 1475	18,516	connection wit	itness. When subpoenaed to appear before a court, public body, or commission in h County business on regular work time, the employee shall be paid at his her pay and the employee shall remit his/her fee to the County
1476 1476 1477 1478 1479 1480 1481 1482		assignment sha employee is re the employee v mileage costs i shall sign and	o are off duty and are subpoenaed to appear in court as a result of their work all receive a minimum of two hours pay at the rate of time and one-half. If the quired by the court to be present in court for time over and above the minimum, will be paid at the rate of time and one-half. Employees shall be reimbursed for incurred because of court appearances required under this provision. Employees turn over to the County any and all fees and reimbursements paid because of ces resulting from their work assignment.
1484 1485 1486 1487 1488 1489		not notified of the time sched	cellation Pay. Employees who are subpoenaed to testify on off-duty time and are the cancellation or dismissal of said subpoena at least twenty-four hours prior to uled for appearance, shall be paid two hours of pay at their regular rate of pay, a maximum of two (2) canceled subpoenas per day.
1490 1491	18.517	Training/Educe	ntional Leave.
1491 1492 1493 1494 1495 1496 1497		a period not t understood the his/her—forme	ay be granted a <u>full time</u> leave of absence without pay to further their education for to exceed <u>eighteen months</u> one-year, as provided under Section (a) above. It is at there is neither an obligation on the part of the County to reinstate the person to ror any other position nor on the part of the employee to return to County if it is determined to be in the best interest of the County.

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1498 At the expiration of the leave, the employee may be reinstated to his/her position if it is available 1499 or an equivalent position if one is available and if it is determined to be in the best interest of the 1500 County. 1501 1502 For language covering leaves with pay, see HR Policies and Procedures. 1503 504 505 18.518 Voluntary Public Service Leave, 506 507 County employees may be allowed time off with pay to serve on public or non-profit boards, 508 committees, or commissions if such service received the prior approval of the County Board 1509 Staff Committee. 1510 1511 1512 18,519 Voting. 1513 1514 Any employee who can satisfactorily show that he/she cannot vote during his/her off duty hours 515 shall be allowed time off with pay to cast his/her ballot in all legally constituted elections. 516 1517 518 1519 SECTION 14 6 1520 CONDITIONS OF EMPLOYMENT 521 522 18.601 Communications and confidentiality. 523 524 Communication is a joint responsibility shared by the County and all employees, No 525 information, which is confidential in nature, concerning the internal operations of the County, 526 including but not limited to the release of records of the County, may occur except through, and 1527 with the permission of, the County Administrator or individual Department Head if designated 1528 by the County Administrator. 529 If requests for information are received by employees, whether on or off duty, from any person, 530 1531 then the employee is required to politely decline to provide such information and to direct that 532 individual to the County Administrator or Department Head for a response to that inquiry. 1533 1534 Because of an employee's responsibilities at the County, an employee may have access to 1535 confidential County, resident, personnel or other sensitive information. This may include 1536 information concerning a resident's financial status, the County's business practices including 1537 purchasing and negotiating strategies, and employee records. This sensitive information can not 538 be disclosed to any personnel who do not have a legitimate business need to know such 539 information or to persons outside of the County without the determination of the County 540 Administrator or Department Head designated by the Administrator, All employees are 541 responsible for protecting the confidentiality of this information. 1542 543 The County acknowledges the right of its employees, as citizens in a democratic society, to 544 speak out on issues of public concern. When those issues are related to the County, however, 545 the employee's expression must be balanced against the interests of the County. In situations in 546 which the employee is not engaged in the performance of professional duties, the employee 547 should state clearly that his or her expression represents personal views and not necessarily 548 those of the County. 549 550 551 18.602 Conflict of Interest. 552 553 Except for the salary or compensation received from the County, no County employee shall use 554 his/her office or position for personal financial gain or the financial gain of his/her family. No 1555 employee shall engage in his/her own business activity, accept private employment or render 556 services for private interests when such employment, business activity or service is incompatible 1557 with the proper discharge of the employees official duties or would impair his/her independence 558 or judgment or action in the performance of the employee's official duties. Such employment, 559 business activity or service shall not be engaged in or promoted during normal working hours for 560 which such employee is being remunerated by the County and such employment, business activity 561 or service shall not involve the use of County facilities or materials. No employee shall use or 562 disclose "privileged information" gained in the course of or by reason of the employee's official 563 position or activities. Failure to comply with these conditions shall be considered grounds for 1564 discipline up to and including immediate dismissal.

1565	10.603	
1566 1567	18.603	County Administrator (Tenure).
1568		The County Administrator shall hold his/her position at the pleasure of the County Board. The
1569		action of the County Board in removing the County Administrator shall be final. Dismissal
1570		actions against the County Administrator may be initiated by individual supervisors as per County
1571		Board rules,
1572 1573	18.604	County Equipment (return of).
1574	10,004	County Equipment (Tettim 01).
1575		Employees leaving County employment must return County identification cards, keys, tools and
1576		equipment on or before their last day of work.
1577	10.00	
1578 1579	18.605	County Residence.
1580		Key County officials, as determined by the County Administrator, shall reside in the County.
1581		Noy county officials, as determined by the county running throw, shall reside in the county.
1582	18.606	Demotions.
1583		
1584 1585		Demotions may be used in lieu of layoff, or may be used as a disciplinary measure or can be
1586		voluntary. Demotions must be approved in advance by the Human Resources Director.
1587	18.607	Discipline (Corrective).
1588		
1589		The purpose of discipline is correcting job behavior and performance problems of employees.
1590 1591		Employees shall be informed of standards of conduct and performance. Rules and standards shall be consistently applied. Penalties shall be uniform and shall match the infraction. Persons
1592		administering corrective discipline shall systematically document the case. Records of verbal
1593		reprimands, written reprimands, suspensions, demotions and terminations shall be provided to
1594		Human Resources and kept in the employee's personnel files. Written reprimands will remain in
1595		effect for a period not to exceed one year, and at the end of such period shall be removed form the
1596 1597		employee's personnel file. Records of suspension shall remain in the Employee's personnel file
1598		for a period of two years and at the end of such period shall be removed from the Employee's personnel file.
1599		TAXABLE CONTRACTOR CON
1600		Suspensions, demotions, and terminations shall be discussed with the Human Resources Director
1601		or the County Administrator before such actions are taken. In the event that the immediate
1602 1603		dismissal action is required and the Personnel-IIR Director or the County Administrator cannot be reached, the employee shall be suspended with pay pending investigation.
1604		reaction, the employee shall be suspended with pay pending investigation.
1605	18.608	Disciplinary Action (Grounds for).
1606		
1607 1608		The following shall be grounds for disciplinary action ranging from a verbal written reprimand to
1609		immediate discharge depending upon the seriousness of the offense in the judgment of management:
1610		- Table Participant
1611		(a) Dishonesty or falsification of records.
1612		(b) Total and the first of the
1613 1614	÷	(b) Intemperate use of alcoholic beverages or drugs, which affect job performance. <u>Usc.</u> possession, distribution, selling, or being under the influence of alcohol or illegal drugs
1615		while on Rock County premises or while conducting business-related activities off
1616		Rock County premises. The legal use of prescribed drugs is permitted on the job only
1617		if it does not impair an employee's ability to perform the essential functions of the job
1618 1619		effectively and in a safe manner that does not endanger other individuals in the
1620		workplace.
1621		(c) Unauthorized use or abuse of County equipment or property.
1622		
1623		(d) Theft or destruction of County equipment or property.
1624 1625		(e) Work stoppages such as strikes or slow-downs,
1626		(e) Work stoppages such as strikes or slow-downs.
1627		(f) Insubordination or refusal to comply with the proper order of an authorized supervisor.
1628		
1629		(g) Unlawful conduct defined as a violation of or refusal to comply with pertinent laws
1630 1631		ordinances and regulations.
1632		(h) Habitual tardiness, unauthorized or excessive absence or abuse of sick leave.

1633 1634	(i) Use of official position or authority for personal or political prof	
1635	(i) Use of official position or authority for personal or political prof	it or advantage.
1636 1637	(j) Disregard or repeated violations of safety rules and regulations.	
1638 1639	(k) Incompetence or poor work performance.	
1640 1641 1642	(l) Discrimination because of race, color, creed, national origin, r orientation, or any other grounds prohibited by State or federal la	narital status, sex, sexual
1643 1644	(m) Violations of section 18,601 "Communications and Confidentialit	y".
1645	Other circumstances may warrant disciplinary action and will be treated or	on a case-by-case basis.
1646 1647 18.	Exit Interview.	
1648 1649 1650 1651 1652	An exit interview shall be conducted when possible with every employee County employment regardless of his/ her length of service, position or a separation.	who is separating from ircumstances or
1653 18. 1654	Gifts And Gratuities.	
1655 1656 1657 1658 1659 1660 1661	No County employee shall use their position to solicit or accept for his person any gift, campaign contribution, gratuity, favor, services, promisentertainment, loan or any other thing of monetary value. This does no loans from banks or other financial institutions on customary terms of such as home mortgage loans, the acceptance of unsolicited advertising such as pens and calendars, and acceptance of an award for merito contributions or achievements.	se of future employment, ot include acceptance of finance for personal use, or promotional material.
1662 1663 18.	Harassment	
1664 1665 1666 1667 1668 1669 1670 1671 1672	It is the policy of Rock County that all employees should be able to er free from all forms of harassment. Employees who engage in harassment they also expose both themselves and the County to potential legal Rock County will not condone or tolerate any conduct in the work employees (whatever their positions), elected officials, vendors, or mention that conduct violates the right of someone else to be free from harassment who violate this policy will be subject to appropriate disciplinate termination. (See HR Policies and Procedures for a detailed description of the policy of the policy.)	ent not only hurt others, liability. Consequently, place on the part of its embers of the public, if thent. County employees to up to and including
1675 18,0 1676	Hours of Work.	
1677 1678 1679 1680 1681 1682 1683	The normal workweek for County employees shall be forty hours be departments where the nature of work-requires more than forty hours. work from 8:00 a.m. to 5:00 p.m. Monday through Friday. Because How essential County services are provided outside the Monday through Friday schedule on a twenty-four-hour-basis, some County employees may have which are designated in Department work rules.	Most County employees vever, since some eertain ay, 8:00 a.m. to 5:00 p.m.
1684 1685 1686 1687	Non-standard work schedules may be approved by a Department Head interest of County operations. Notice of non-standard work schedules Resources and payroll pursuant to 18.224.	
1688 1689 1690 1691	Staffing needs and operational demands may necessitate variations in stages of the week worked, as well as variations in the total hours that maday and week.	
1692	Non-Standard Work Schedule.	
1693 1694 1695 1696 1697 1698 1699	The standard-work schedule consisting of 8-a.m. to 5-p.m. M.F. may determined by the Department Head that such modification of work sche of the County. Modification of a Standard Work Schedule can be made at his or her discretion. Immediately upon approval of a Non-Stand Department Head-shall-notify Human Resources and Payroll-in writing, be worked by the employee, except for short-term or incidental modificat	dulo is in the best-interest by the Department-Head ard Work-Schedule, the adicating the schedule to
1700	To worked by the employee, except tot anotherm of medicinal intellinent	one in soneting

1701 Employees included in Sections 18.201, 18.217 and 18.509 (b) 18.1010, 18.1018, and 18.410.6 1702 are considered salaried exempt employees. These employees must cover a partial day taken off 703 with paid vacation, sick leave or comp time (where applicable). If the employee has insufficient paid time to cover the entire day off, the employee must take the entire day off 704 705 without pay. 706 707 18.613 Layoffs. 708 709 The appointing authority may layoff an employee whenever it is necessary to reduce the 710 workforce for any reason (e.g. lack of work, lack of funds, abolishment of a position, etc.). No regular employees, however, shall be laid-off while there are temporary or probationary 711 1712 employees serving in the same classification, in the same department. Layoffs shall be based on 1713 the needs of the County. 1714 1715 The appointing authority shall notify each person laid-off of all his/her rights. Regular employees 1716 shall receive at least ten thirty calendar days notice prior to layoff. Layoff plans shall be approved 1717 by the Human Resources Director before they are implemented. 1718 1719 Laid-off employees shall be held in a layoff pool for a period of time equal to their length of 1720 service, but in no case longer than two years. 1721 1722 18,614 Lunch Periods and Break Time, 1723 1724 (a) Lunch Periods. 1725 Lunch periods are normally scheduled midway in an eight hour shift. Lunch periods shall 726 727 not be longer than one hour nor shorter than thirty minutes. 1728 1729 (b) Break Time. 1730 1731 Employees may leave their workstation and return fifteen minutes later for two breaks in an eight-hour shift, one during the first four hours of their first shift, and the second 1732 1733 during the last four hours of their shift. Breaks not taken are lost. Breaks cannot be 1734 accumulated or used to extend lunch periods or to shorten the workday. 1735 1736 Lunch periods and break times are to be arranged between the employee and his/her 1737 supervisor or Department Head. Since most County offices remain open continuously on 738 normal work days between 8:00 a.m., and 5:00 p.m., it is the Department Head's 1739 responsibility to assure that lunch periods and breaks are scheduled so that adequate staff 1740 coverage is provided at all times. 1741 1742 Employees who are on a non-standard work schedule or work 2nd or 3rd shift shall 1743 follow Department Work Rules for lunches and breaks. 1744 1745 1746 18.615 More than one County Position 1747 1748 No person shall hold more than one full or part-time County position at the same 1749 time without written consent of the County Administrator. 1750 1751 1752 18.616 Nepotism. 1753 1754 Members of immediate families shall not be hired or transferred into a position that would create a direct or indirect superior-subordinate relationship. This policy does not include situations where 1755 1756 the superior-subordinate relationship would be incidental. 1757 1758 1759 18.617 Outside Employment. 1760 1761 The County's policy on outside duties or employment shall be as follows: 1762 763 County employees may engage in outside employment, unless such employment conflicts (n) 764 with or affects the performance of their duties. Prior to engaging outside employment, the 765 County employee must give written assurance prescribed by the Human Resources 1766 Director that said employment does not violate Section 18,1302 18,602 of the Rock 767 County Personnel Policy Ordinance. This written assurance shall not be binding in any

management disciplinary decision.

1769		
1770 1771		
1772	18.618	Outside Services
1773 1774 1775 1776 1777 1778 1779 1780 1781 1782		All fees, gratuities, honorarium or any other form of compensation for outside services performed during normal County work hours or while being paid by the County shall be turned over to the County and any such activities for which said compensation is paid shall be reported to the County Board Staff Committee. This subsection shall not be construed to apply to activities performed after regular work hours, or while an employee is on a bona fide vacation, or taking a floating or other holidays, or to part-time employees. except, during those times when they are actually providing services to the County, and it shall not apply to the reimbursement or actual and necessary expenses incurred under such circumstances. Failure to comply with these conditions shall be considered grounds for discipline up to and including immediate dismissal.
1784 1785	18.619	<u>Payday</u>
1786 1787 1788 1789 1790 1791		Employees shall be paid bi-weekly on alternative Fridays, except when those days fall on a holiday in which case employees shall receive their pay on the day preceding the holiday. If an employee is on vacation or leave of absence, his/her pay shall be mailed to him/her upon request. (See HR Policy and Procedures.)
1792 1793	18.620	Pre-Employment Medicals- Physicals.
1794 1795 1796 1797 1798		New full-time and regular part-time employees may be required to pass a physical examination before they are employed. Such exams shall measure the individual's physical capabilities in terms of the job to be performed. When pre-employment physicals are required, they shall be conducted by a licensed physician at the County's expense.
1799 1800	18.621	Political Activity.
1801 1802 1803 1804 1805 1806 1807 1808		No employee is precluded from engaging in political activity provided that such activity does not interfere with normal work performance and is not conducted during hours for which such employee is being remunerated by the County for actually performing his/her duties and does not involve the use of County equipment or property. Employees are specifically prohibited from using their County position or their official authority with the County for the purpose of directly or indirectly coercing any person to hold or contribute monetary or other types of assistance to any political candidate, party or purpose.
1809 1810 1811		Under provisions of the federal Hatch Act, employees who are principally employed in an activity which is financed in whole or in part by federal loans or grants cannot:
1812 1813 1814		<ul> <li>use his/her official authority or influence for the purpose of interfering with or affecting the result of an election or nomination for office;</li> </ul>
1815 1816 1817 1818		(b) directly or indirectly coerce, attempt to coerce, command, or advise a state or local officer or employee to pay, lend or contribute anything of value to a party, committee, organization, agency or person for political purposes; or
1819 1820		(c) be a candidate for partisan elective office.
1821 1822 1823	18.622	Professional Liability Insurance.
1824 1825 1826		The County shall provide professional liability insurance for employees for performance of their duties within the scope of their employment.
1827 1828	18.623	Resignations.
1829 1830 1831 1832 1833 1834 1835		Non-FLSA exempt employees wishing to leave Rock County employment shall submit a resignation in writing to their Department Head at least two weeks in advance of their planned departure. FLSA exempt employees shall submit their resignation in writing at least four weeks in advance of their planned departure. Employees who do not give sufficient notice shall lose accrued benefits, unless such requirement is waived by the Human Resources Director. It is expected that employees will give as much notice as possible in order to facilitate recruitment and orientation of new staff members. A resignation, once accepted, may not be rescinded.

1837 18.624 Safety. 1838 1839 Safety is very important to each employee and Rock County. Employees must conduct 1840 themselves carefully at all times. All employees must act in a safe manner and practice good 1841 safety procedures. Similarly, all work areas are to kept clean and free from debris, and tools and 1842 equipment are to be kept clean and in good repair. 843 844 The employer will comply with all applicable safety laws and regulations in order to provide a 845 safe and secure workplace for its employees and clients. 846 847 Any accident, hazards or potentially unsafe conditions of equipment are to be reported to an 848 employee's supervisor immediately for action. If the unsafe condition can be corrected 849 immediately as to avoid any additional hazard, then the employee should implement the 1850 corrective action. 851 852 Any employee who is injured or becomes ill while performing service related to his or her 853 employment must contact his or her supervisor immediately on the same day the injury or 1854 illness occurs and report the incident. If necessary the employee should secure the necessary 855 medical attention on the job site to the extent practicable. 1856 1857 The first report of injury form must be in filled out completely, usually the day of the incident, 858 if not as soon as possible. 859 1860 The employer has established the following protocols for evacuation of the premises. When 861 employees are advised to evacuate the building, the employees should: 1862 1863 Stop all work immediately. 1864 Contact outside emergency response agencies, if needed. 1865 Shut off all electrical equipment and machines, if possible. 1866 Walk to the nearest exit, including emergency exit doors. 1867 Exit quickly, but do not run. Do not stop for personal belongings. 1868 Proceed, in an orderly fashion, to a parking lot near the building. 1869 Do not re-enter the building until instructed to do so. 1870 Employees must know the location of fire extinguishers, emergency exits and 1871 first aid kits. 1872 1873 18.625 Telephone. 1874 1875 As a condition of employment, Employees must have a telephone or a place of telephone 1876 contact. Employees shall be requested to notify the Department Head of any change of name, 1877 address, telephone number or contact place. 1878 1879 18.626 Travel. 1880 1881 The County shall reimburse employees for actual necessary and reasonable itemized travel costs 1882 incurred while on official authorized County business. Commuting expenses between an 1883 employee's residence and normal place of employment are not reimbursable. All travel must be 1884 authorized by the Department Head in order to be eligible for reimbursement. Department Heads 1885 shall inform the County Administrator of any out-of-County travel plans. There will be no 1886 reimbursement for meals within the County, except as authorized by the Board Chair or Vice-1887 Chair. Employees shall receive mileage reimbursement at the IRS allowed rate for all authorized 888 travel in their personal automobile. Employees shall be required to complete an expense youcher 889 before reimbursement will be made. All automobile allowances in all County departments shall 890 be paid in a manner similar to that in which salaries are paid. Receipts are required for air, train, 891 bus or taxi travel, hotels or motels, conference registration and all other items (except tolls) in 892 excess of five dollars. Clerical employees who are required to return to work to take minutes at 893 evening meetings shall be reimbursed for mileage to and from their residence, (This 894 reimbursement is taxable to the employee.) 1895 896 Meals Allowed While Traveling. In Travel Status. 897 898 899 Breakfast - up to \$8.00 including tip, may be claimed when the employee is out of the County 1900 prior to 7:00 a.m. on county business 1901 1902 Lunch - up to \$10.00 including tip, may be claimed when the employee is out of the County 1903 between 11:00 a.m. and 2:00 p.m. on county business

1905 Dinner - up to \$20.00 including tip, may be claimed when the employee is out of the County 1906 after 6:00 p.m. on county business 1907 1908 The above are maximums and it is not the intent that the employees should always spend the 1909 maximum allowed. 1910 1911 Meals are allowed when you are an employee is on County business out of Rock County. 1912 Receipts for all meals are required. No reimbursement shall be authorized for alcoholic 1913 beverages. 1914 1915 The breakfast rate will be paid for meals prior to 10:30 a.m. 1916 1917 The lunch rate will be paid for meals between 10:30 a.m. and 2:30 p.m. 918 919 The dinner rate will be paid for meals after 2:30 p.m. 920 1921 18,1112 Workrules. 1922 1923 The County as a whole and individual departments reserve the right to establish reasonable 1924 workendes. 1925 1926 1927 SECTION 7 1928 PERFORMANCE EVALUATION 1929 930 18,701 Policy. 1931 1932 The performance evaluation program is used to assess an employee's work effectiveness and to 933 suggest constructive actions on how he/she may improve. Performance evaluation reports shall be 934 considered in decisions affecting placement, salary advancement, overtime assignment, 1935 promotions, demotions, dismissal, order of layoff, re-employment, and training. 1936 1937 18.702 Administration. 938 939 Each employee shall be evaluated at the following periods: 1940 941 (a) Probationary Period. 942 1943 Each employee shall be evaluated no less-frequently than every three months midway 1944 through their Probationary Period and one month prior to the completion of the 1945 Probationary Period. 1946 947 (b) Annual. 948 949 Each employee shall receive an annual performance evaluation one month prior close to 1950 his/her anniversary date, or at another specified time if the Department Head elects to 951 evaluate members of a classification or the whole department together at one time. 952 1953 (c) Special. 954 955 A special performance evaluation shall be completed: 1956 1957 whenever there is significant change in the employee's performance, but no (1)1958 sooner than-three-months-after the prior evaluation 959 1960 whenever a supervisor permanently leaves his/her position, in which case, the (2)961 supervisor shall complete a performance report on each employee under his/her 962 supervision that has not been evaluated within six months prior to the date the 963 supervisor expects to leave 1964 965 18.703 Rating Officer Rater. 1966 1967 The rater shall normally be the employee's immediate supervisor. The rater shall be responsible 968 for completing a performance evaluation on forms prescribed by the Human Resources Director 969 report at the time prescribed for each employee under his/her supervision. The Human Resources 970 Director, upon approval of the County Administrator, may also initiate rating procedures and 971 mechanisms involving the Governing Committee, peers and/or subordinates.

1972		
1973 1974		The County Administrator shall be evaluated by the County Board Staff Committee.
1975 1976	18.704	Review of Performance Report.
1977 1978 1979 1980 1981		Supervisors serving as raters shall review all performance reports with Department Heads before discussing the report with the employee and before the report is filed in the employee's personnel folder. If the rater plans to recommend the denial of an in-grade salary increment, the report shall be discussed with the Human Resources Director prior to review with the employee.
1982 1983	18.705	Human Resources Director.
1984 1985 1986 1987 1988 1989		The Human Resources Director shall be responsible for the overall administration of the employee performance evaluation programs and shall advise and assist employees, raters and Department Heads to ensure that performance evaluation procedures are handled according to the provisions of this Chapter Section.
1990		SECTION 8
1991		EMPLOYEE GRIEVANCE PROCEDURE
1992	18.801	Policy,
1993 1994 1995 1996		This grievance procedure is intended to meet all of the requirements set out in Wisconsin Statute Section 66.0509 (1m) and passed into law as Act 10 by the 2011 Wisconsin Legislature.
997 998 999 2000 2001 2002 2003		It is the policy of the County to treat all employees equitably and fairly in matters affecting their employment. Each employee of the County shall be provided ample opportunity to understand and resolve matters affecting employment, which the employee believes to be unjust. The presentation of a formal grievance shall be considered to be the right of each regular County employee without fear of reprisal. Nothing contained herein alters the "at-will" status of those employees.
2004 2005		The County Administrator shall not have access to the grievance procedure.
2006 2006 2007 2008		Department Heads shall not have access to the grievance process based on Wisconsin Statutes Section 59.18 (2)(b).
2009 2010	18.802	Definitions
2011		<del></del>
2012 2013 2014 2015		"Arbitrary and capricious" means a decision which was made on unreasonable grounds or without any proper consideration of circumstances.
2016 2017 2018		"Gricvance" means a formal complaint by an employee concerning: employee discipline employee termination, or workplace safety.
2019 2020 2021		"Employee discipline" shall include written reprimands, suspensions without pay, and demotions,
2022 2023 2024		"Termination" means a separation from employment, but does not include job loss resulting from a reduction in force.
2025 2026 2027		"Workplace safety" shall include violations of state and federal laws and regulations or health and safety.
2028 2029 2030 2031 2032		The following personnel actions shall not be subject to the grievance process: oral or written evaluations; counseling; job coaching; placing an employee on paid administrative leave pending an internal investigation; change in job assignments; voluntary quits; layoff or failure to return to work when recalled; retirement; job abandonment or failure to report to work; inability to perform job duties due to physical or medical limitations; and loss of required licensure, certification or other
2033		requirement necessary to perform the job.
		"Preponderance of the evidence" means the greater weight of the evidence - superior evidentiary weight, that, though not sufficient to free the mind wholly from doubt, is still

2039 2040 18.803 Administration. 2041 2042 The Human Resources Director shall supervise and administer the grievance process. Supervisors 2043 and Department Heads shall keep the Human Resources Director informed of all grievances in 2044 2045 2046 18.804 Filing a Grievance 2047 2048 This grievance procedure is available to all unilateral County employees (except Department 2049 Heads and elected County Officials), members of a bargaining unit that previously contained a 2050 grievance procedure, seasonal and temporary employees of the County. 2051 2052 Limitations: 2053 2054 1. A grievance that may be brought by or on behalf of a law enforcement officer using the 2055 procedure specific in Wis. Stat. Section 59.26(8) may not be brought under this section. 2056 2057 A grievance that may be brought by or on behalf of an employee under a grievance 2058 procedure that is contained in a collective bargaining agreement may not be brought under 2059 this section. 2060 2061 2062 18.805 Discussion of Problems with Immediate Supervisor. 2063 2064 Any employee having a problem regarding his/her employment shall first discuss the problem 2065 with his/her immediate supervisor. If the problem is not settled to the employee's satisfaction and 2066 is a grievance according to Section 48.803 18.802, the employee may present his/her grievance 2067 according to Section 18,805 18,806. 2068 2069 18.806 Grievance Procedure, 2070 2071 A formal grievance of an employee shall be handled in accordance with the following procedure. 2072 2073 STEP 1. Supervisor. 2074 2075 The employee shall, within seven working days of the event giving rise to the grievance or within 2076 ten working days of the date he/she could reasonably be expected to have knowledge of the 2077 grievance, present his/her formal grievance in writing on the form designated by the County to 2078 his/her immediate supervisor unless the immediate supervisor is the subject matter of the 2079 grievance, in which case, the employee may immediately proceed to Step 2. If the Department 2080 Head is the subject matter of the grievance, the employee may immediately proceed to Step 3. 2081 The supervisor shall within three working days meet and discuss the grievance with the employee 2082 and then reply in writing within three working days. 2083 2084 STEP 2. Department Head. 2085 2086 In the event that the immediate supervisor's decision is not satisfactory to the employee or the 2087 immediate supervisor is the subject matter of the grievance, the employee may within seven 2088 working days, present the grievance in writing to his/her Department Head. The Department 2089 Head, or his/her designee, shall, within five working days, meet and discuss the grievance with the 2090 employee and then reply in writing within five working days. 2091 2092 STEP 3. Human Resources Director. 2093 2094 In the event that the Department Head's decision does not satisfy the employee's grievance or if 2095 the Department Head is the subject matter of the grievance, the employee may, within seven 2096 working days, present the grievance in writing to the Human Resources Director. The Human 2097 Resources Director shall arrange to meet within ten working days of receipt of the grievance with 2098 the employee, his/her representative, if any, and any other person the Human Resources Director 2099 deems necessary. If, in the judgment of the Human Resources Director, a hearing is necessary to 2100 ascertain the facts surrounding the dispute, one shall be scheduled as soon as practicable. After 2101 the hearing, the Human Resources Director shall respond to the grievance in writing to the 2102 employee within five working days. 2103 2104 By mutual agreement between the Employer and the Employee the timelines in Steps 1, 2 and 3 2105 may be extended.

#### STEP 4. Impartial Hearing Examiner.

In the event the decision of the Human Resources Director does not satisfy the employee resolve the grievance, the employee may, within seven working days, present the formal grievance in writing to the County Administrator. The County Administrator shall review the written record of the case and where warranted, meet within ten working days with the employee, Human Resources Director, representatives and witnesses called by either party. The County Administrator shall reply in writing to the employee within fifteen working days after hearing the grievance. The decision of the County Administrator shall be final, except in cases involving dismissal or in exceptional circumstances, the County Board Staff Committee may grant a hearing or otherwise review the matter upon the written request of the complainant, request a hearing before an impartial hearing examiner and pay the filing fee (if one is established) by the County Board.

- a. The Human Resources Director shall upon receipt of a written hearing request, provide the employee with a list of hearing examiners. The employee may, within 10 calendar days rank the hearing examiners in order of preference and return the list to the HR Director.
- b. The HR Director will contact the hearing examiners in order of the employee's preference and schedule a hearing. If the employee does not return the list or rank the hearing examiners, the HR Director may select one from the list.
- c. The hearing examiner shall be impartial and may not have any prior knowledge of the grievance.
- d. A hearing will be scheduled within 30 calendar days of receipt of the hearing request and filing fee. The hearing examiner may reschedule the hearing with permission of both parties.
- e. The hearing examiner, with the consent of both parties, may use his/her best efforts to mediate the grievance.
- f. The employee has a right to be represented at the hearing (at the employee's expense) by a person of the employee's choosing.
- g. The County has the burden of proof in a reprimand, suspension or termination grievance to show that its actions were not arbitrary or capricious. The employee has the burden of proof in a workplace safety grievance.
- h. The standard required of the party with the burden of proof in all cases is a preponderance of the evidence.
- i. The hearing shall be recorded by a court reporter, who will make a record of the proceedings, and the costs will be shared equally by the parties.
- j. Formal rules of civil procedure will not be followed.
- Both parties may introduce exhibits and present witnesses. Witnesses shall be sworn to tell
  the truth.
- 1. The hearing examiner shall provide a written decision within thirty (30) calendar days following the close of the record. The written decision should include a case caption; the parties and appearances; a statement of the issues, findings of fact; any necessary conclusions of law; the final decision and order; and any other information the hearing examiner deems appropriate.
- m. The hearing examiner shall have the power to sustain or deny the grievance. He or she shall have the power to order only the following remedies; withdrawal of a written reprimand, reduction of suspension, transfer to original position from demoted position, reinstatement with or without some or all back pay. The hearing examiner may recommend other remedies, however, all other remedial authority shall be subject to the determination and approval of the County Board, and shall be addressed by the County Board in the event the grievance is sustained.

2175 STEP Five. County Board. 2176 2177 An employee or the County, within ten (10) calendar days of receipt of the hearing examiner's decision, may appeal the decision to the County Board by filing a written notice of appeal with 2178 2179 the County Clerk. 2180 b181 a. The written notice of appeal must contain: (1) a statement explaining the reason for the 2182 appeal, include (2) a copy of the written grievance filed with the County, (3) the 2183 county's response to the grievance, and (4) a copy of the hearing examiner's decision. The notice of appeal may include a copy of the hearing transcript or exhibits, or both, 2184 2185 but may not contain any information that was not admitted into evidence at the hearing. 2186 2187 Ъ. The appeal will be placed on the agenda for a County Board meeting that is held at no **2**188 longer than 60 calendar days after the County Clerk receives a written notice of appeal. **2189** The appeal will be noticed for consideration in closed session pursuant to Wis. Stat. 2190 Section 19.85(1)(b) pertaining to dismissal, licensing, or suspension of a public 2191 employee. The County Clerk will provide a copy of the meeting notice to the 2192 employee, and the employee may request that an open session be held. 2193 2194 The employee has the right to representation by a person of the employee's choosing c. 2195 and at the employee's request. The employee and the employee's representative may 2196 attend the closed session. 2197 2198 d. The employee or the employee's representative for a period-no-longer-than-twenty **2**199 minutes and a representative of the County may address the County Board for an equal 2200 period to be determined by the County Board Chair. The appealing party will go first 2201 and may reserve a part of his/her time for rebuttal. The responding party will go 2202 second. The appealing party may present a rebuttal, if he/she has reserved any time 2203 and not used it. 204 2205 The employee and the employee's representative, and the person speaking on behalf of e. 2206 the County, will be excluded from any closed session during the county board's 2207 discussion or deliberation. 2208 2209 f, The County Board's consideration of the appeal will be limited to a review of the 2210 impartial hearing examiners written decision, the appealing party's reason(s) as to why 2211 the decision is wrong, and the response by the other party along with any oral 2212 presentations made by the parties, 2213 2214 Should the County Board Chair become aware of some relevant piece of information g. 2215 that could have had a significant impact on the decision of the impartial hearing 2216 examiner, that neither party was aware of, or could have been expected to be aware of, 2217 prior to the impartial hearing officer's decision, the County Board Chair, with the 2218 advice of the Corporation Counsel, may take whatever action he/she deems appropriate 2219 so as not to disadvantage either party, and report such action to the County Board. 2220 2221 The County Board shall give due deference to the decision and recommendation of the h. 2222 Impartial Hearing Officer and his/her decision shall not be overturned unless the Board 2223 finds by a simple majority vote that: (1) the hearing was not conducted fairly, (2) there 2224 was fraud or corruption on the part of the hearing examiner, or (3) the hearing 2225 examiner made an error in fact or law. 2226 2227 ì. In the event the County Board does not sustain the Impartial Hearing Officer's 2228 decision, then the Board may render a new decision and remedy, or take other action as 2229 appropriate. 2230 2231 The County Board Chair shall prepare and sign a written determination reflecting the j. 2232 County Board decision. The County Board Chair may enlist the assistance of the 2233 Corporation Counsel in preparing the determination. A copy of the determination will 2234 be provided to the employee within 10 calendar days following the County Board's 2235 decision. 2236 2237 k. The County Board's decision is final and may not be appealed. 2238 2239 18.806 Department Head Grievances. 2240

Department Heads who wish to grieve a disciplinary action of the County Administrator short of

termination, may file such grievance in writing with the County Board Staff Committee-which

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	will either grant a hearing or review the written facts of the grievance and will issue a wi response to the grievant no later than one calendar month after the filing of the grievance.
18.807	Input from Governing Committee.
	In cases when a County employee is dissatisfied with the resolution of his/her grievance a fourth step and has requested a hearing before the County Board-Staff Committee, the emplo Governing Committee shall be notified of the details of the grievance in order that it may be position to offer advice on the matter to the Staff Committee.
18.808	Employee Representation.
	Each employee shall be afforded an opportunity to be represented in each of the steps is grievance procedure. At all steps of the grievance procedure, the employee may be accompted by a representative of his/her choice. Employees shall contact and discuss their problems their representative only during break periods, lunch hour, before or after work, or any time they are not on duty. However, grievance hearings normally shall be held during regular Cowork hours.
18.807	Grievances of Termination.
	All grievances regarding termination shall be initiated at the third step of the grievance proceed except no noted in 18,806.
18,810	Violation of Grievance Procedure.
	Any employee, who does not first attempt to resolve a grievance in accordance with this See may be subject to disciplimity action. However, nothing in this chapter shall abrogate any means of redress to the courts available to all employees.
	SECTION 9
	TRANSACTIONS AND RECORDS MANAGEMENT
18.901	Policy.
	The development and maintenance of an effective personnel transaction procedure and person records management system is essential to a sound personnel program. All appointm separations, and other personnel transactions shall be made on forms designated by the Hu Resources Director. The primary purpose of these systems and procedures shall be to:
	<ul> <li>(a) Establish and maintain clear lines of authority for the processing of personnel transact and management of personnel records.</li> </ul>
	(b) Establish and maintain uniform, easily accessible and complete employment records of County employees and employee transactions.
	The Payroll Unit shall convert data from personnel transactions to payroll records and maintain cumulative records of vacation, overtime, sick leave, and payroll deductions. Parecords and data shall be developed in cooperation with the Human Resources Director Finance Director to provide current and meaningful personnel and position information, smartes and statistics.
	All employees shall be responsible for notifying their supervisor of any changes, which a their personal status.
18,902	Public Inspection.
	Information as to the name, class title and salary of employees and former employees is avail for public inspection at times in accordance with procedures prescribed by the Human Reson Director. Other information shall be considered confidential and shall be available as author by State and federal law.
18.903	Destruction Of Records.

2310 2311 2312	18.904	Reports.
2313 2314 2315		The Human Resources Director shall provide the Board and the County Board Staff Committee with reports and information relating to personnel actions upon request or as may be appropriate.
2316		SECTION 2- 10
2317		<u>DEFINITIONS</u>
2318 2319 2320	18.1001	Administrative Personnel.
2321 2322		Administrative employees act as an advisor, limited function department head, or a specialist in management or supportive service who meet all the following criteria:
2323 2324 2325 2326 2327		(a) A primary duty of the employee includes the performance of office or non-manual wor directly related to the management or general business operations of the County or it citizens.
2328 2329 2330		(b) A primary duty of the employee includes the exercise of discretion and independent judgment with respect to matters of significance.
2331 2332 2333	18.1002	Allocation.
2334 2335		The assignment of a position to a pay range.
2336 2337	18.1003	Anniversary Date.
2338 2339 2340		The date an employee begins County employment. The anniversary date may be modified bubble subsequent personnel actions — leave of absence and layoff.
2341 2342	18.1004	Appointing Authority.
2343 2344 2345		A County official who has the authority to appoint and remove individuals to and from position in the County service.
2346 2347	18.1005	Board.
2348 2349		The Rock County Board of Supervisors.
2350 2351	18.1006	Class.
2352 2353 2354		One or more positions which are substantially alike in duties and responsibilities to warrant usir the same title, similar qualifications, selection procedures and the same pay range.
2355 2356	18,1007	Class Description.
2357 2358 2359		A written description of a class containing the class title, a general statement of the duties are responsibilities, examples of duties performed, and minimum qualifications required.
2360 2361	18.1008	Class Title.
2362 2363 2364		The official designation or name of the class as stated in the class description. The class title shabe used on all personnel records and other official personnel actions.
2365 2366	18,1009	Classification Plan.
2367 2368 2369		The sum total of all job class descriptions in the County service and a system showing salary ar classification relationships.
2370 2371	18,1010	County Administrator.
2372 2373		The person hired by the Rock County Board of Supervisors as the chief administrative officer for the County.

2375 2376	18.1011	<u>Demotion.</u>
2377 2378		The assignment of an employee from one class to another class with a lower pay range.
2379 2380	18,1012	Department Head.
2381	10,1012	
2382 2383		A County official with the responsibility for the operation of a County department.
2384 2385	18.1013	Disciplinary Action.
2386 2387		The action taken to discipline an employee, including: written reprimand, suspension without pay,
2388 2389		demotion, and discharge.
2390 2391	18.1014	Eligible Candidate.
2392 2393	10,1014	
2394 2395 2396		A person certified by the Human Resources Director as meeting the training and experience requirements and as successfully completing all parts of the selection process when formal selection devices are used.
2397 2398	18.1015	Eligibility List.
2399 2400		A list of Eligible Candidates to fill positions in a particular job class.
2401 2402	18.1016	Employee.
2403 2404 2405		An individual who is employed by the County and is paid in part or in whole through the County payroll.
2406 2407	18.1017	Entrance Pay Rate.
2408 2409		The rate of pay a newly hired employee is assigned at commencement of employment.
2410 2411	18.1018	Executive Personnel.
2412 2413		An executive employee is an administrator who meets all of the following criteria:
2414 2415		(a) The employee's primary duty consists of management of the County or a customarily recognized department or division of the County.
2416 2417		(b) The employee customarily and regularly directs the work of two or more other employees.
2418 2419 2420 2421 2422		(c) The employee has the authority to hire or fire other employees, or their recommendations as to the hiring, firing, advancement, promotion or any other change of status of other employees is given particular weight.
2423 2424	18.1019	Flexible Time.
2425 2426 2427 2428		Time off allowed at the discretion of the Department Head, in recognition of excess hours worked by an FLSA exempt employee not receiving straight-time overtime, consistent with the Administrative HR Policy and Procedures Manual.
2429 2430	18.1020	Full Time Equivalent (FTE)
2431 2432 2433 2434		A way to measure the amount of time a person assigned to a county position is scheduled to work. An FTE of 1.0 means that the position is equivalent to a full-time position, while an FTE of 0.5 means that the position is only half-time. FTE is measured in tenths from 0.1 to 1.0.
2435 2436	18.1021	Grievance.
2437 2438 2439		A formal complaint by an employee concerning; employee discipline, employee termination, or workplace safety.
2440 2441	18.1022	Human Resources Director.

2442		The Director of the Rock County Human Resources Department and the person responsible for
2443		implementing all county personnel policies and procedures.
2444 24 <b>4</b> 5	18.1023	Immediate Family.
2446	10.1025	Thintogram A spanis.
2447 2448 2449 2450 2451 2452 2453		Spouse, child, step-child, parent, step-parent, sibling, mother-in-law, father-in-law, sister-in-law (the sister of one's spouse or the wife of one's brother or the wife of one's spouse's brother), brother-in-law (the brother of one's spouse or the husband of one's spouses sister), son-in-law, daughter-in-law, grandparent, grandchild or step grandchild, domestic partner (as defined by the state of Wisconsin), aunt (the sister of one's father or mother, or the wife of one's uncle), uncle (the brother of one's father or mother, or the husband of one's aunt), niece, and nephew.
2454		and the state of t
2455 2456	18.1024	In- Range Increment
2457 2458		A pay step within a pay range.
2459 2460	18.1025	Layoff.
2461 2462 2463		The involuntary separation of an employee because of lack of work, lack of funds, or the abolishment of a position.
2464 2465	18.1026	Limited Term Employee (LTE).
2466 2467 2468 2469		An employee who meets the qualifications to perform a job, who is hired to perform that job for a determinant amount of time with a specific ending date at the time of hire. LTEs may be eligible for benefits.
2470 2471	18.1027	Part-time Employees.
2472 2473 2474		Employees shall be considered part-time when they are normally scheduled to work less than 40 hours per calendar week, or on a regular 5-2/5-3 work rotation on a 15 day work cycle.
2475 2476	18.1028	Pay Plan.
2477 2478 2479	:	A schedule of pay ranges for all classes of positions in the County that are not covered by a Collective Bargaining Agreement.
2480 2481	18.1029	Pay Range.
2482 2483 2484 2485		A salary range to which positions are assigned, consisting of a minimum wage rate, designated as "Step 1," and multiple additional steps, culminating in a maximum wage rate. All positions shall be compensated at one of the steps contained in the pay range to which the position is assigned.
2486	18.1030	Position.
2487 2488 2489	•	A grouping of duties and responsibilities to be performed by an employee. A position may be
2490		filled or vacant, full-time or part-time, regular or temporary.
2491 2492	18.1031	Position Description.
2493 2494 2495		A written document that describes the individual employee's duties and responsibilities and is specific to that position.
2496 2497	18.1032	Probationary Employee.
2498 2499 2500 2501		A person who has been properly appointed to a regular Rock County position and who is serving in his/her <u>Trial</u> Period to determine if he/she can do the job.
2502 2503	18.1033	Probationary Period.
2504 2505		A period of employment during which time an employee is required to demonstrate enpability for regular-employment. The probationary period is a try out time for the employee. It is also used for
2506 2507		determination of certain benefits.
2508 2509	18.1034	Promotion.
•		

	2510 2511		The assignment of an employee from one class to another class with a higher pay range.
	2512 2513	18.1035	Reallocation.
	2514 2515 2516		The re-assignment of a position from one pay range to another to correct an error in the original assignment or to reflect changing labor market conditions.
+1	2517 2518	18.1036	Reclassification.
	2518 2519 2520 2521		The re-assignment of an individual from one existing class to another to recognize a change in the duties and responsibilities of a position or to correct an error in the original assignment.
•	2522 2523	18.1037	Regular Appointment.
	2524 2525		An assignment of an eligible candidate to a budgeted County position.
	2526 2527 2528	18.1038	Regular Employee,
	2529 2530 2531		A person who has been properly appointed to a regular Rock County position and has successfully completed the Probationary Period.
	2532 2533	18.1039	Reinstatement.
	2534 2535		To restore or be placed back into a former or substantially equivalent position,
	2536 2537	18.1040	Retiree.
	2538 2539 2540		An employee who terminates employment with the County to immediately and actively draw an annuity from the Wisconsin Retirement System (WRS).
	2541 2542	18.1041	Seasonal Employee.
	2543 2544 2545 2546 2547		An employee who is hired for a limited term, which cannot cumulatively exceed a period of six months in a calendar year. Seasonal Employees are not eligible to receive fringe benefits other than Wisconsin Retirement if anticipated to work in-excess of 600 hours enough hours in a year to qualify for Wisconsin Retirement System coverage.
	2548 2549	18.1042	Selection Device,
	2550 2551		A formal measurement device used to evaluate and/or rank applicants for County positions.
	2552 2553	18.1043	Seniority.
	2554 2555 2556 2557 2558		Seniority is continuous length of service as a County employee. Seniority shall, upon completion of the Probationary Period, begin with the original date of continuous employment subject to the conditions of 18.1003(a)(4). Seniority shall be used to determine accrual of vacation and sick leave.
	2559 2560	18.1044	Supervisor.
	2561 2562 2563		The person responsible for the assignment, direction and evaluation of the work of another employee, usually a full-time County employee.
	2564 2565	18,1045	Temporary Appointment.
	2566 2567 2568		An appointment of an individual who meets the qualifications for a position appointed to fill that position for an unspecified term. Temporary Appointees may be eligible for fringe benefits.
	2569 2570	18,1046	Termination.
	2570 2571 2572 2573		The removal of an employee from the payroll for voluntary or involuntary reasons, including dismissal, resignation, retirement or death.
	2574 2575	18.1047	<u>Transfer.</u>
	2576 2577		The assignment of an employee from one position to another in the same class or to a class with the same pay range.

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2578 2579	18.1048	Travel Status.
2580	10.10	AND CARDON CONTROL OF
2581 2582 2583 2584		An employee shall be considered to be in "travel status" when he or she is on county business outside of the County either for an entire day (before 7:00 a.m. and after 6:00 p.m.); or for part of a day before 7:00 a.m.; after 6:00 p.m.; and/or between the hours of 11:00 a.m. and 2:00 p.m.
2585 2586	18.1049	Underslotting.
2587 2588		The filling of a vacant position at a lower classification.
2589		
2590 2591	18.1050	<u>Unilateral Employees</u>
2592		The Court and a second as a second by the second by the second se
2593		Those County employees who are not covered by a collective bargaining agreement, excluding
2593 2594		the County Elected Officials and the County Administrator. The Unilaterals are divided into
		three groups for purposes of overtime and vacation: Unilateral A's, Unilateral B's, and
2595		<u>Unilateral C's.</u>
2596		
2597	18.1051	<u>Upgrade.</u>
2598		
2599		The result of a re-evaluation of the duties required to perform a job task of a position that
2600		indicatinges that a higher level of skills or duties are required to perform that task the duties of a
2601		classification or position,
2602		
2603 2604	18.1052	Work Schedule.
2605 2606 2607 2608		The standard work schedule for County employees is Monday – Friday, 8:00 a.m. to 5:00 p.m. with a one-hour lunch period. All full-time employees are expected to work at least 40 hours per week unless work rotation (i.e. 5-2/5-3), or a Department Work Rule dictates otherwise.
2609		

Respectfully Submitted,

COUNTY BOARD STAFF COMMITTEE

A Russell Podzilni, Chair

Sandra Kraft, Vice Chair

Eva Arnold

Hank Brill

Betty Jo Dissip

Ivan Collins

Marilynn Jonsen

Louis Peer

Kurtis Yankee

## AMENDING THE COUNTY'S PERSONNEL ORDINANCE Page $41\,$

## LEGAL NTOE:

The County Board is authorized to take this action pursuant to secs. 59.01, 59.03 and 59.22, Wis. Stats.

Jeffrey Kuglitsch Corporation Counsel

## FISCAL NOTE:

Minimal fiscal impact.

Jeffrey A. Smith Finance Director

## ADMINISTRATIVE NOTE:

Recommended.

Craig Knutson County Administrator